Biomedical Applications of Ambient Gas Plasma: The Confluence of Redox Biology and Plasma Science

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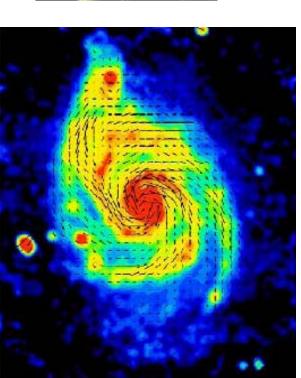
Natural *Ionized Gas* Plasmas

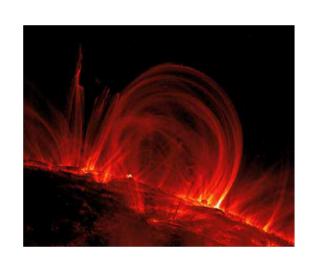


Lightning



Aurora

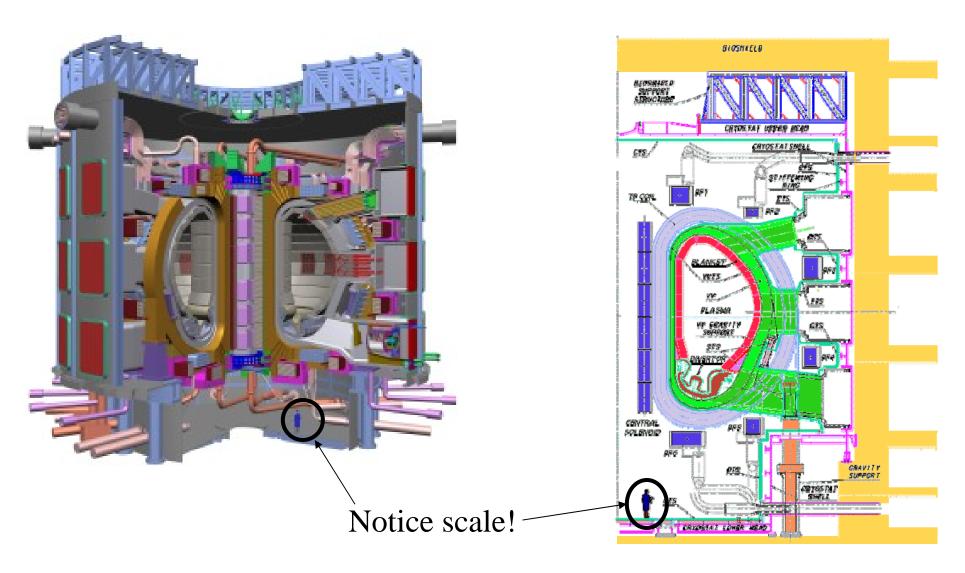




Solar Corona

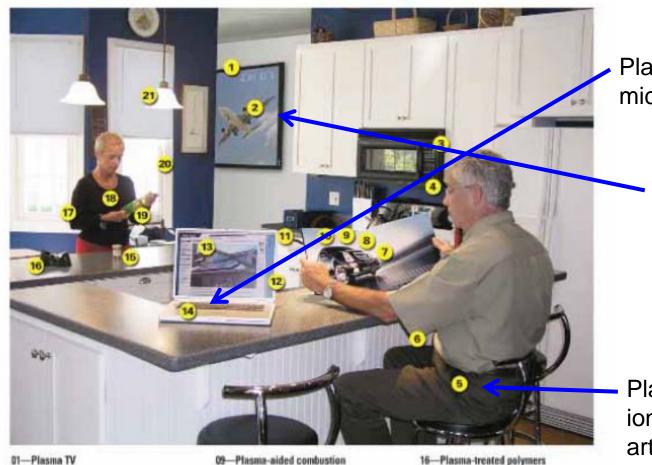
'Whirlpool galaxy' M51; plasma emission and magnetic field lines

Tokamaks – Plasma Fusion



ITER: International Thermonuclear Experimental Reactor: plasma fusion for electric power generation

'Low Temperature" Plasmas "In the Kitchen" *



- 02-Plasma-coated jet turbine blades
- 03-Plasma-manufactured LEDs in panel
- 04—Diamondlike plasma CVD eyeglass coating
- 05-Plasma ion-implanted artificial hip
- 05-Plasma laser-cut cloth
- 07-Plasma HID headlamps
- 08-Plasma-produced H, in fuel cell

- 10-Plasma muffler
- 11-Plasma ozone water purification
- 12-Plasma-deposited LCD screen
- Plasma-deposited silicon for solar cells
- 14-Plasma-processed microelectronics
- 15 Plasma-sterilization in pharmaceutical production

- 17-Plasma-treated textiles
- 18-Plasma-treated heart stent
- Plasma-deposited diffusion barriers for containers
- 20-Plasma-sputtered window glazing
- 21—Compact fluorescent plasma lamp

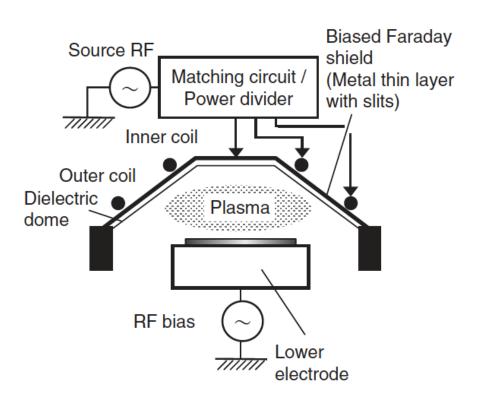
Plasma-processed microelectronics

Plasma TV

Plasma ion-implanted artificial hip

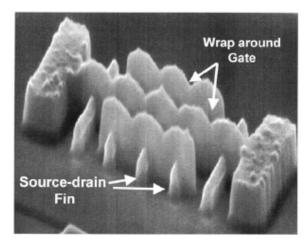
* Plasma 2010 NRC, 2007

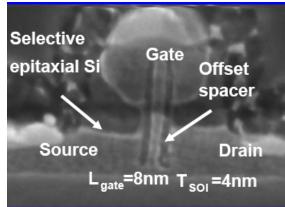
Plasma Etching: IC Manufacture



Jpn. J. Appl. Phys. Vol. 42 (2003) pp. 7547–7551 Part 1, No. 12, December 2003

Advanced devices





Courtesy Y. Zhang, IBM Research

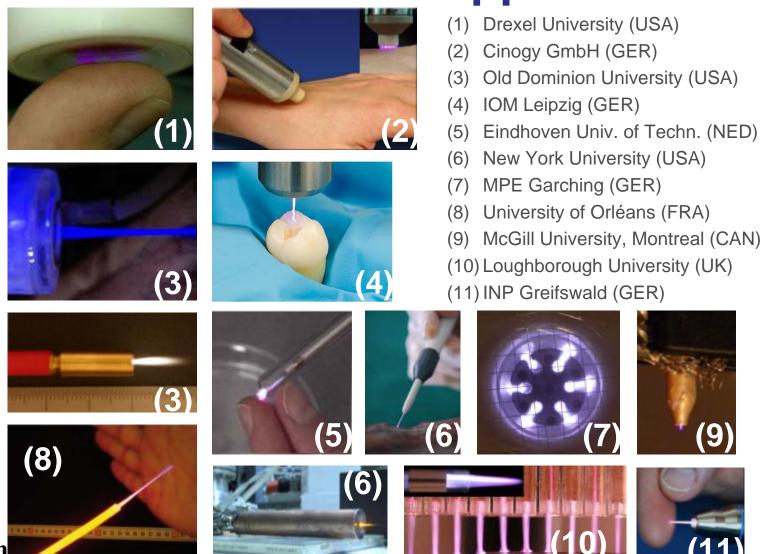
Plasmas used to process semiconductors to make integrated circuits (ICs) – *Nano* electronics has replaced *micro* electronics: sub-10 nm critical dimensions

Plasma biomedicine/sources/chemi stry

Abbreviated History of Gas Plasmas In Biomedicine

A		
	2011	M. Vandamme/M. Keidar: in vivo cancer tumor treatment (jet/DBD)
	2010	G. Isbary: clinical trial for wound healing (MW Ar plasma)
	2007	G. Fridman: in vitro cancer cell treatment (Air DBD)
	2003	E. Stoffels: non-destructive cell handling (He plasma needle)
	2000	Plasma Surgical Company: Ar jet
	1999	M. Laroussi: E. coli sterilization (He DBD)
	— 1995 _ 1993	APC (ERBE GmbH): Ar plasma for endoscopic surgery Coblation (Arthrocare Co): discharge in saline solution
	— 1940	Hyfrecator (Birtcher Co): low power and no ground pad
	— 1926	Bovie knife: the first clinical use of a electrosurgical device
	— 1893	A. d'Arsonval: compatibility of HF with nerve and muscle

