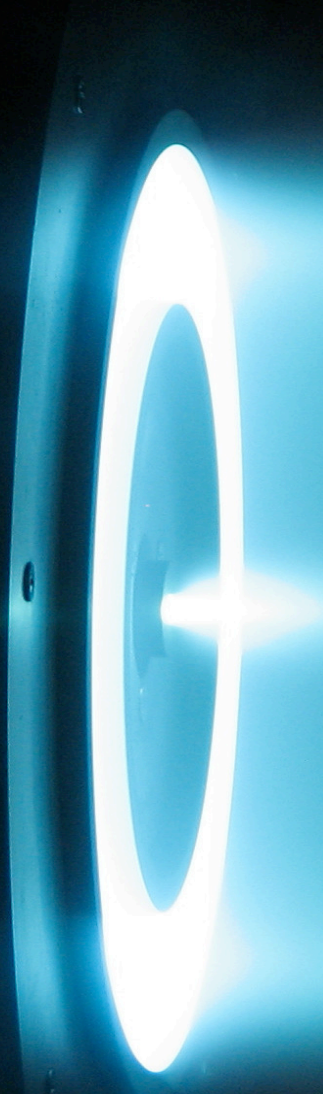


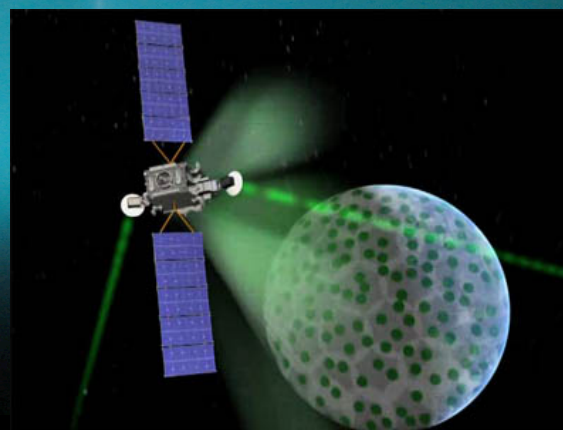
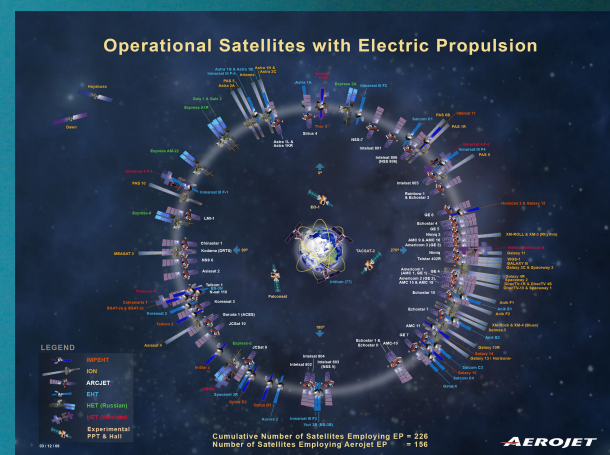
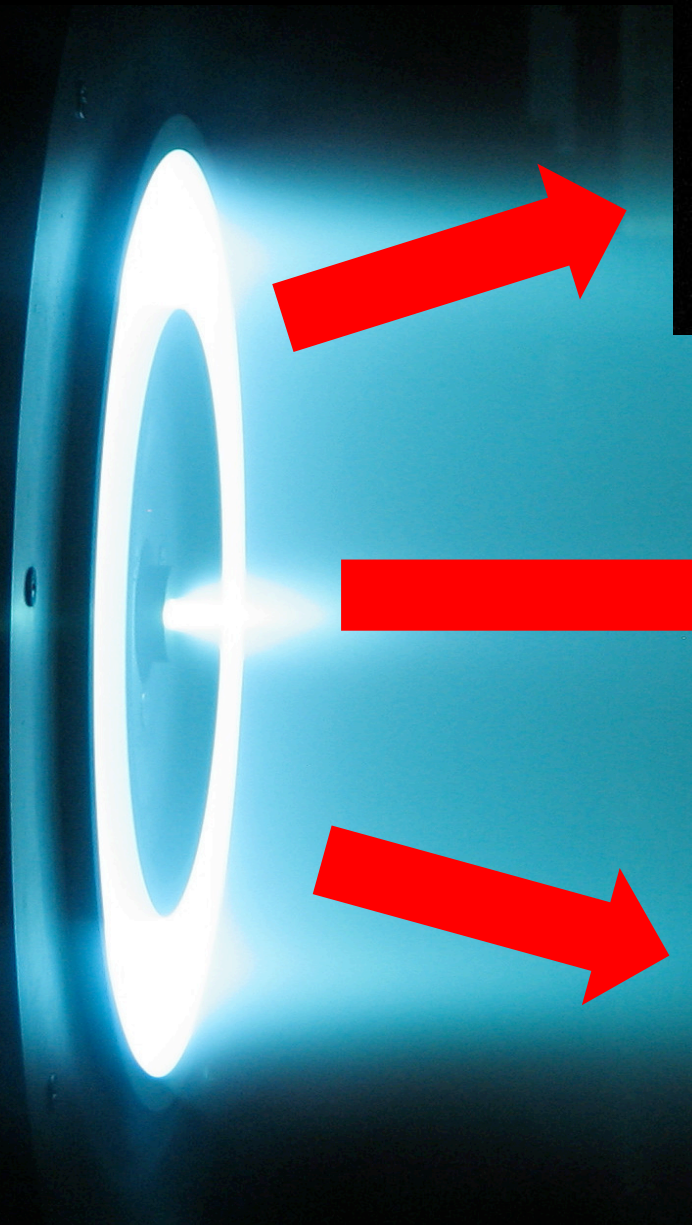


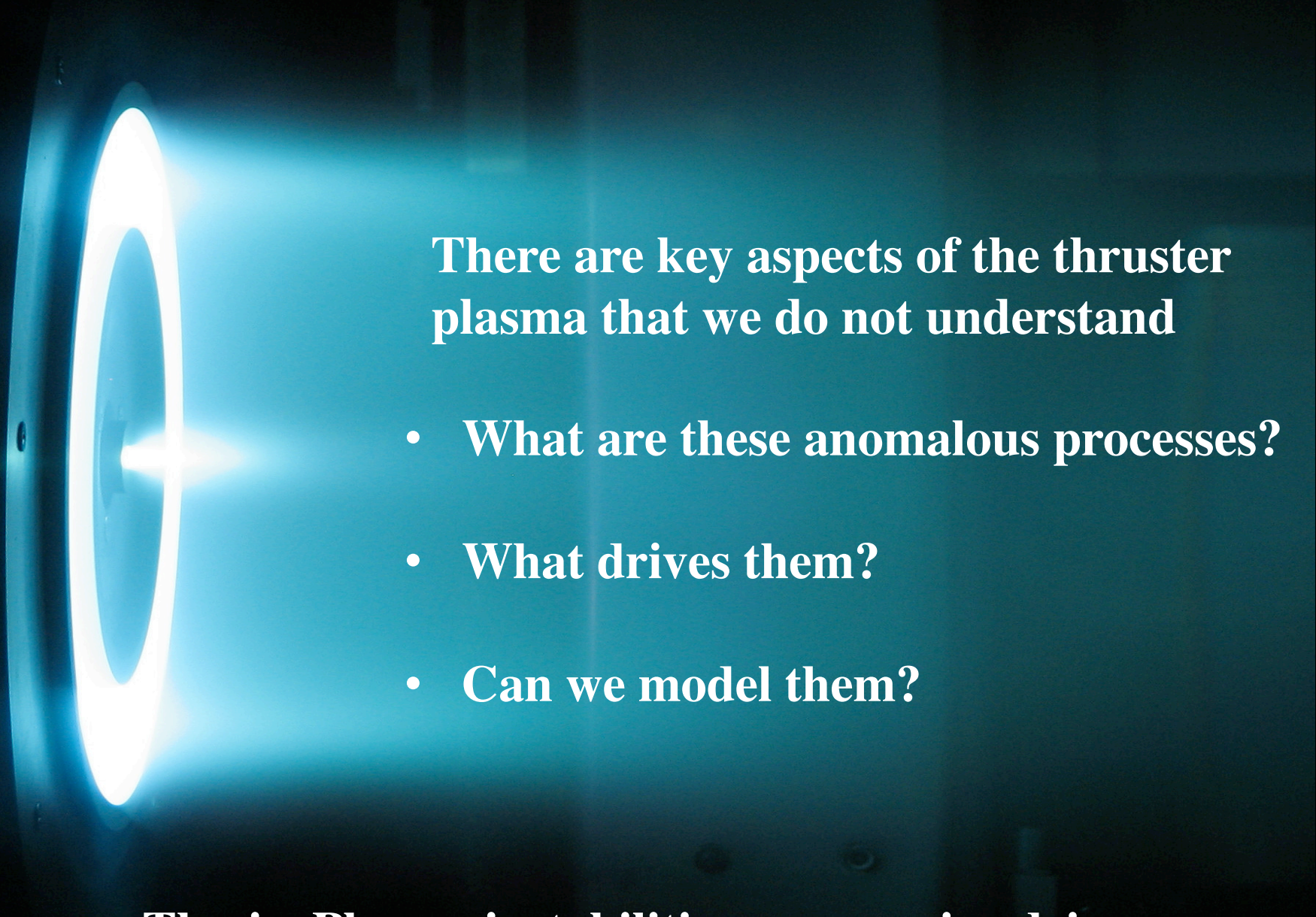
Plasma Instabilities in Electric Propulsion Devices

Benjamin Jorns

**Assistant Professor
Department of Aerospace Engineering
University of Michigan**

**Co-Director
Plasmadynamics and Electric Propulsion Laboratory**



A photograph of a plasma thruster firing. A bright, glowing blue ring is visible on the left, with a smaller, more intense blue plume extending from its center towards the right. The background is dark and slightly out of focus.