Atmospheric Pressure Plasma Sources for Biomedical Applications

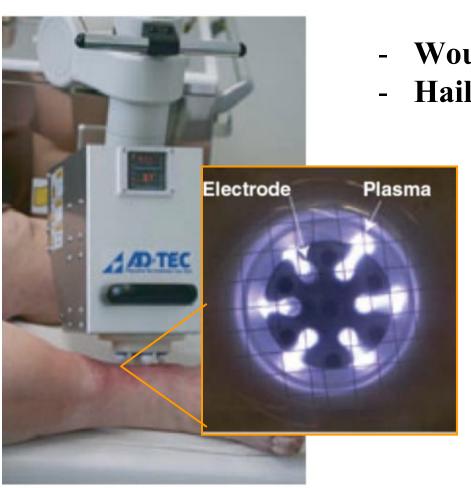


Courtesy:
K.D. Weltmann

Greifswald

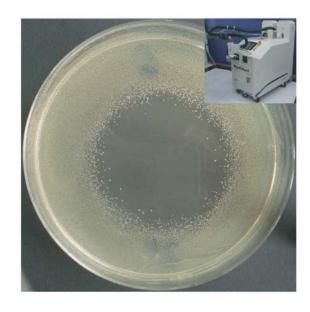
Plasma Sources in Biomedicine: Microwave Ar plasma torch

J. Heinlin, JDDG 8 (2010) 968; G. Isbary, Br. J. Dermatol. 163 (2010) 78, Arch. Derm., 147 (2011), 388.



Wound sterilization

- Hailey-Hailey disease treatment



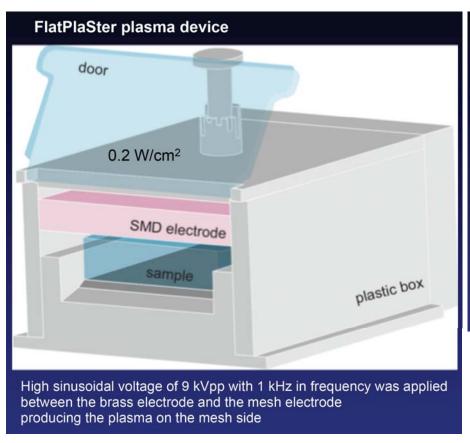
Prof.-Dr. G. Morfill MPE, Garching, Germany

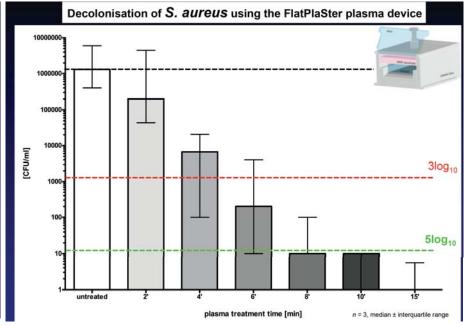
Decolonisation of MRSA, *S. aureus* and *E. coli* by Cold-Atmospheric Plasma Using a Porcine Skin Model *In Vitro*

Tim Maisch¹*, Tetsuji Shimizu², Yang-Fang Li², Julia Heinlin¹, Sigrid Karrer¹, Gregor Morfill², Julia L. Zimmermann²

1 Department of Dermatology, Regensburg University Hospital, Regensburg, Bavaria, Germany, 2 Max Planck Institute for Extraterrestrial Physics, Garching, Bavaria, Germany

PLoS ONE 7(4): e34610. doi:10.1371/journal.pone.0034610 (2012)





Plasma-induced wound healing: various anecdotal reports

Treatment of Topical Wounds: Tissue Regeneration: Suppurated Burn Wound (2009 conference)*



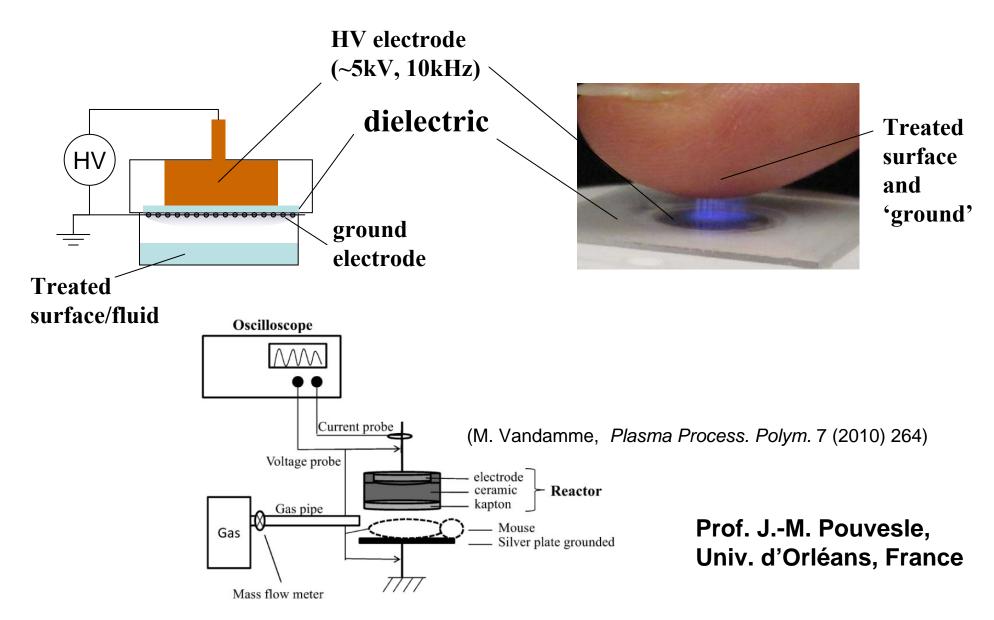


Before treatment

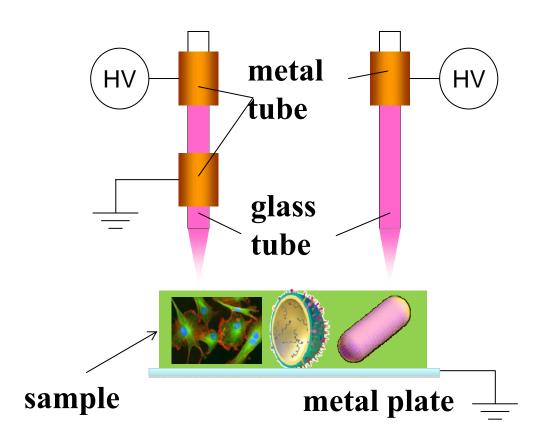
After 7 days/ 5 sessions plasma treatment

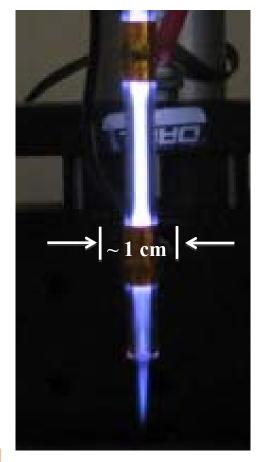
*Richard M. Satava, MD FACS Professor of Surgery University of Washington

Plasma Sources in Biomedicine: Indirect and Direct DBD



Rare Gas Jet Discharge (He or Ar)



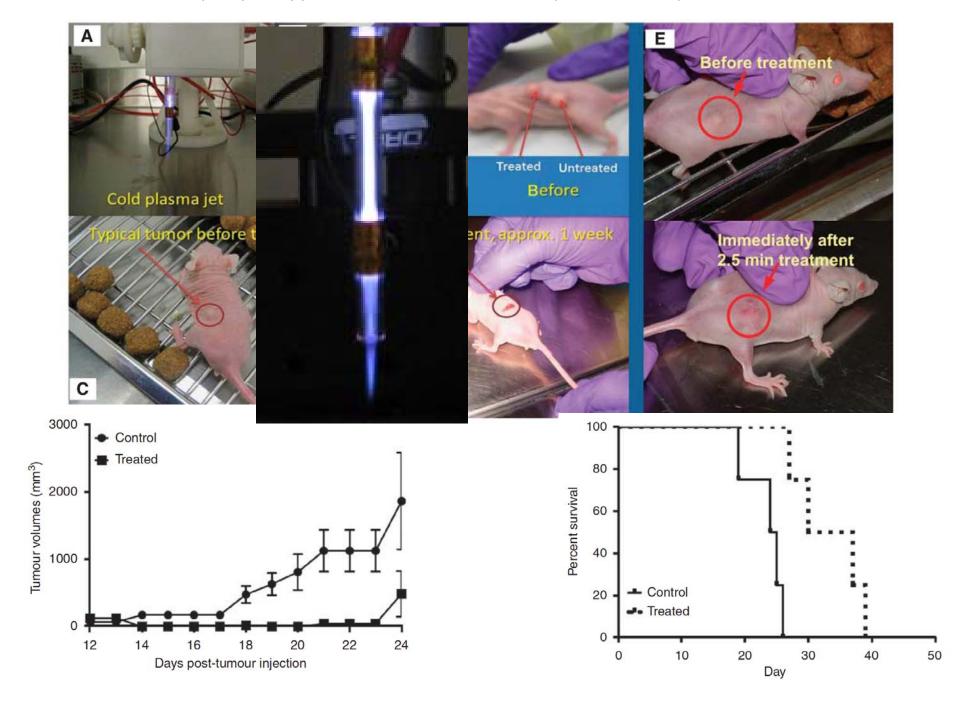




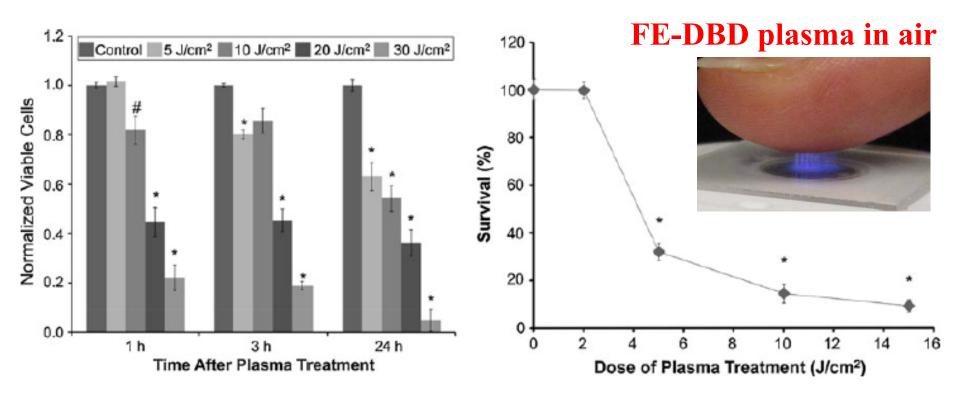
Note: scalable via parallel jet arrays

M. Kong, Loughborough Univ., UK

British Journal of Cancer (2011) 105(9), 1295-1301 Keidar et al., Dec. 2011; melanoma murine model



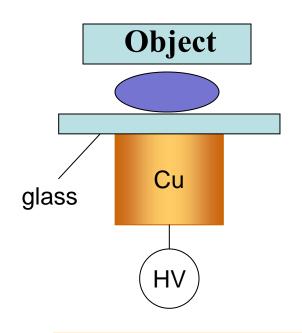
...and in vitro (melanoma cells)



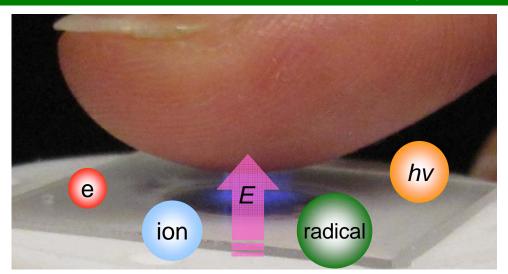
Non-thermal Plasma Induces Apoptosis in Melanoma Cells via Production of Intracellular Reactive Oxygen Species

Sensenig et al., Oct. 29, 2010 Annals Biomed. Eng.

What are the Key Biochemical *Agents?*? What are the Biochemical *Mechanisms?*?



Plasmas in ambient air at room temperature



• voltage: 10-20 kVpkpk

• frequency: 1-10 kHz

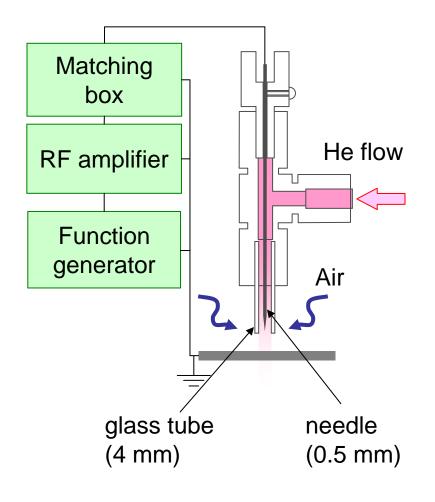
• power: ~ 1W

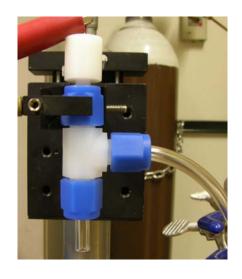
• distance to finger: 1-3 mm

• gas: static air in California

Plasma Needle: Can We Understand It?

E. Stoffels, et al., Plasma Sources Sci. Tehcnol. 11 (2002) 383.



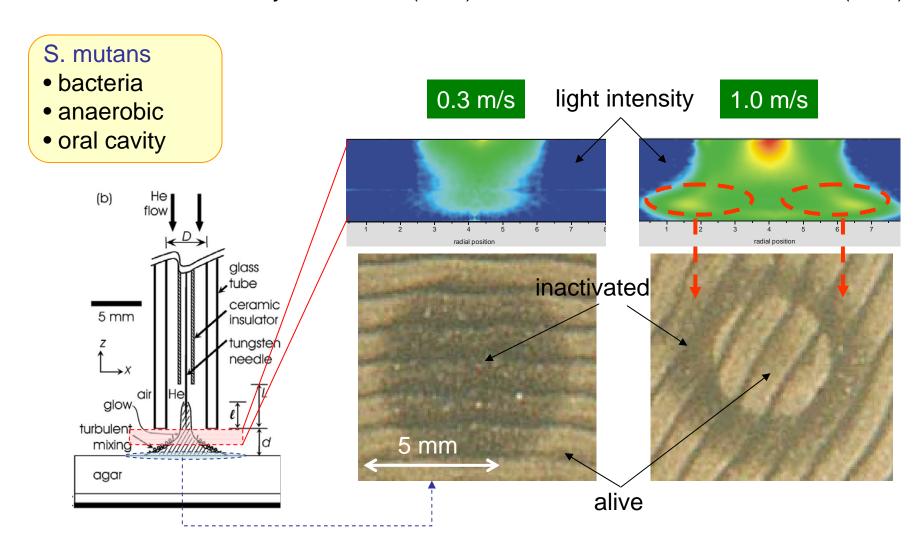




- Frequency: RF (13.56MHz)
- Voltage: 200-400 V_{pkpk}
- He flow rate: ~1 slpm (*Re_d* < 100)
- Power consumption: ~1 W
- Distance to sample: 1-5 mm

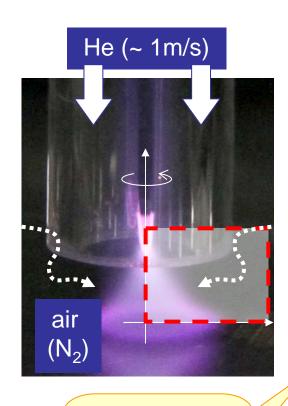
Plasma needle: Bactericidal effects

J.Goree, et al, *J.Phys.D.* 39 3479 (2006) and *IEEE Trans.Plasma Sci.* 34, 1317 (2006)



Fluid Model: Governing Equations





electron, He*, He₂*, He+, He₂+,N₂+

$$\nabla \cdot (\rho \mathbf{u}) = 0, \nabla \cdot (\rho \omega_{\text{air}} \mathbf{u} - \rho D \nabla \omega_{\text{air}}) = 0 \qquad \text{(mass conservation)}$$

$$\nabla \cdot (\rho \mathbf{u} u_i) = -\nabla p - \nabla \cdot \mathbf{\tau} + \sum q_i n_i \mathbf{E} \qquad \text{(momentum conservation)}$$

$$\nabla \cdot (-\lambda \nabla T + \mathbf{u} c_p T) = \Phi + \sum q_i \Gamma_i \mathbf{E} + Q_{el} \qquad \text{(energy conservation)}$$

ion momentum collisional heating

temperature velocity species density

Plasma dynamics

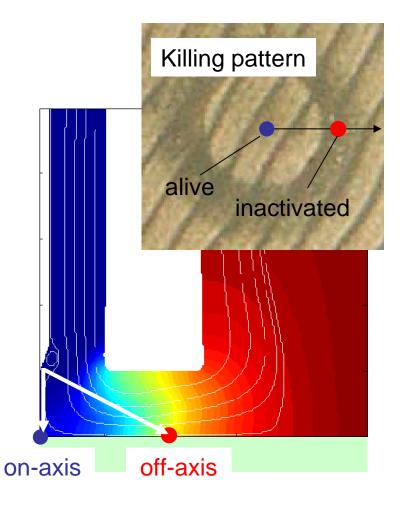
$$\begin{array}{ll} \frac{\partial n_i}{\partial t} + \nabla \cdot \mathbf{\Gamma}_i = S_i & \text{(mass conservation)} \\ \mathbf{\Gamma}_i = \mathrm{sgn}(q_i) n_i \mu_i \mathbf{E} - D_i \nabla n_i + n_i \mathbf{u} & \text{(drift-diffusion)} \\ \frac{\partial \left(n_e \varepsilon\right)}{\partial t} + \nabla \cdot \left(\frac{5}{3} \varepsilon \, \mathbf{\Gamma}_e - \frac{5}{3} n_e D_e \nabla \varepsilon\right) = -\mathbf{\Gamma}_e \cdot \mathbf{E} - Q & \text{(electron energy)} \\ \varepsilon_0 \nabla \cdot \mathbf{E} = \sum q_i n_i & \text{(Poisson's equation)} \end{array}$$