There are key aspects of the thruster plasma that we do not understand

- **What are these anomalous processes?**
- **What drives them?**
- **Can we model them?**

Thesis: Plasma instabilities are a major driver

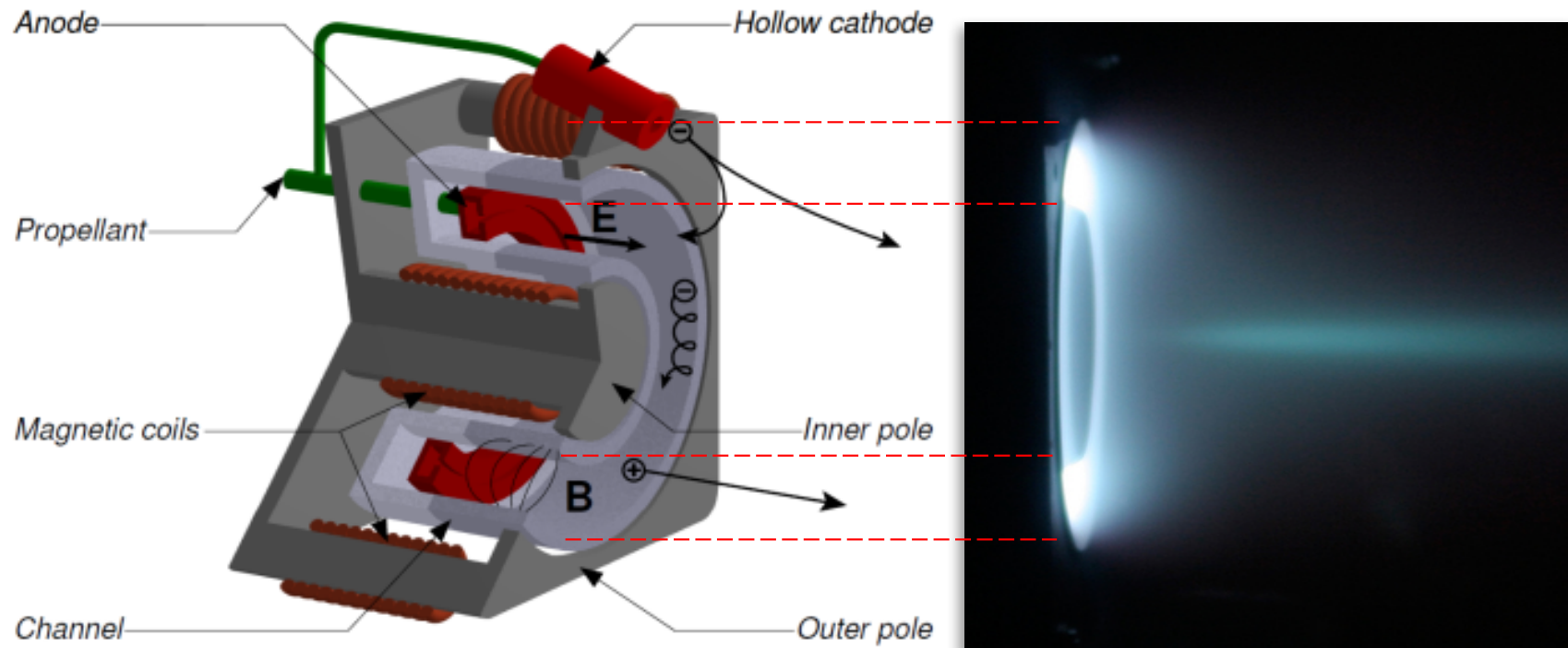


Overview

- Hall thruster principle of operation
- Anomalous processes in Hall thruster operation
- Role of plasma instabilities

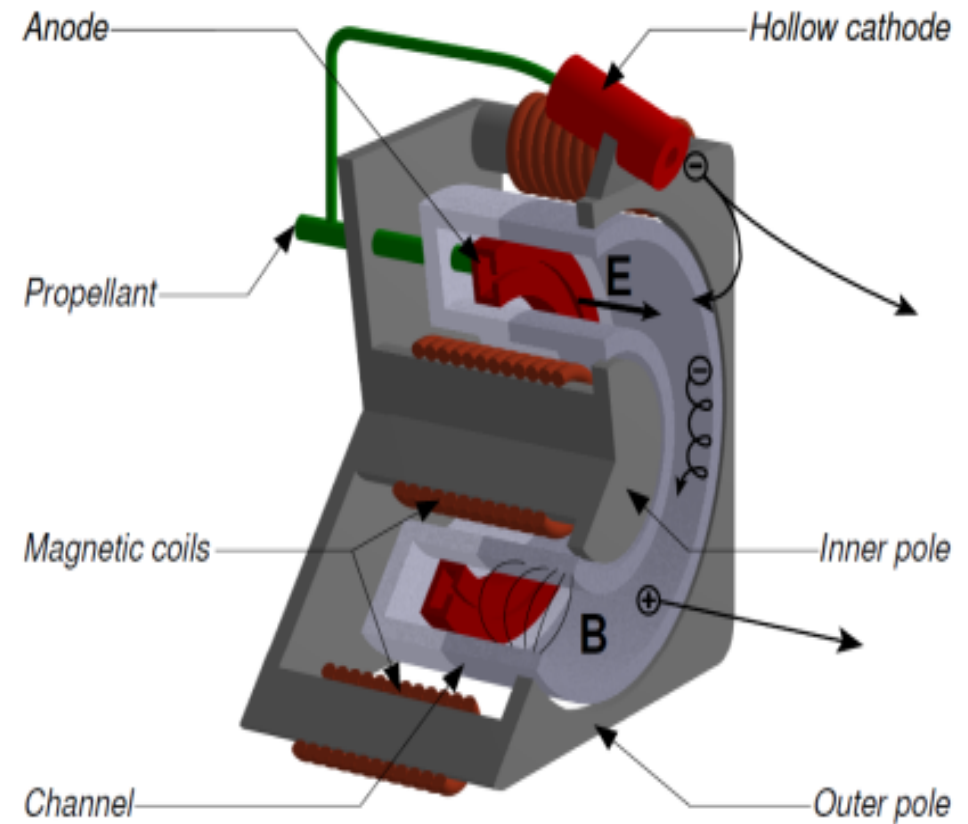
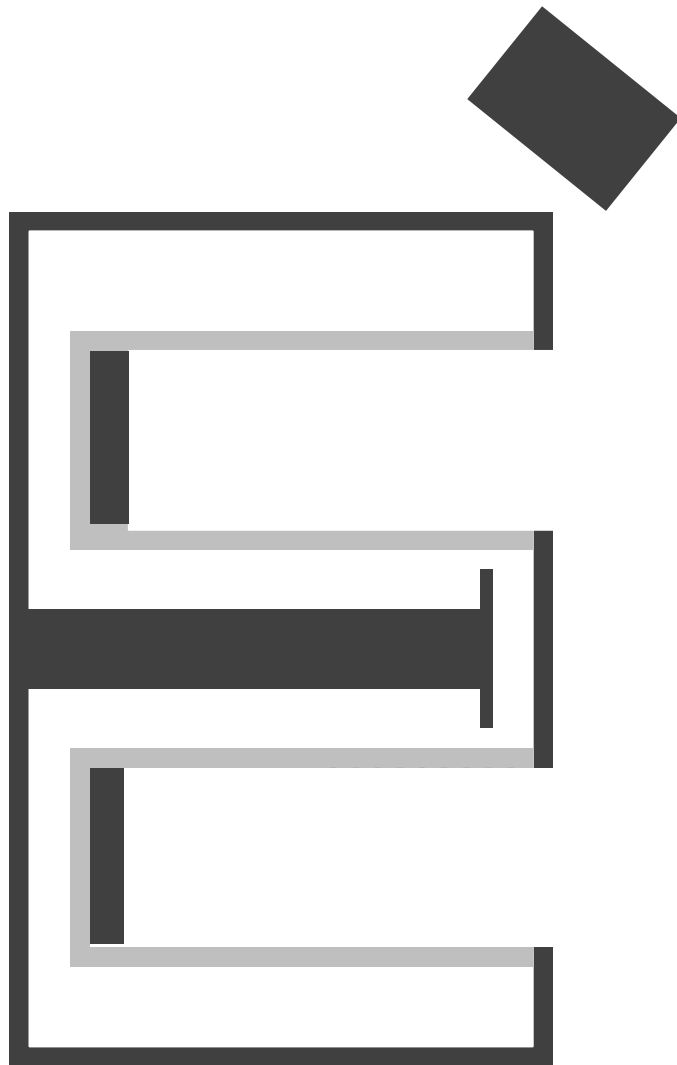