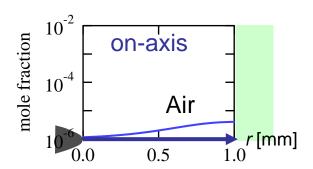
Y. Sakyiama et al, J. Appl. Phys. 101 (2007) 073306 and J. Phys. D 41 (2008) 95204

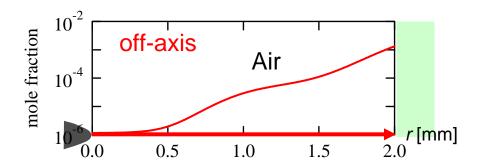
Chemistry Model: On-Axis and Off-Axis

Mole fraction of air (log scale)

1D plasma model







Chemistry Model

46 species

negative particles: e, O⁻, O₂⁻, O₃⁻, O₄⁻, H⁻, OH⁻

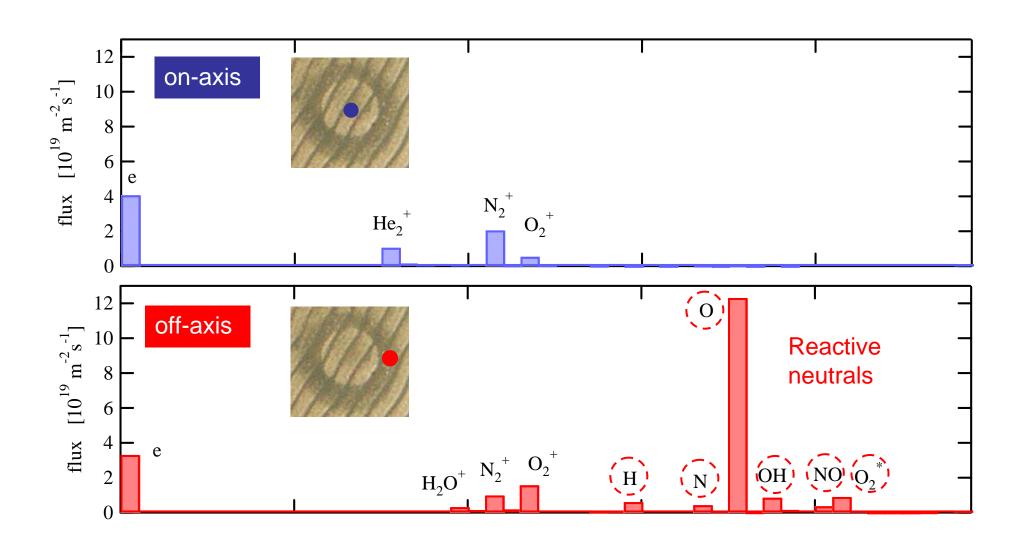
positive particles: He+, He₂+, N+, N₂+, N₃+, N₄+, O+, O₂+, O₄+, NO+, N₂O+, NO₂+, H+, OH+, H₂O+, H₃O+

neutrals: He, He*, He₂*, N, N*, N₂, N₂*, N₂**, O,O*, O₂, O₂*, O₃, NO, N₂O, NO₂, NO₃, H, H₂, OH, H₂O, HO₂, H₂O₂

214 elementary reactions

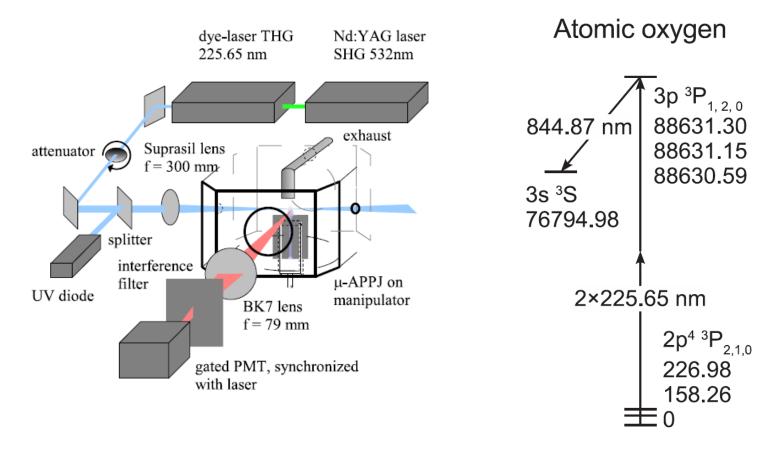
- 21 electron impact excitation/ionization/dissociation reactions
- 20 Penning and associative ionization reactions
- 26 electron recombination/attachment reactions
- 65 charge transfer reactions
- 51 ion recombination reactions
- 31 neutral-neutral reactions

Species Fluxes to Surface



Model Validation: O Atom Measurement *

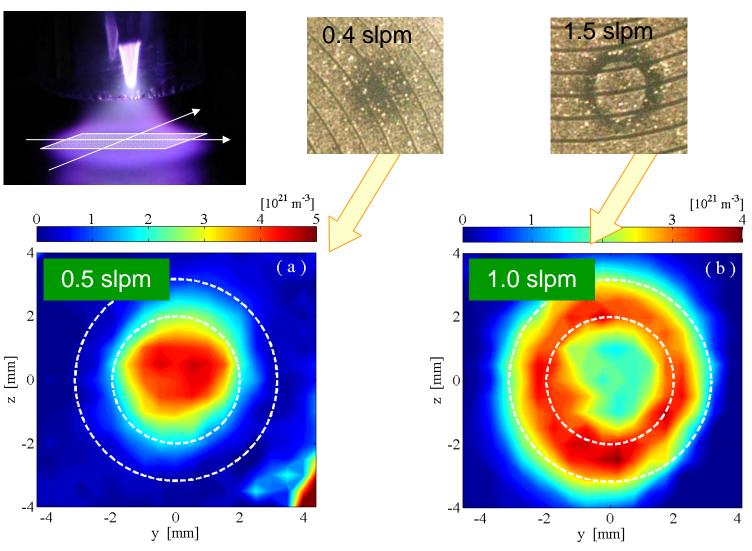
- TALIF: two photon absorbed laser induced fluorescence
- collaboration with Ruhr-Universitat Bochum (Germany)



^{*} Thanks to: Volker Schulz-von der Gathen,

N. Knake, et al, *J. Phys. D: Appl. Phys.* 41 (2008) 194006

Measured O Atom Density: Qualitative Agreement with Model

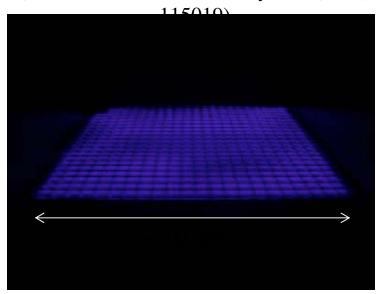


Y. Sakiyama, et al., *Appl. Phys. Lett.* 97 (2010) 151501.

Atmospheric Pressure Air: SMD Device Configuration

SMD = surface micro-discharge

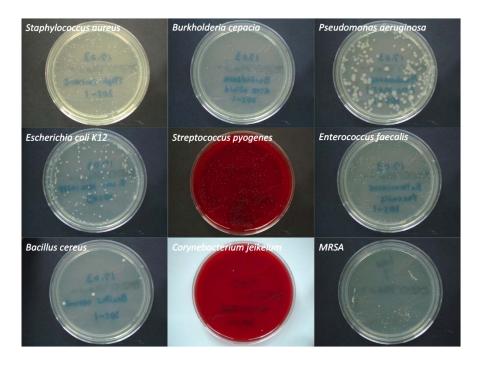
(G. Morfill et al., New J. Phys. 11 (2009)



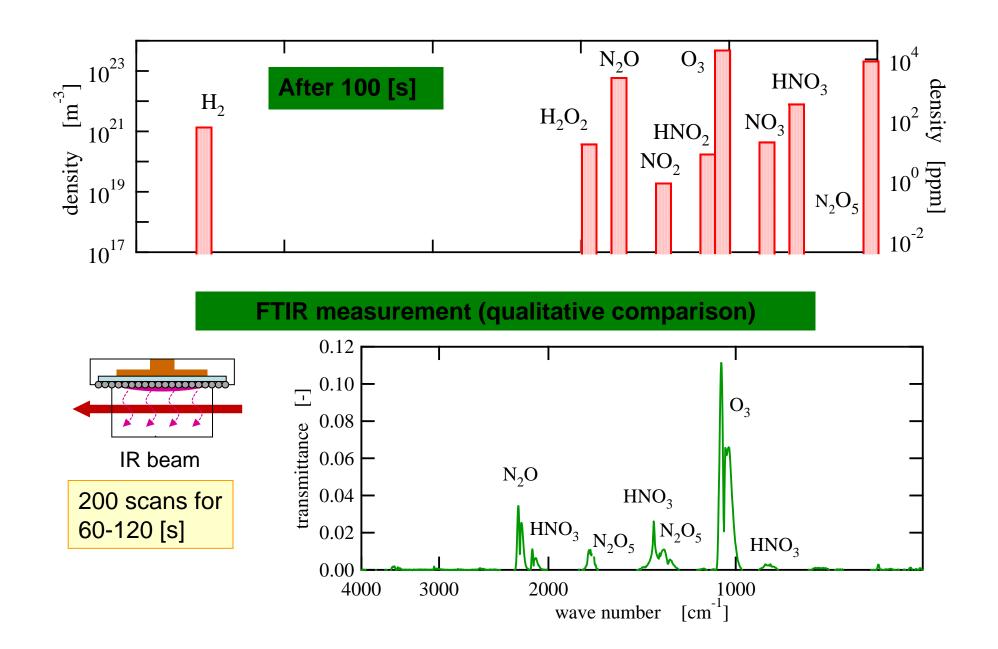
Frequency	1-10 kHz
Voltage	1-10 kV _{pp}
Power	0.01-1 W/cm ²
Distance to sample	1-10 mm
Exposure time	1-1000 s

Anti-microbial effect

- ~10⁷ cfu/ml
- 4-5 log-reduction in 30 s



Model Predictions and Measurements



Reactive Oxygen and Reactive Nitrogen Species (RONS)

Reactive oxygen and nitrogen species often cited as key species in plasma biomedical applications.

But what is known about these species in biology and medicine?

One major focus of the talk is on these species and their role in biology and medicine

Early Studies of RONS in Biomedicine

THE THEORY OF REVERSIBLE TWO-STEP OXIDATION INVOLVING FREE RADICALS

Chem. Reviews, 1938

L. MICHAELIS AND M. P. SCHUBERT

Laboratories of The Rockefeller Institute for Medical Research, New York, New York

Received March 17, 1938

FREE RADICALS IN BIOLOGICAL MATERIALS

By Prof. BARRY COMMONER, JONATHAN TOWNSEND and GEORGE E. PAKE

Nature, 1954

Oxygen Poisoning and X-irradiation: A Mechanism in Common¹

Science, 1954

Rebeca Gerschman, Daniel L. Gilbert, Sylvanus W. Nye, Peter Dwyer, and Wallace O. Fenn²

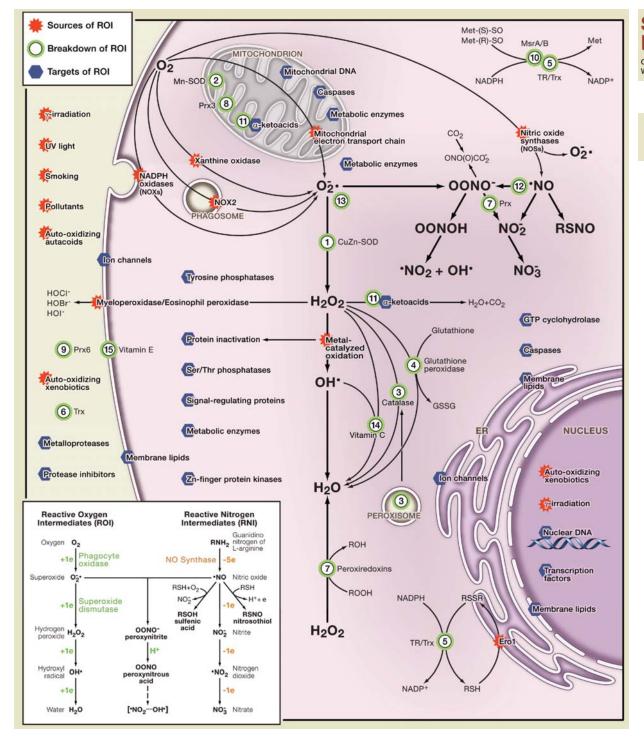
AGING: A THEORY BASED ON FREE RADICAL
AND RADIATION CHEMISTRY

Science, 1956

DENHAM HARMAN, M.D., Ph.D.

(From the Donner Laboratory of Biophysics and Medical Physics, University of California, Berkeley)

RONS in normal physiology and disease



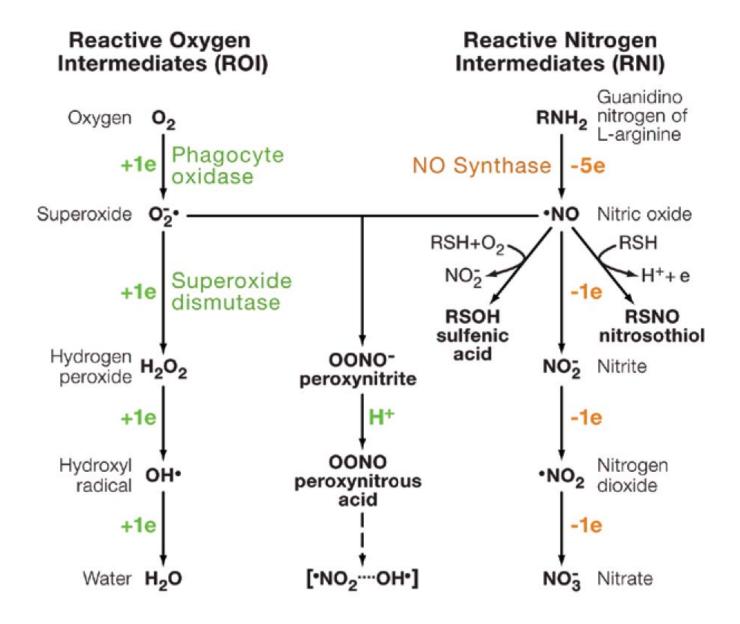
SnapShot: Reactive Oxygen Intermediates (ROI)

Carl Nathan and Aihao Ding Weill Cornell Medical College, New York, NY 10065, USA

Cell 140, March 19, 2010

Overview of some cellular reactions/roles of RONS

Subset of RONS



Related to the HUGE biomedical literature..., e.g.

Reactive Species and Antioxidants. Redox Biology Is a Fundamental Theme of Aerobic Life

Barry Halliwell

Plant Physiology, June 2006, Vol. 141, pp. 312–322

The field of antioxidants and free radicals is often perceived as focusing around the use of antioxidant supplements to prevent human disease. In fact, antioxidants/free radicals permeate the whole of life, creating the field of *redox biology*. Free radicals are not all bad, nor antioxidants all good. Life is a balance between the two: antioxidants serve to keep down the levels of free radicals, permitting them to perform useful biological functions without too much damage.