Hall thruster principle of operation



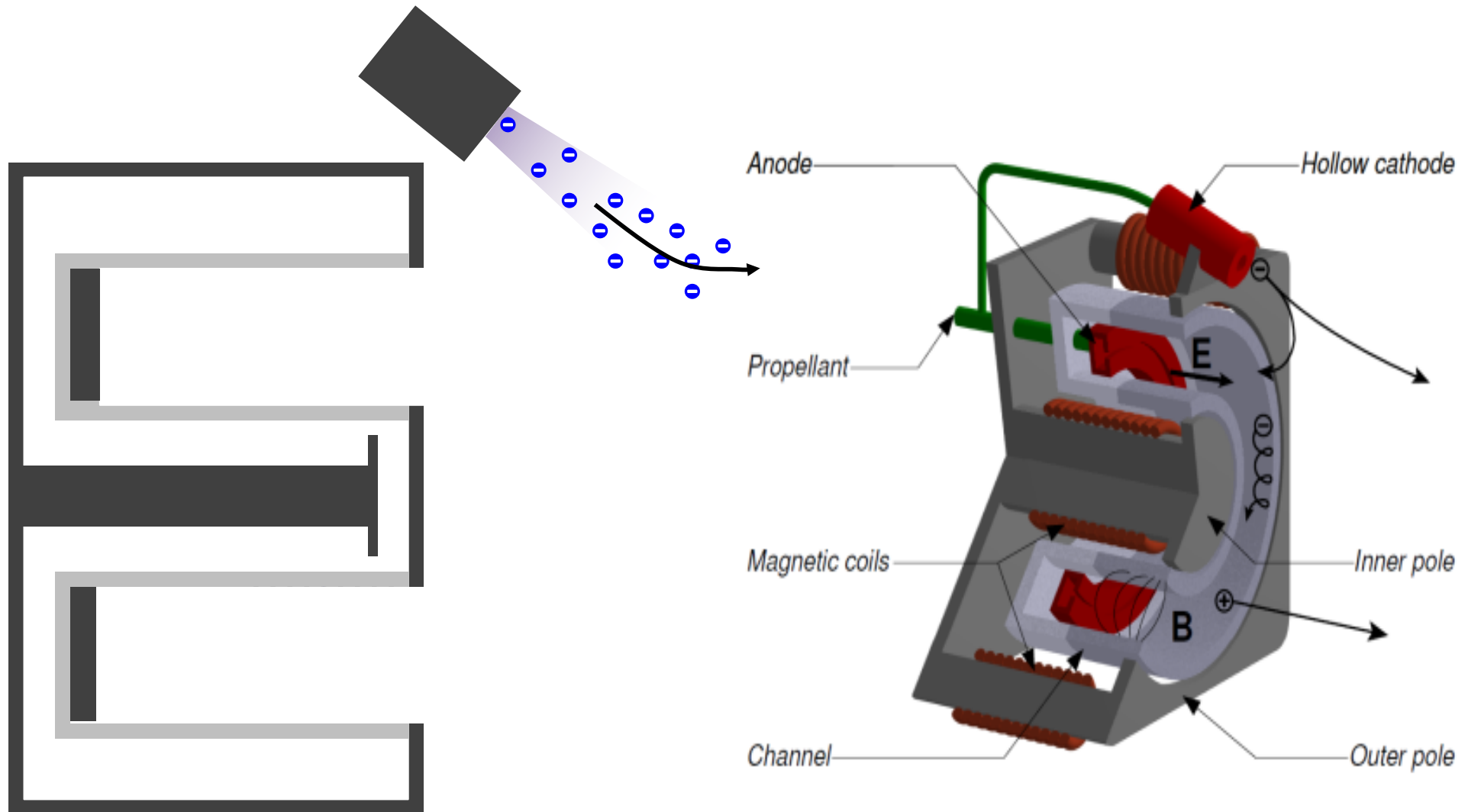


Hall thruster principle of operation





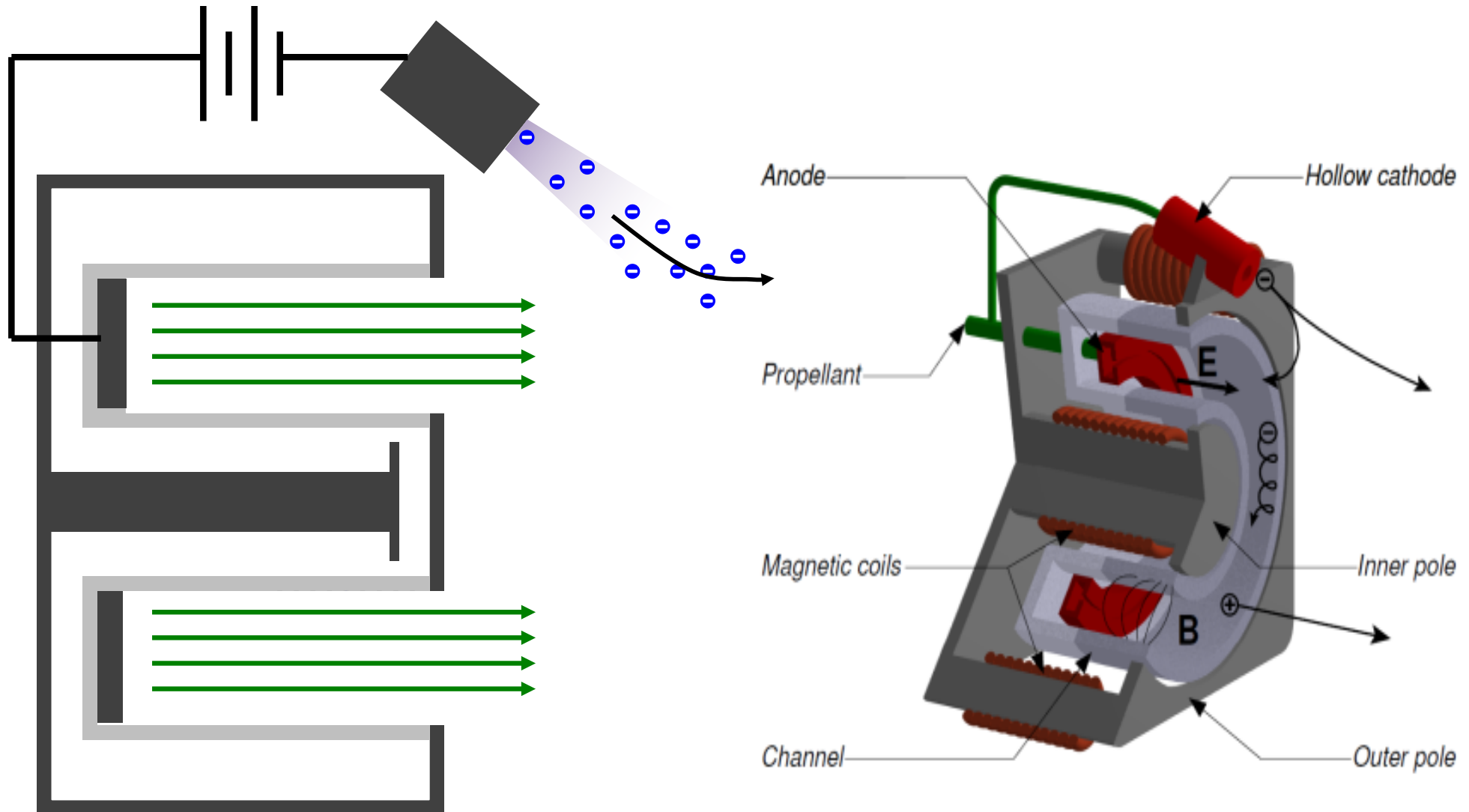
Hall thruster principle of operation



Hollow cathode heated until thermionically emitting electrons



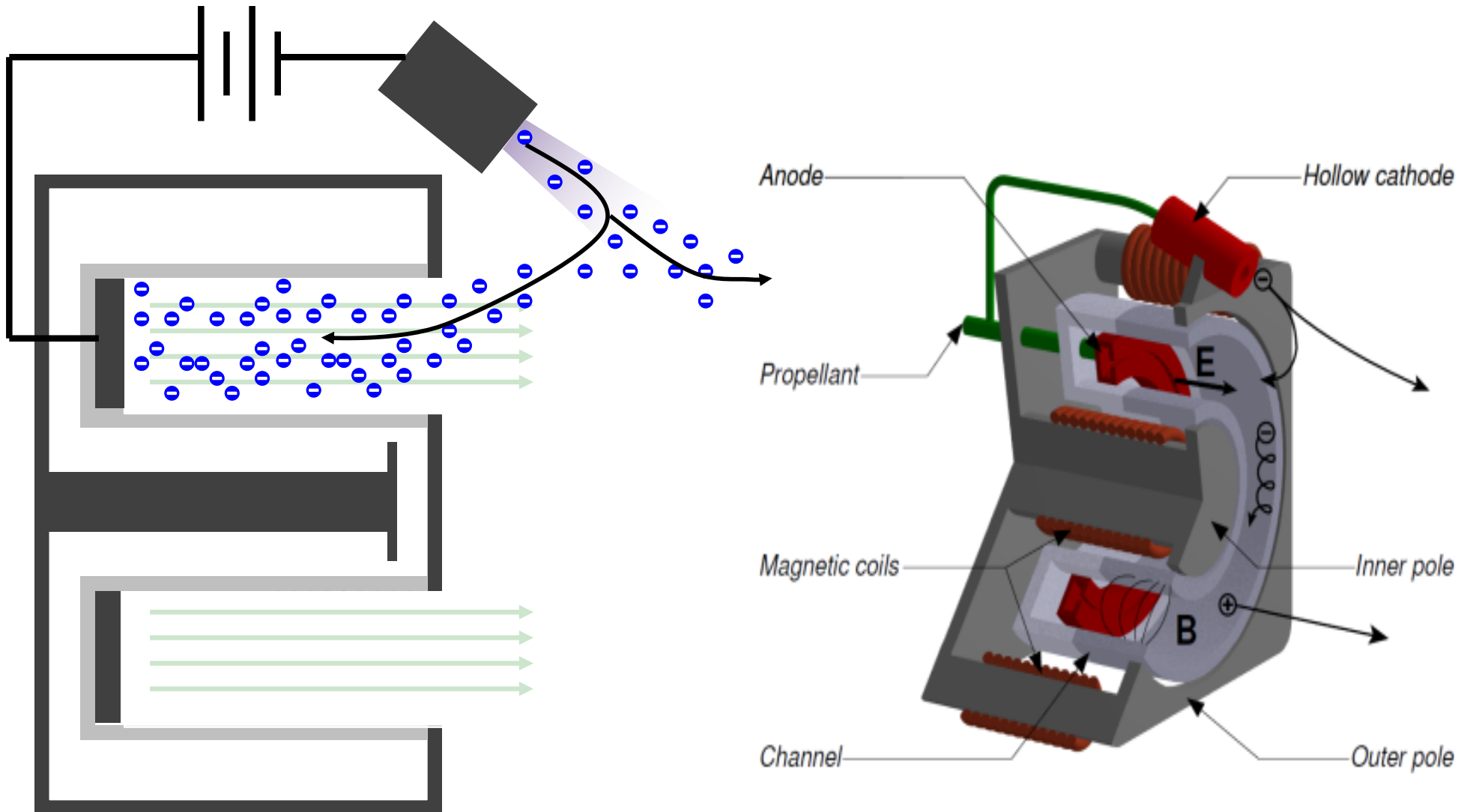
Hall thruster principle of operation



Electric field applied between anode and cathode



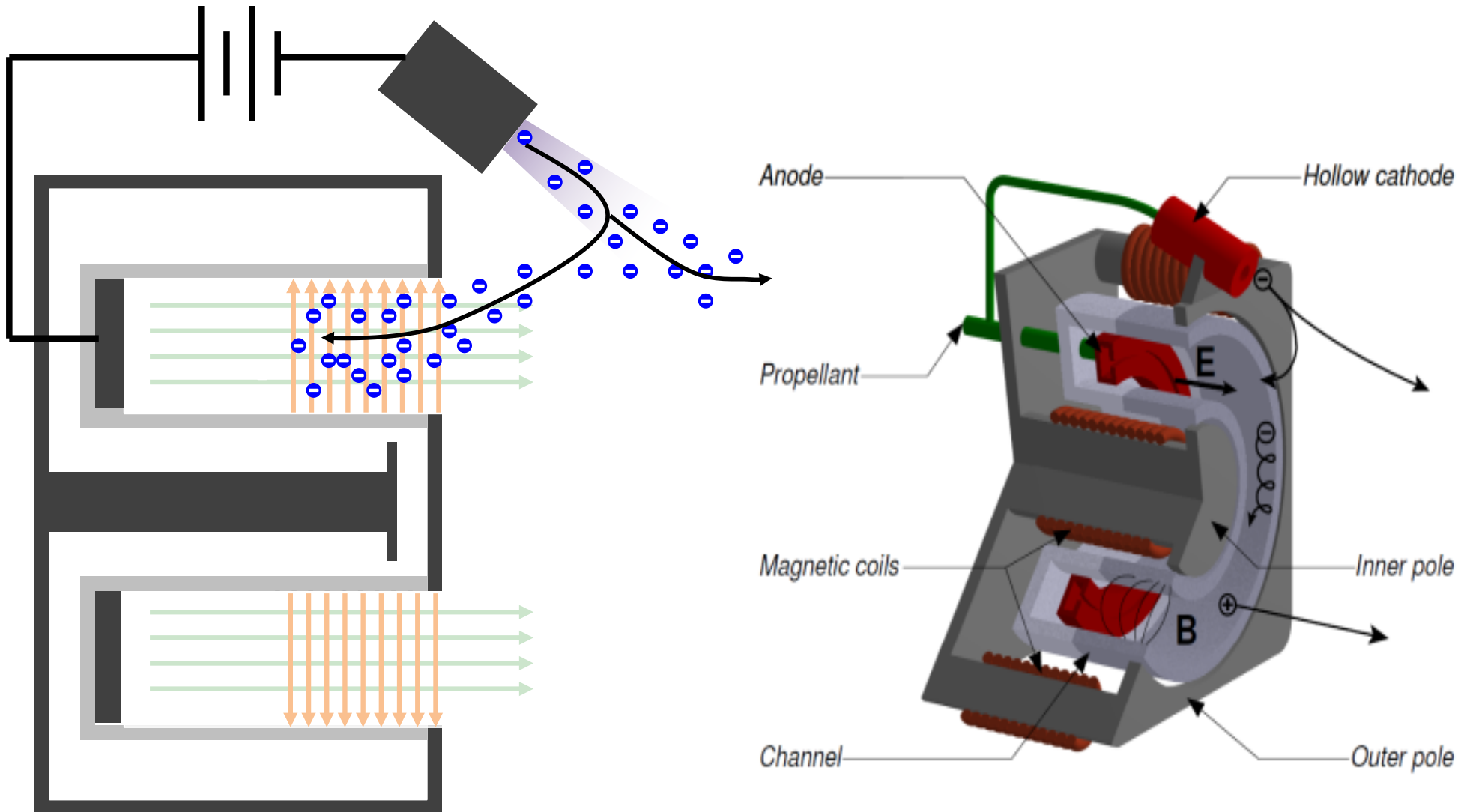