See also: Halliwell B, Gutteridge JMC (2006) Free Radicals in Biology and Medicine, Ed 4. Clarendon Press, Oxford

Reactive Oxygen Species: ROS

Radicals

Nonradicals

ROS

Superoxide, O₂'Hydroxyl, OH'
Hydroperoxyl, HO₂'
(protonated superoxide)
Carbonate, CO₃'Peroxyl, RO₂'
Alkoxyl, RO'
Carbon dioxide radical,
CO₂'Singlet O₂¹Σg +

ROS

H₂O₂ Hypobromous acid, HOBr^a Hypochlorous acid, HOCl^b

Ozone, O_3^c Singlet oxygen $(O_2^{-1}\Delta g)$ Organic peroxides, ROOH Peroxynitrite, ONOO^{-d}

Peroxynitrate, O₂NOO^{-d} Peroxynitrous acid, ONOOH^d Peroxomonocarbonate, HOOCO₂⁻

Reactive Chlorine/Bromine Species

Radicals Nonradicals

Reactive chlorine Reactive chlorine

Atomic chlorine, Cl. Hypochlorous acid, HOClb

Nitryl chloride, NO₂Cl^e

Chloramines

Chlorine gas (Cl₂)

Bromine chloride (BrCl)^a

Chlorine dioxide (ClO₂)

Reactive bromine Reactive bromine

Atomic bromine, Br*

Hypobromous acid (HOBr)

Bromine gas (Br₂)

Bromine chloride (BrCl)^a

Halliwell, Plant Phys. 2006

Reactive Nitrogen Species: RNS

Radicals Nonradicals

Reactive nitrogen

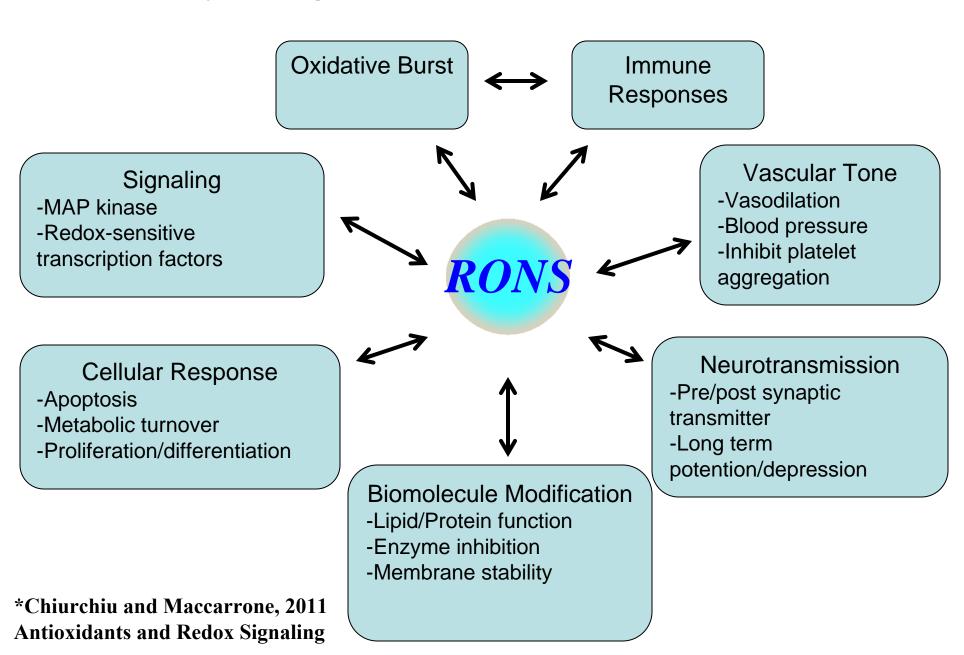
Nitric oxide, NO^{*} Nitrogen dioxide, NO₂^{*c} Nitrate radical, NO₃^{*c,f}

Reactive nitrogen

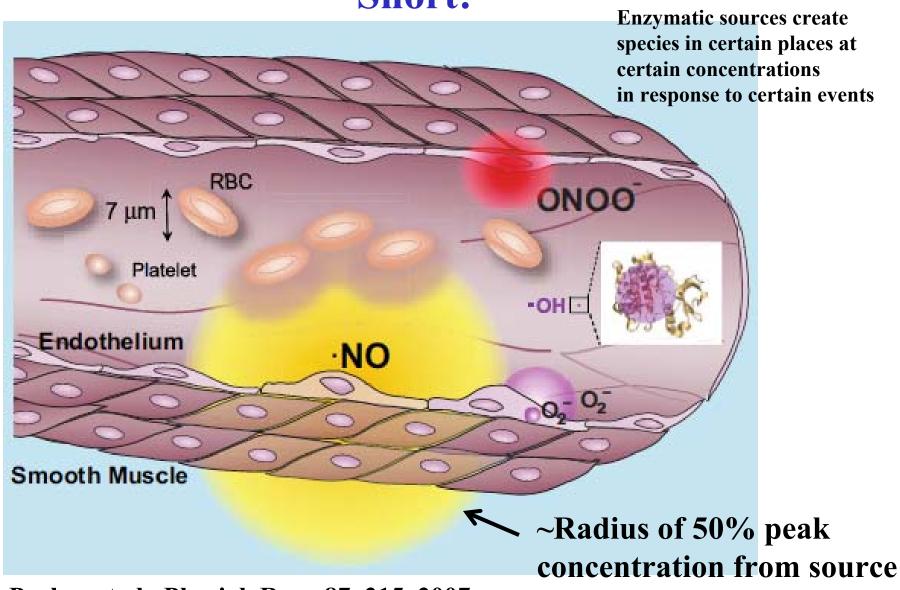
Nitrous acid, HNO, Nitrosyl cation, NO+ Nitroxyl anion, NO-Dinitrogen tetroxide, N₂O₄ Dinitrogen trioxide, N₂O₃ Peroxynitrite, ONOO^{-d} Peroxynitrate, O₂NOO^{-d} Peroxynitrous acid, ONOOHd Nitronium cation, NO₂+ Alkyl peroxynitrites, ROONO Alkyl peroxynitrates, RO2ONO Nitryl chloride, NO2Cl Peroxyacetyl nitrate, CH₂C(O)OONO₂c

Halliwell, Plant Phys. 2006

Some Physiologic/Homeostatic Actions of RONS*



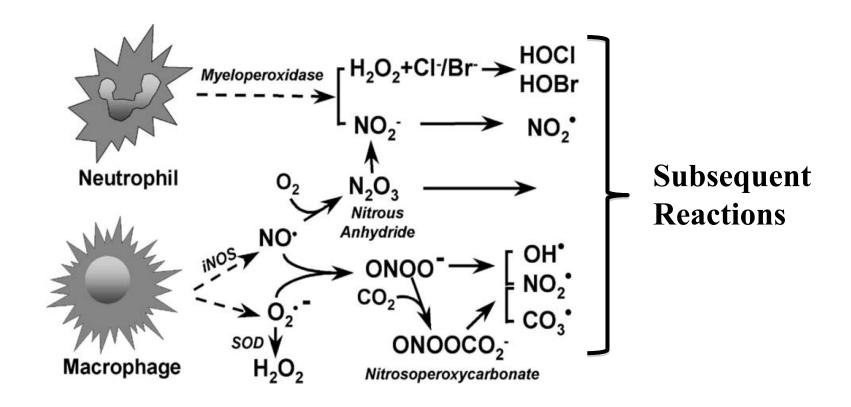
In Vivo RONS Lifetimes/Diffusion Distances: Short!



Pacher et al., Physiol. Rev., 87, 315, 2007

Reactive Species Play Major Role in Aerobic Biology: Example of Innate Immune System and Inflammation

Dedon and Tannenbaum, Archives of Biochemistry and Biophysics, 423, 2004



Many similar chemical species are created in air plasmas

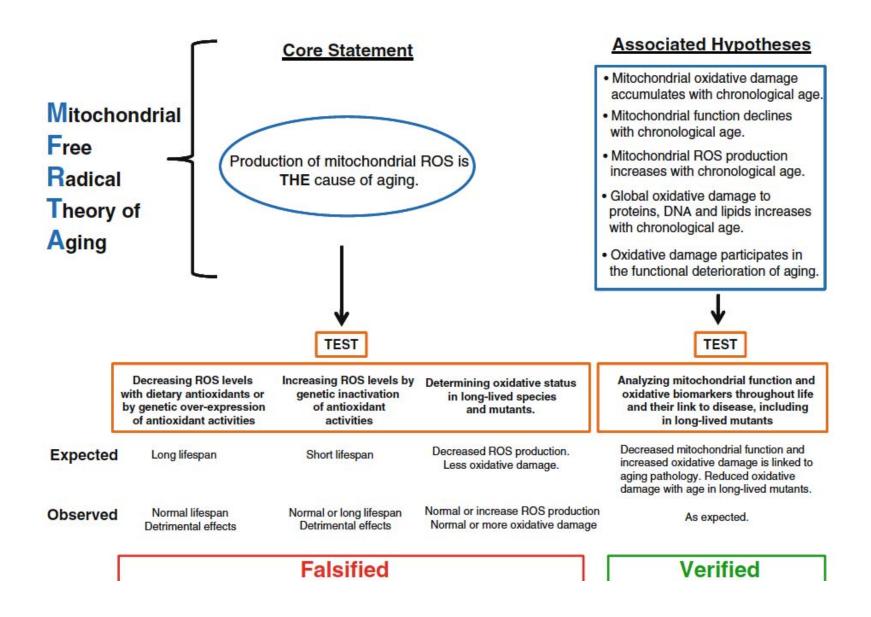
Some Disease States Associated with RONS

- 1. Cancer
- 2. Cardiovascular disease
 - a. Congestive heart failure
 - b. Atherosclerosis
 - c. Heart attack
 - d. Stroke
- 3. Neurodegenerative diseases
 - a. Alzheimer's
 - b. Huntington's
 - c. Parkinson's
 - d. Multiple sclerosis
- 4. Inflammatory bowel disease
- 5. Diabetes
- 6. Rheumatoid arthritis

- 7. Lung
 - a. Bronchial asthma
 - b. Chronic obstructive pulmonary disease
 - c. Acute respiratory distress syndrome
 - d. Cystic fibrosis
- 8. Skin
 - a. Chronic skin inflammation
 - b.Psoriasis
 - c.Atopic dermatitis
 - d.Acne
- 9. Eyes
 - a.Macular degeneration
 - b.Cataracts
- 10. Reproductive disorders
 - a.Male/female infertility
 - b.preeclampsia
 - c.hydatidiform mole
 - d.fetal embryopathies

When a Theory of Aging Ages Badly

J. Lapointe and S. Hekimi



Antioxidants: Molecules, medicines, and myths

John M.C. Gutteridge a, Barry Halliwell b,*

Biochemical and Biophysical Research Communications 393 (2010) 561-564

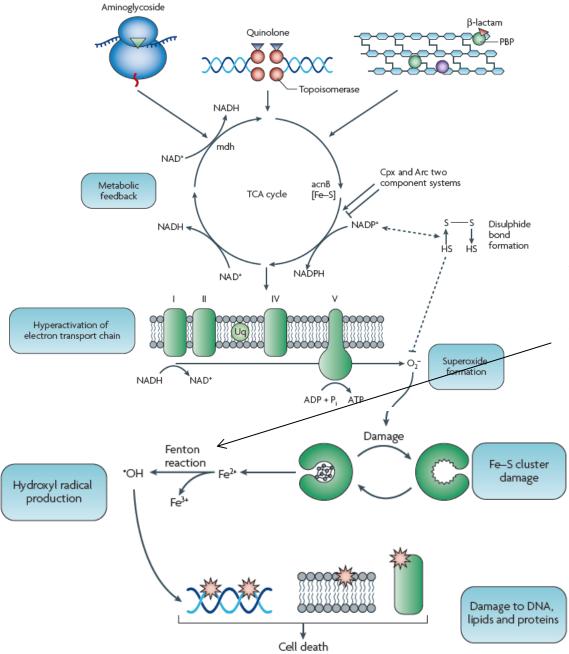
"Because free radicals played such a major role in the evolution of plants and animals they are an essential part of the basic biology we study today..."

"The ability to sterilize a site of infection by rapid production of ROS can keep us alive. ...it certainly did before antibiotics and antivirals entered clinical medicine."

"During the 1980's, antibiotics were shown to be redox active and generate ROS. This led Gutteridge, Kovacic et al., in 1998 to propose that antibiotics mimic phagocytic cells by having a common mechanism of microbial killing using ROS. This concept has since been re-presented in detail [by Collins et al., 2007]."

RONS in current therapeutics

RONS as Therapy: Antibiotics



A Common Mechanism of Cellular Death from Bactericidal Antibiotics

Collins et al. Cell, 2007

A complex series of reactions creates OH via Fenton: all antibiotics allegedly function this way.

Phagomimetic Action of Antimicrobial Agents

Free Rad. Res., 1998

JOHN M. C. GUTTERIDGE^{a,*}, GREGORY J. QUINLAN^a and PETER KOVACIC^b

"A wide variety of extracted and synthesized drug molecules have electron transfer capabilities which allow them to generate ROS."

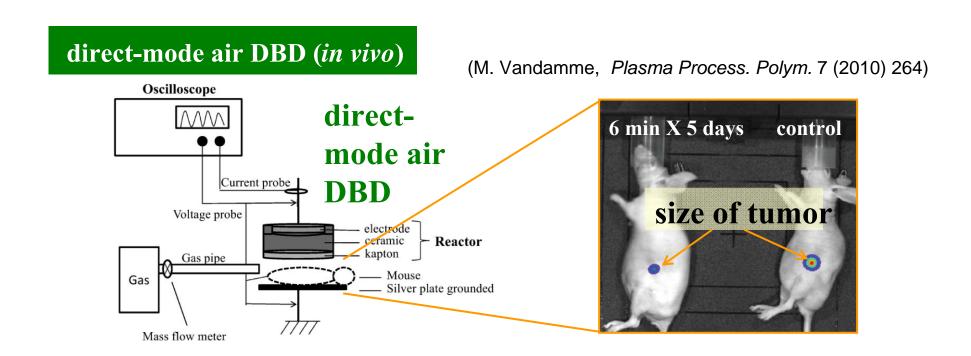
"In particular, many antibiotics that kill or inhibit bacteria, yeasts and cancer cells readily transfer electrons to oxygen making O_2 and H_2O_2 ..."

"This type of chemistry is very similar to that which evolved within phagocytic cells as part of their microbial killing amoury."

RONS as Therapy: Not So New!

Recall: DBD Air Plasma Cancer Cell Treatment

Leader: J.-M. Pouvesle, GREMI, Orléans, France



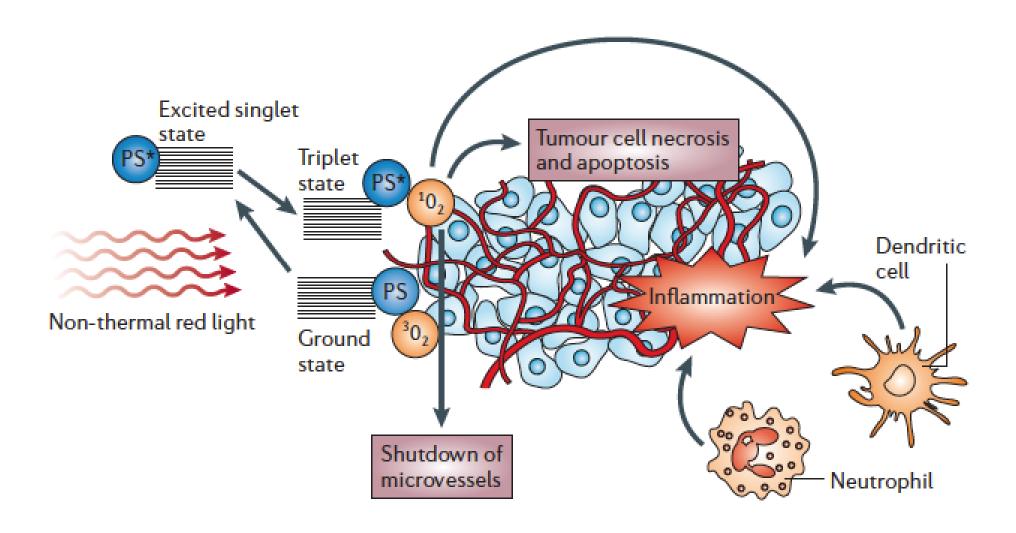
First in-vivo demonstration of anti-cancer actions of plasmas...

Bio-Radicals Formed by Ionizing Radiation

Int. J. Radiat. Biol., Vol. 85, No. 1, January 2009, pp. 9-25

Name	Formula	O'Neil and Wardman
singlet oxygen (excited state) superoxide/hydroperoxide radical hydroxyl radical hydrogen peroxide hypochlorous acid hypothiocyanous acid nitric oxide radical nitrogen dioxide radical dinitrogen trioxide nitroxyl peroxynitrite/peroxynitrous acid nitrosoperoxycarbonate carbonate radical carbon-centred radicals peroxyl radicals on carbon thiyl radicals disulfide radical-anions thiylperoxyl radicals sulfonyl radicals sulfonyl radicals nitrogen-centred indolyl radicals phenoxyl radicals, e.g., tyrosine	¹ O ₂ O ₂ · -/HO ₂ · OH H ₂ O ₂ HOCl HOBr HOSCN NO' NO ₂ · N ₂ O ₃ HNO ONOO -/ONOOH ONOOCO ₂ - CO ₃ · - RC'(X)R' RC(OO')(X)R' RS' (RS∴SR') - RSOO' RS(O)(O) RS(O)(O)OO' -N'- TyrO'	Formation of radicals thought to be central to cancer radiation therapy

Photodynamic Therapy Creates ¹O₂



Castano et al., Nature Revs., 2006

Cancer Chemotherapy and ROS Generation or Antioxidant Depletion/Inhibition

Agents that cause cellular ROS stress

Mechanism	Agent		
ROS generation	Arsenic trioxide		
	Anthracyclines		
	Bleomycin		
	Bortezomib		
	Cisplatin		
	N-(4-hydroxyphenyl) retinamide		
	Emodin		
GSH depletion	Buthionine sulfoximime (γ-GCS inhibitor)		
•	Diethylmaleate		
	Ascorbic acid		
Inhibition of antioxidant enzyme	Mercaptosuccinic acid (GPx)		
	Aminotriazol (catalase)		
	Ethacrynic acid, TLK199 (GST)		
	2-Methoxyoestradiol (SOD)		