Hall thruster principle of operation



Electrons follow electric field and current flows between cathode and anode



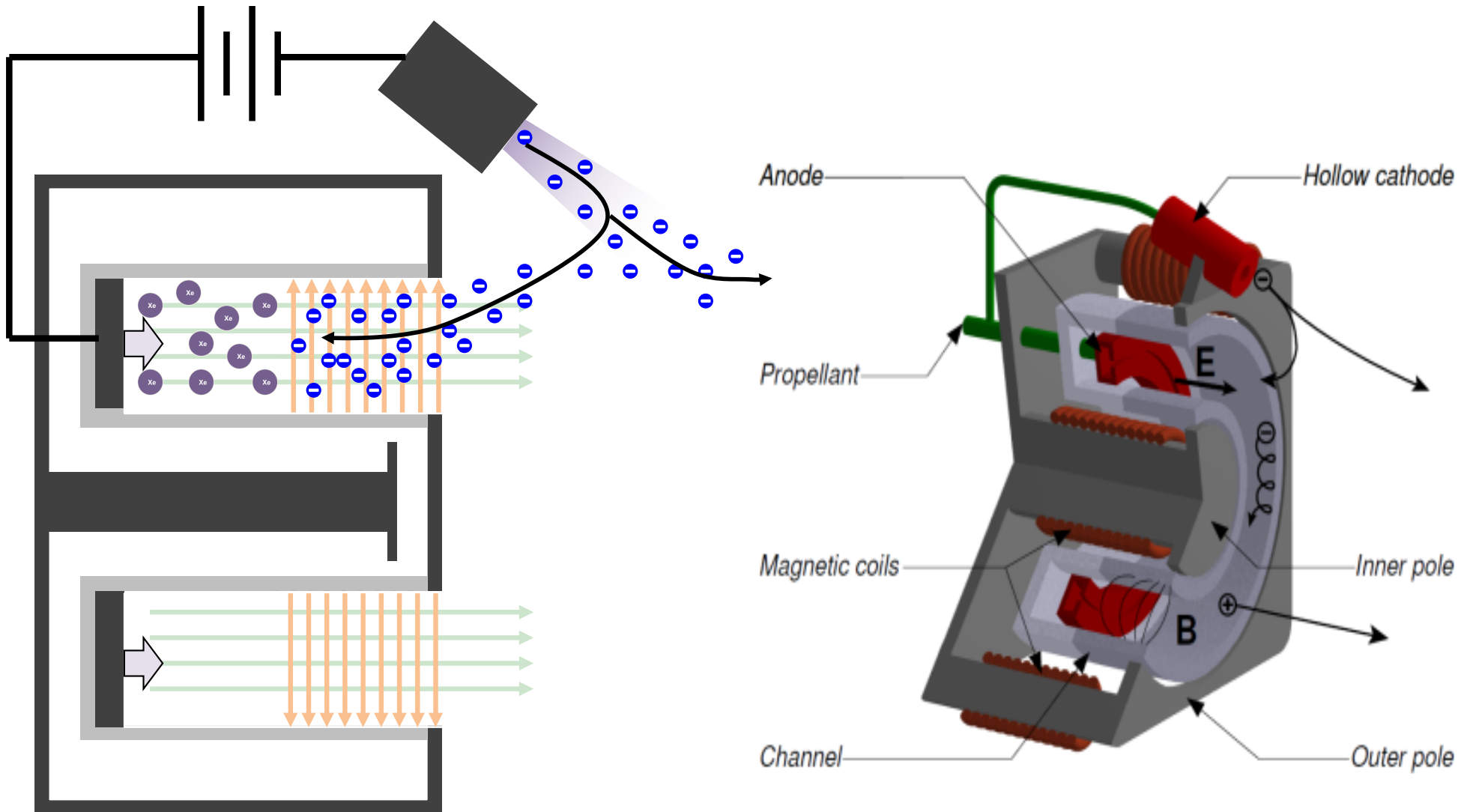
Hall thruster principle of operation



Magnetic field is applied in the radial direction. Electrons are trapped in $\mathbf{E} \times \mathbf{B}$ azimuthal drift: *Hall effect*



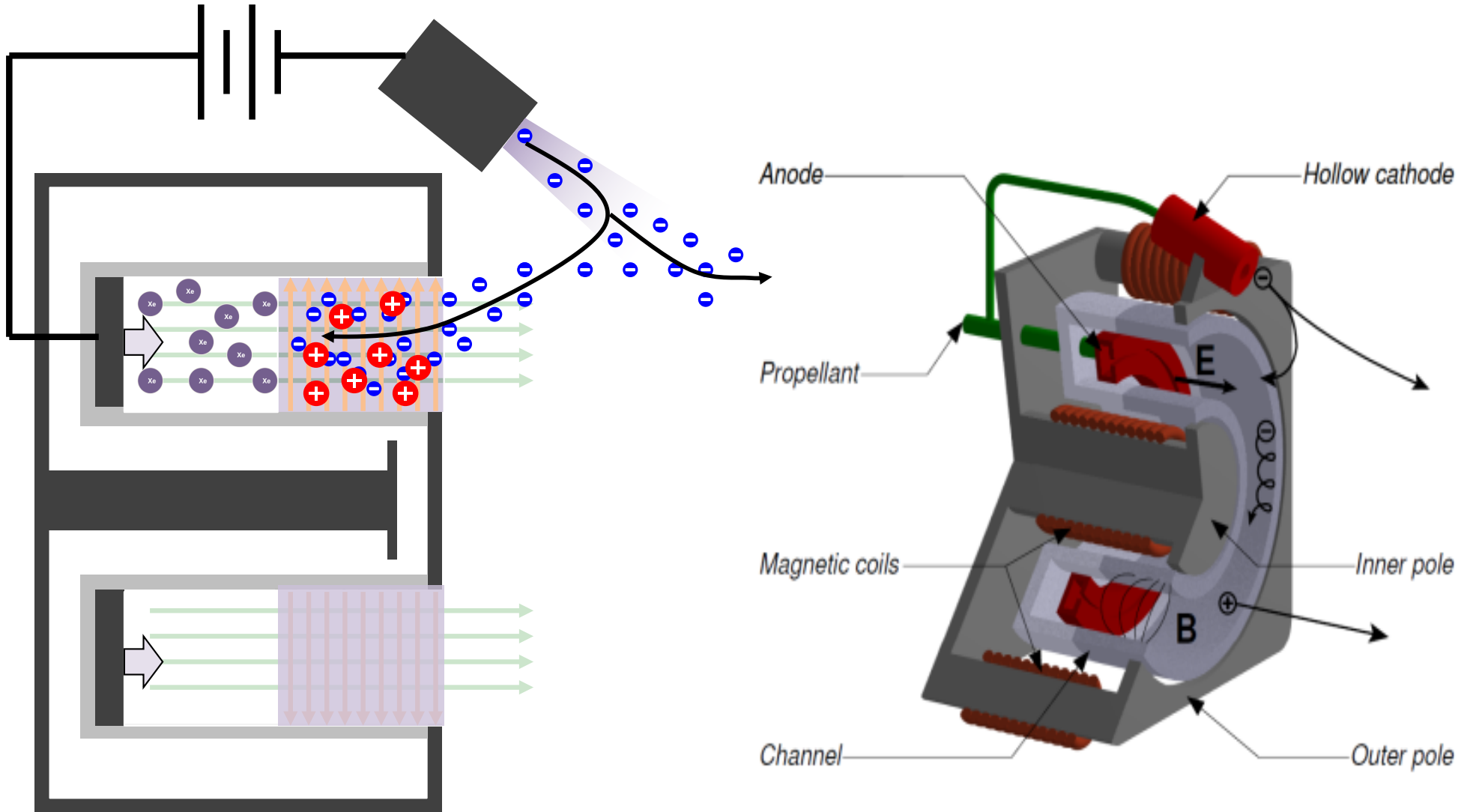
Hall thruster principle of operation



Neutral gas (xenon) flows through thruster anode.



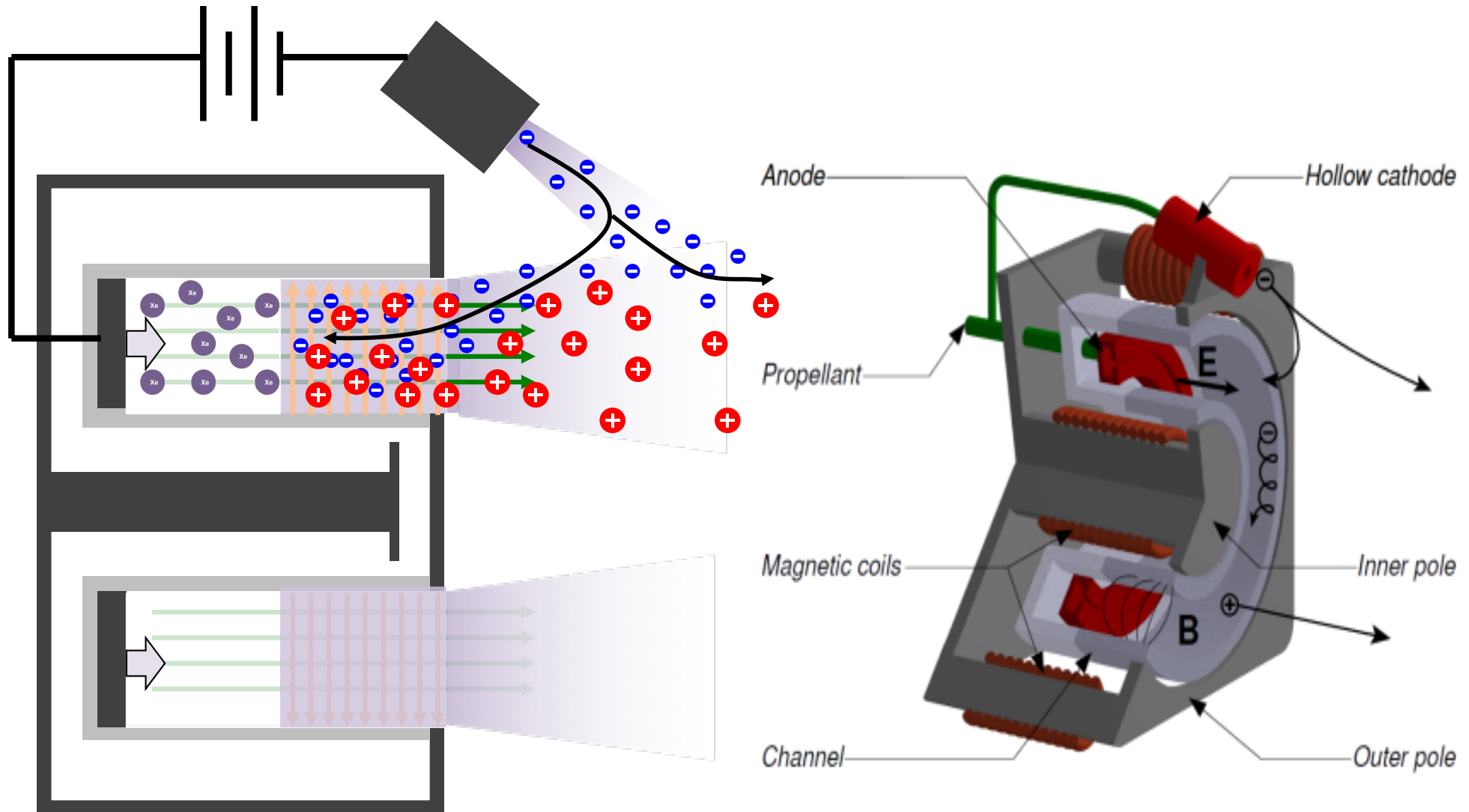
Hall thruster principle of operation



Electron azimuthal drift “buzz saw” ionizes neutral xenon. Plasma is created.



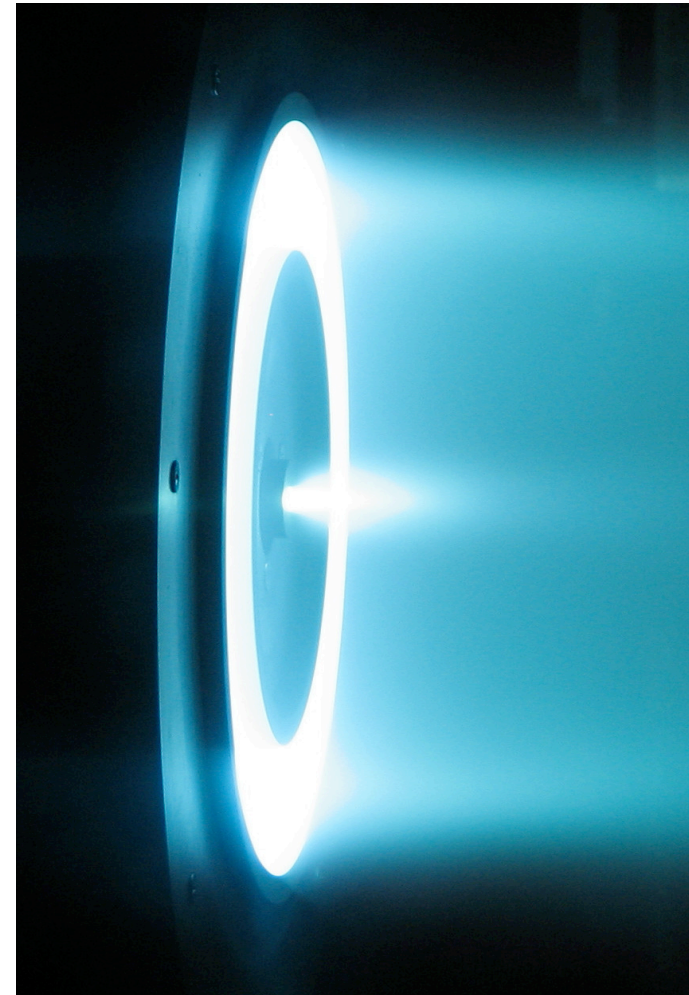
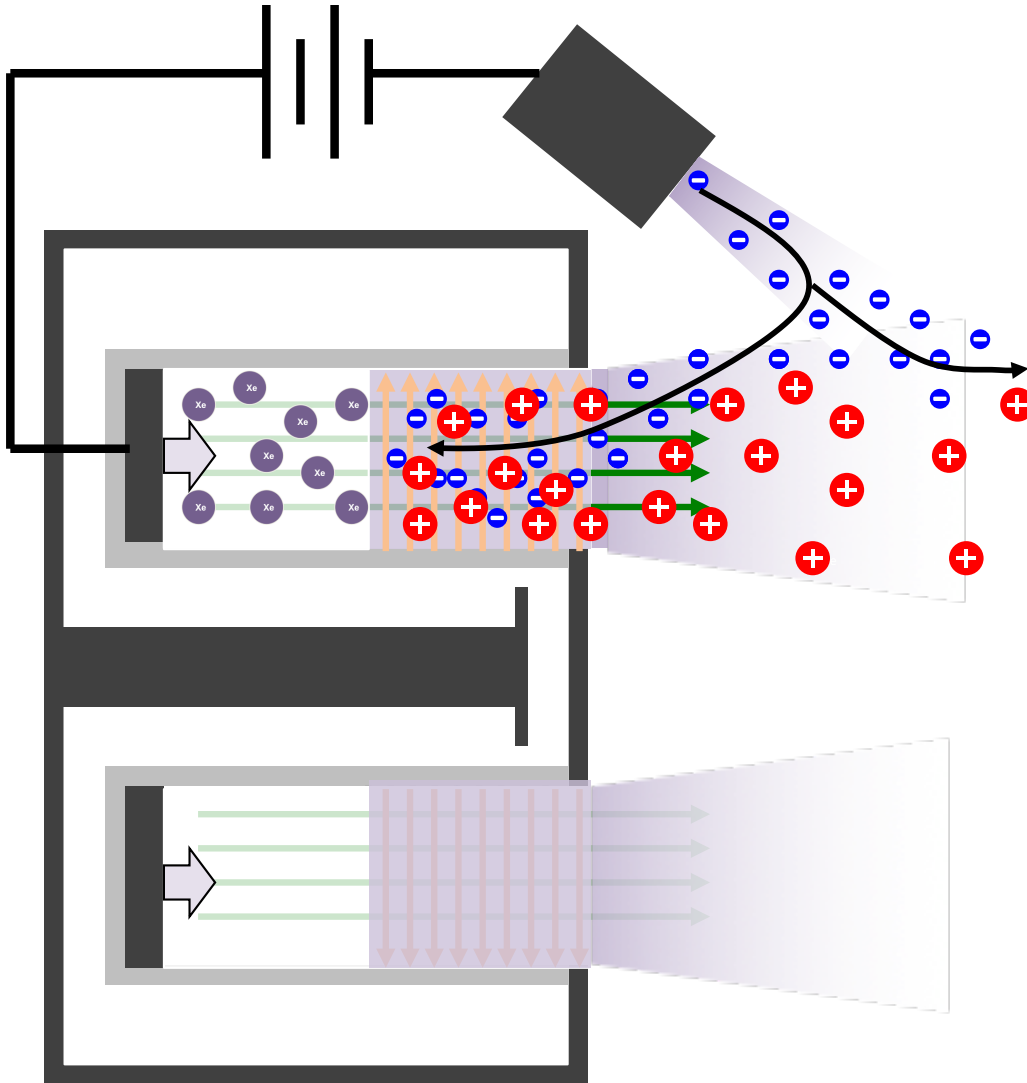
Hall thruster principle of operation



Ions are unmagnetized and therefore follow electric field lines directly.
Accelerated ions are neutralized by electrons in plume