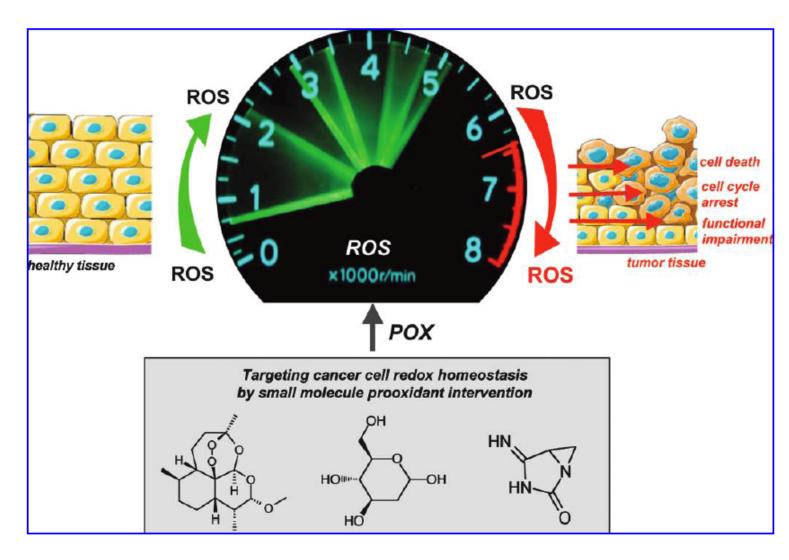
Redox-Directed Cancer Therapeutics: Molecular Mechanisms and Opportunities

ANTIOXIDANTS & REDOX SIGNALING Volume 11, Number 12, 2009 © Mary Ann Liebert, Inc.

DOI: 10.1089/ars.2009.2541

Comprehensive Invited Review

Georg T. Wondrak

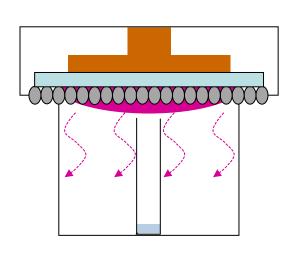


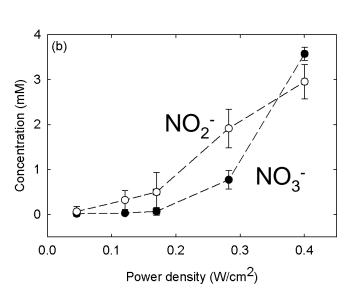
Implications/questions for plasma medicine

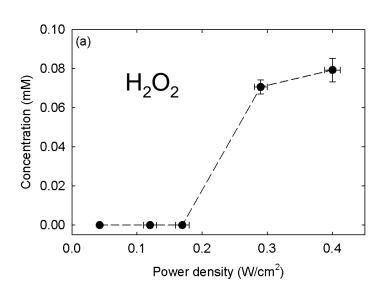
Reflections on Plasma-Generated Reactive Species Dynamics

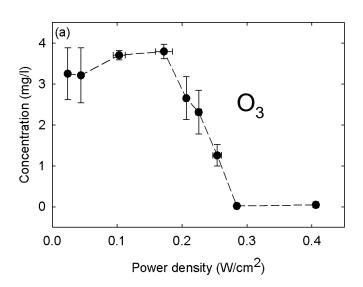
- Many gas phase RONS will react quickly in biological fluid phase
- How can they have persistent bio-medical effects?
- Possibly by forming nitrated/oxidized lipids, proteins, etc.
- Example of nitrated fatty acids (NO₂-FAs)

Example: Air SMD-Water Chemistry*



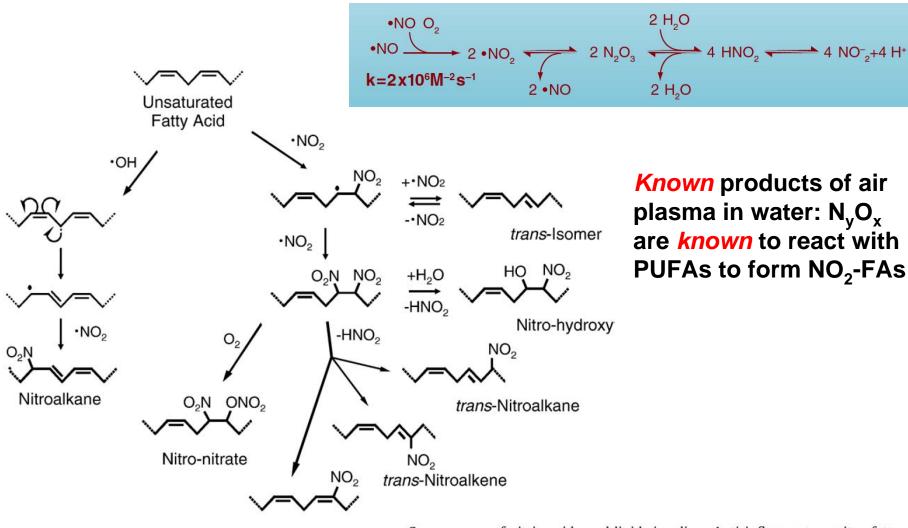






*Pavlovich et al., accepted PPP, 2012

Nitrated Fatty Acids NO₂-FAs: Radical Reaction Pathways



cis-Nitroalkene

Convergence of nitric oxide and lipid signaling: Anti-inflammatory nitro-fatty acids Paul R.S. Baker ^{a,*}, Francisco J. Schopfer ^a, Valerie B. O'Donnell ^b, Bruce A. Freeman ^{a,*}

Nitroalkene Biological/Therapeutic Activities

	Chemical name	Biological action/activity	Reference
LNO ₂	Nitrated linoleic acid	 Relaxes preconstricted rat aortic rings Inhibits O₂ · release in PMN, platelet aggregation and 	(88,104) (110,111)
	miololo dold	macrophage degranulationUpregulates HO-1 expression	(117)
		 Inhibits LPS-induced cytokine release Inhibits NF-кВ mediated gene expression 	(119) (118)
		 Binds and activates PPARγ Cardioprotective against ischemia-reperfusion injury 	(162,163) (100)
OA-NO ₂	Nitrated oleic acid	Directs protein/membrane associationInhibits stimulus-induced cytokine release	(109) (119)
		 Inhibits NF-κB mediated gene expression Activates PPARγ 	(118) (99)
		Increases glucose uptake and insulin sensitivity Decreases NOS2 expression	(99)
AA-NO ₂	Nitrated arachidonic acid	Decreases NOS2 expressionRelaxes preconstricted rat aortic vessels	(91) (91)
CLNO ₂	Nitrated cholesteryl linoleate	 Decreases NOS2 expression Upregulates HO-1 expression Inhibits stimulus-induced cytokine release 	(90) (90) (90)
	iniologic	• Inhibits NF-KB mediated gene expression	(90)

Convergence of nitric oxide and lipid signaling: Anti-inflammatory nitro-fatty acids

Paul R.S. Baker ^{a,*}, Francisco J. Schopfer ^a, Valerie B. O'Donnell ^b, Bruce A. Freeman ^{a,*}

Summary of RONS Importance in Biological Function

- RONS are known to play key roles in normal physiological functions such as cell signaling, vascular tone, neural transmission, apoptosis, etc.
- Well established that excessive RONS can be carcinogenic and are associated with many degenerative and other important diseases and aging
- RONS are also known to play key roles in immune system mostly innate (inflammatory) system, but also adaptive system

Summary of RONS Importance in Established Therapies

- The mechanisms of all antibiotics (e.g. Collins et al., 2007) and at least some antifungal and antiparasitic drugs (e.g. Artemisenin) appear to involve ROS generation.
- Many cancer therapies are based on the direct or indirect creation of RONS. Radiation therapy, photodynamic therapy (PDT) and certain chemotherapies all exploit this effect.

In other words, it is NOT SURPRISING that plasma medicine works - it is based on RONS chemistry that works in other therapies

Confluence of Redox Biology and Plasma Science: Status

- Low temperature plasmas <u>create RONS and other</u> reactive species in relatively high densities at ambient gas temperature
- Preliminary positive results for infection control (disinfection/sterilization and antisepsis); wound healing; cancer therapy; various dermatology applications; dental wound/cavity/biofilm treatment; others
- But how do plasma-generated RONS work in detail? (e.g. through reactions with lipids/proteins?); Does plasma-generation of RONS provide unique advantages?