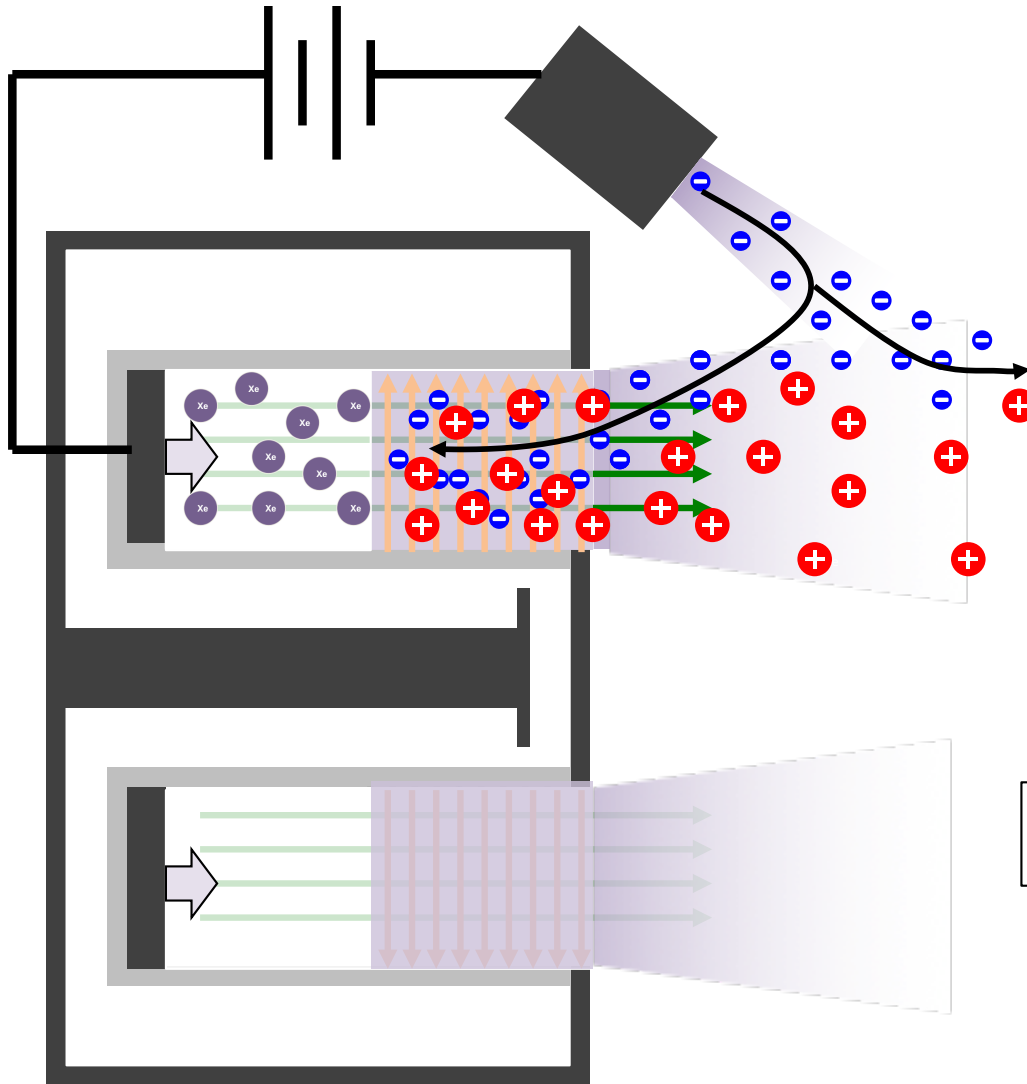
Hall thruster principle of operation



Hall thrusters work and currently fly on hundreds of spacecraft on orbit



Hall thruster principle of operation



High specific impulse

$$I_{sp} \propto \sqrt{V_D} \quad 1000-3000 \text{ s}$$

High thrust density

$$\sum_s q_s n_s = 0 \quad 30-500 \text{ mN}$$

Simple PPU and control logic

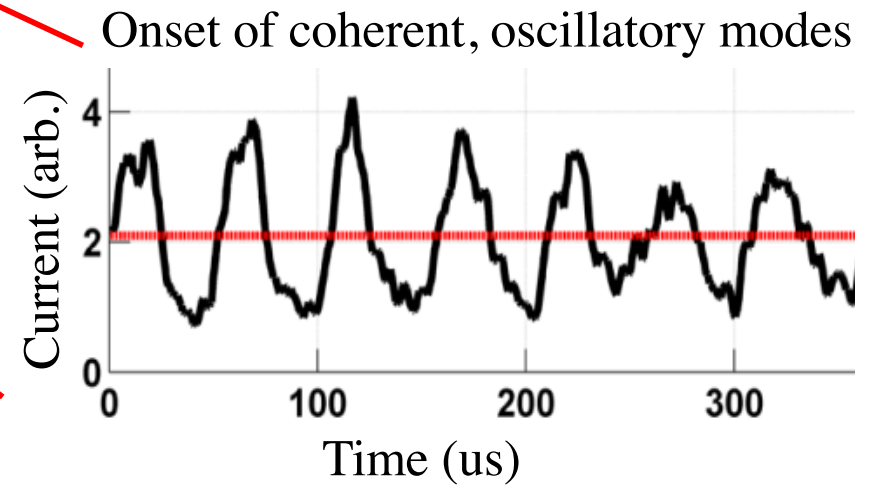
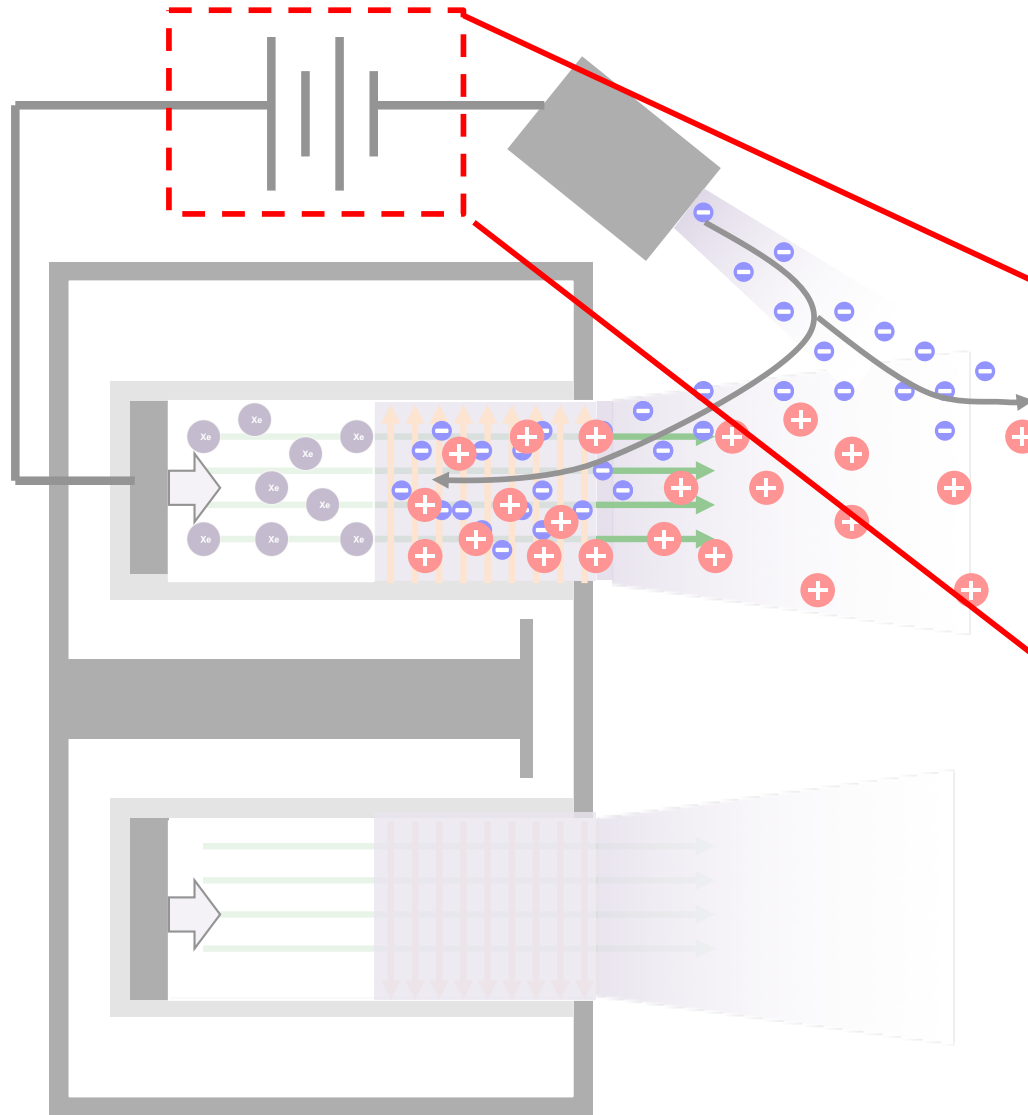
1-13 kW

Hall thrusters work and currently fly on hundreds of spacecraft on orbit

However, there are key aspects of their operation that we do not understand

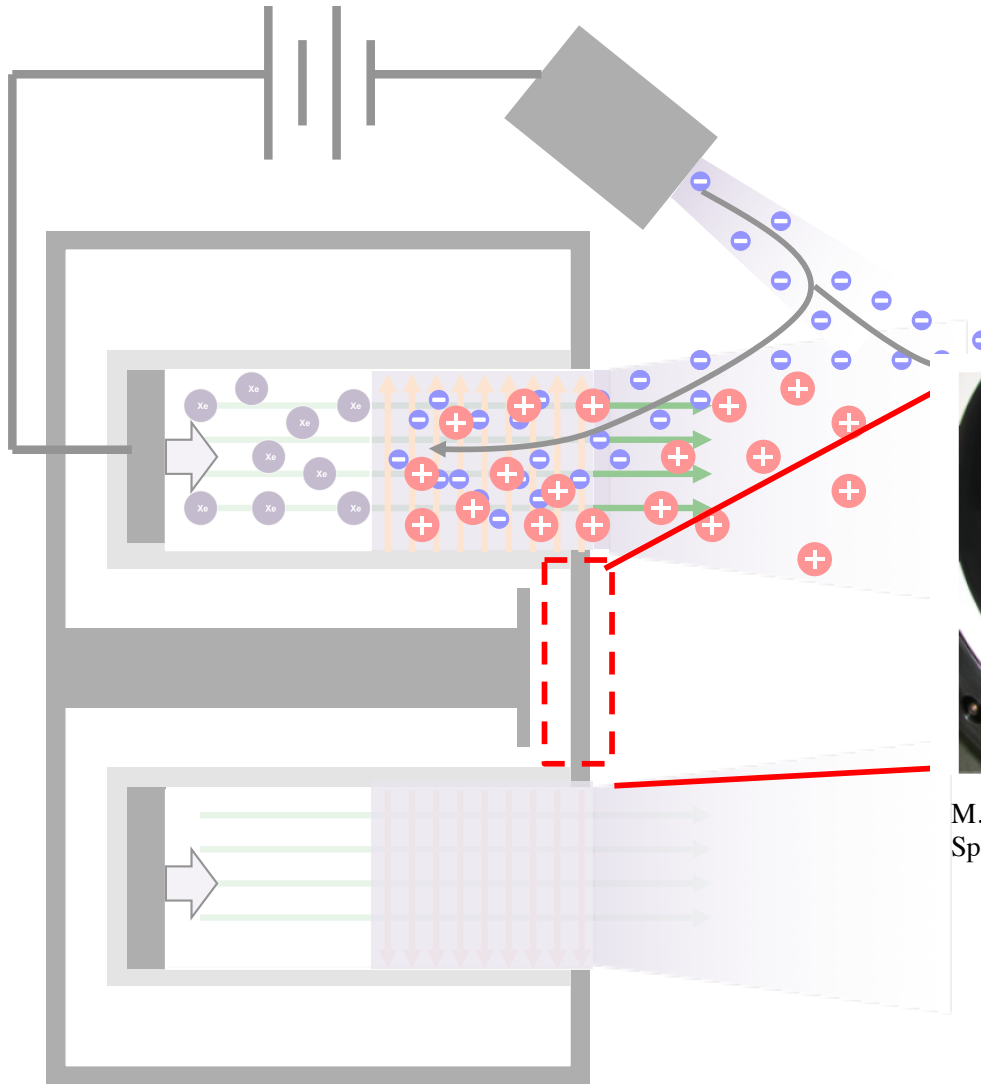


Anomalous Processes in Hall Thruster Operation





Anomalous Processes in Hall Thruster Operation



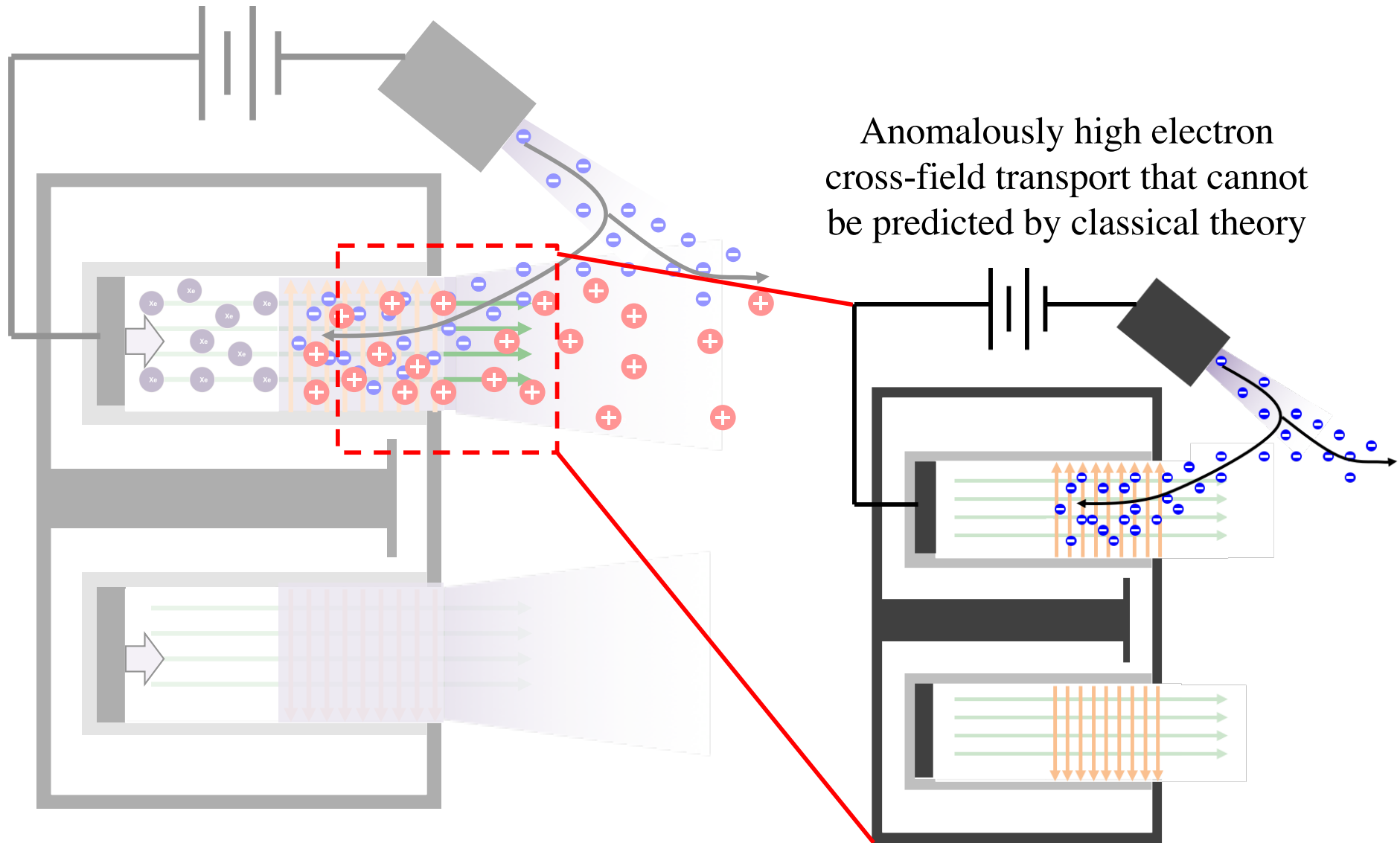
Unexplained erosion of downstream surfaces



M. Sekerak et al. "Wear Testing of a Magnetically Shielded Hall Thruster at 2000 s Specific Impulse," IEPC-2015-155.

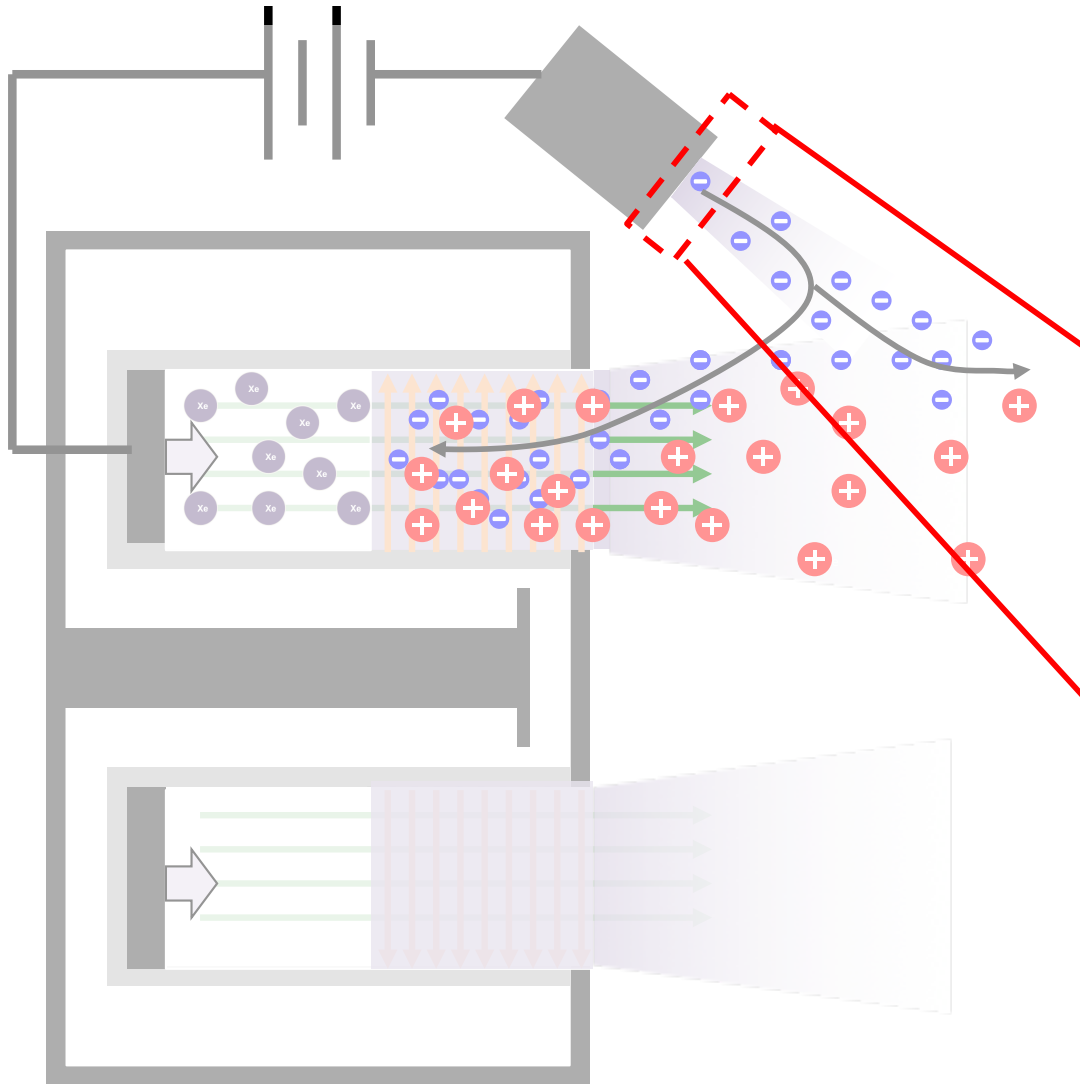


Anomalous Processes in Hall Thruster Operation

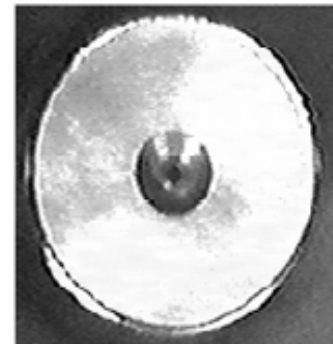




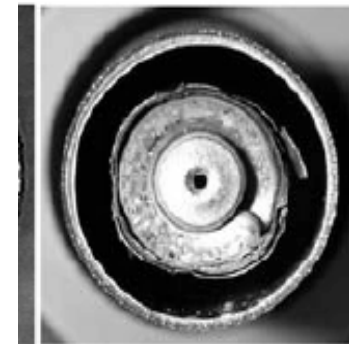
Anomalous Processes in Hall Thruster Operation



Unexplained erosion and electron transport in region of hollow cathode



0 hrs



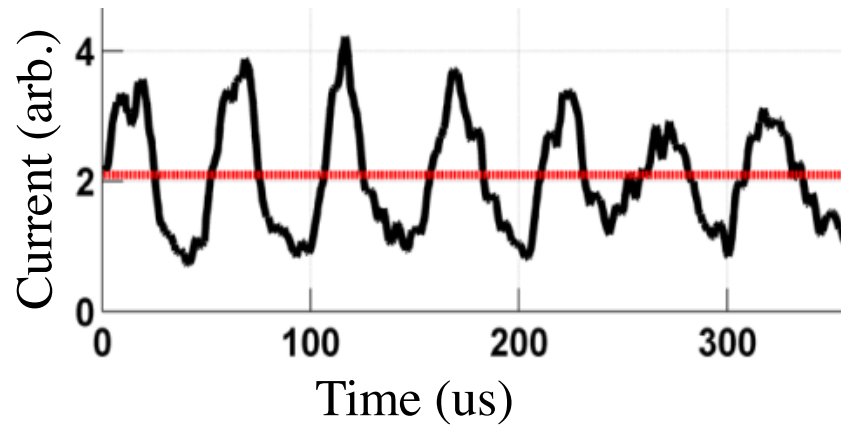
30352 hrs

Sengupta, A., Brophy, J. R., and Goodfellow, K. D. AIAA 03-4558, July 2003.

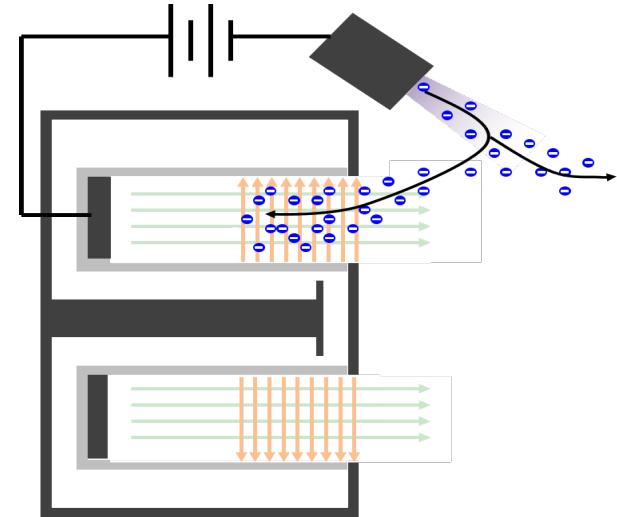


Anomalous Processes in Hall Thruster Operation

Onset of coherent, oscillatory modes



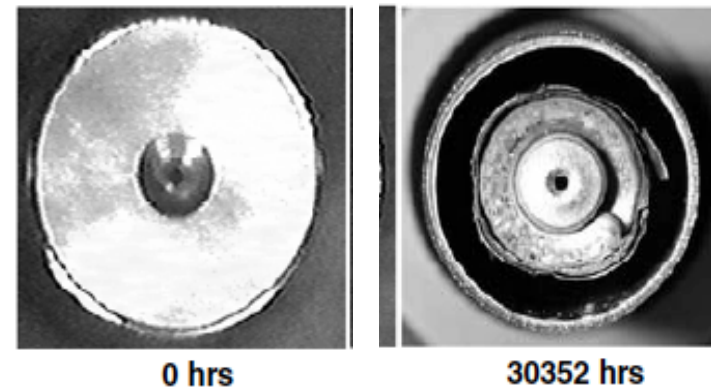
Cross-field transport



Thruster surface erosion



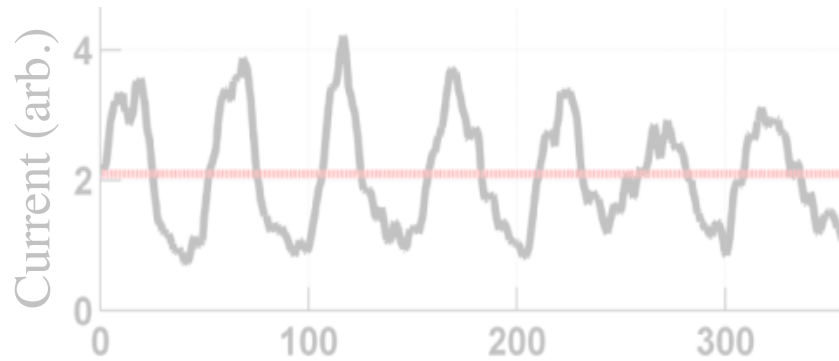
Cathode erosion and electron transport



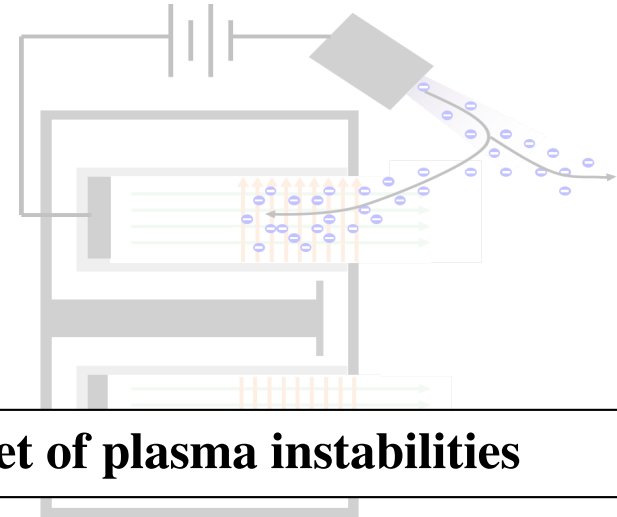


Anomalous Processes in Hall Thruster Operation

Onset of coherent, oscillatory modes

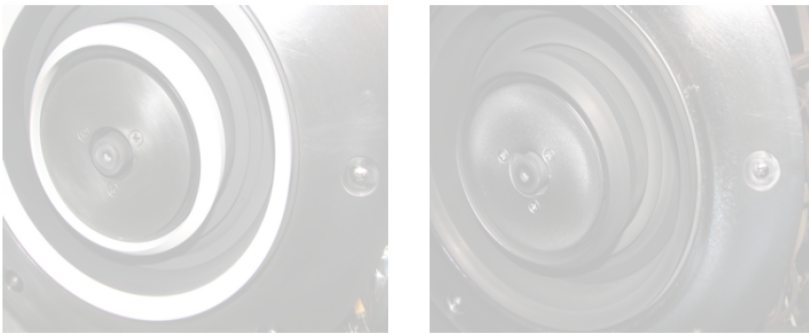


Cross-field transport

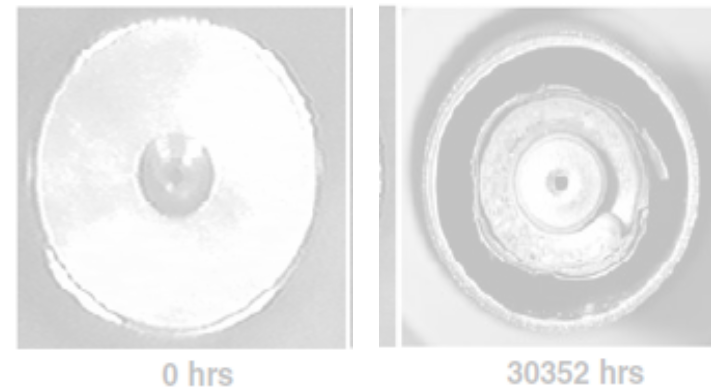


Each of these mechanisms is related to the onset of plasma instabilities

Thruster surface erosion



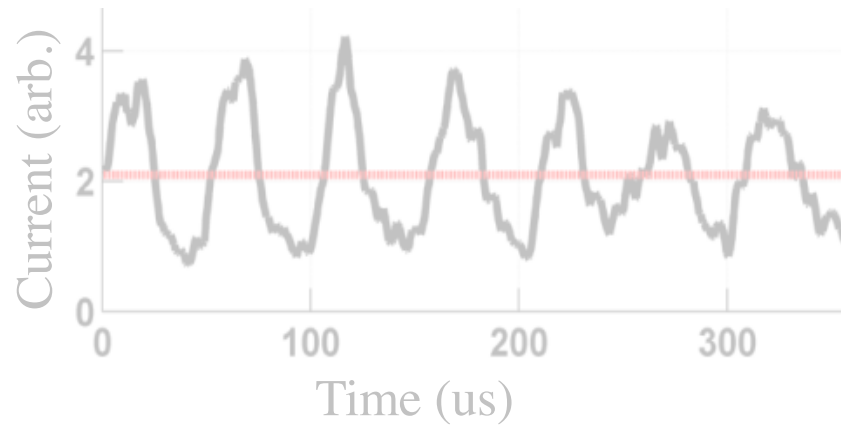
Cathode erosion and electron transport



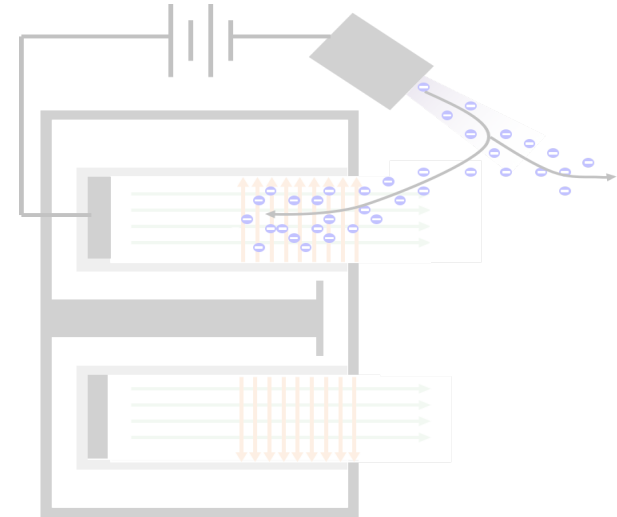


Anomalous Processes in Hall Thruster Operation

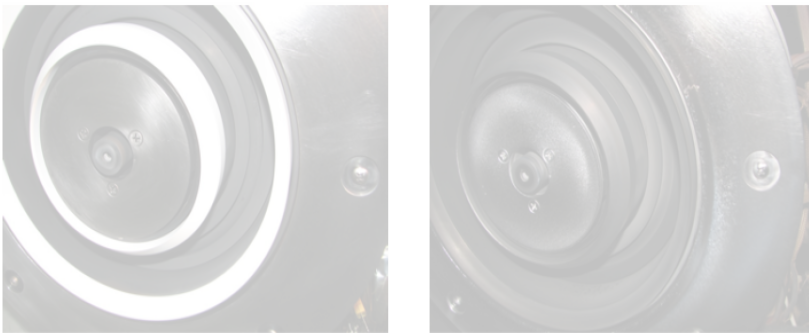
Onset of coherent, oscillatory modes



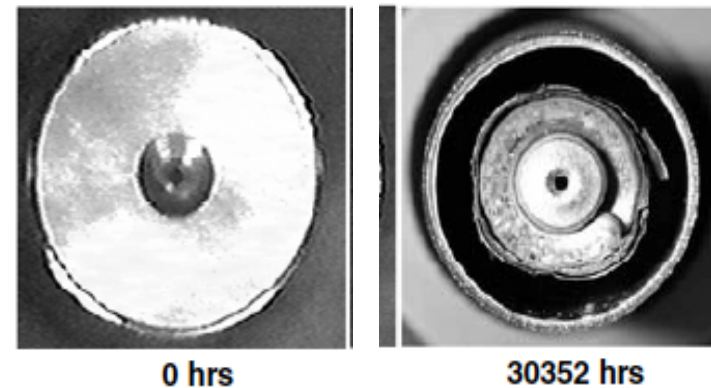
Cross-field transport



Thruster surface erosion



Cathode erosion and electron transport





Anomalous processes in the hollow cathode plume:

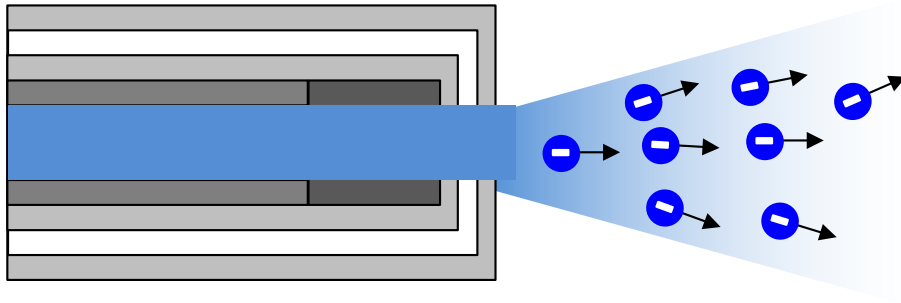
Cathode erosion

Non-classical electron resistivity



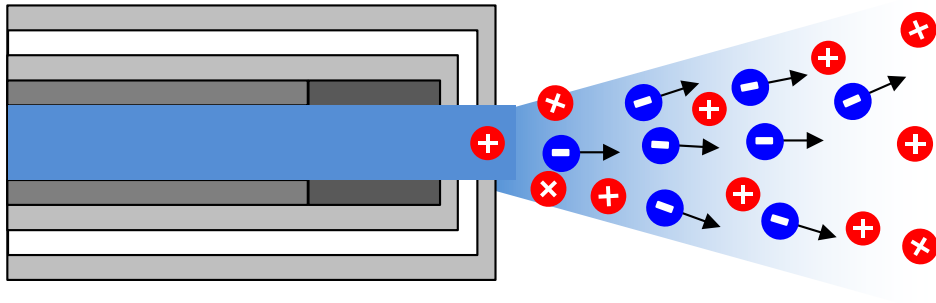
Anomalous ion heating leading to cathode erosion

- Cathode is an electron source





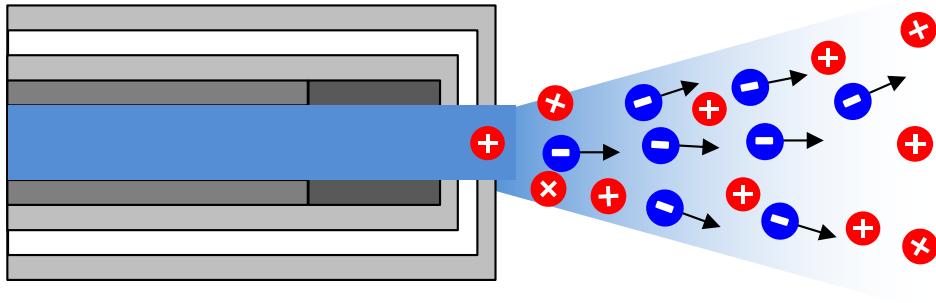
Anomalous ion heating leading to cathode erosion



- Cathode is an electron source
- Plasma is quasineutral: electrons stream against background of ions



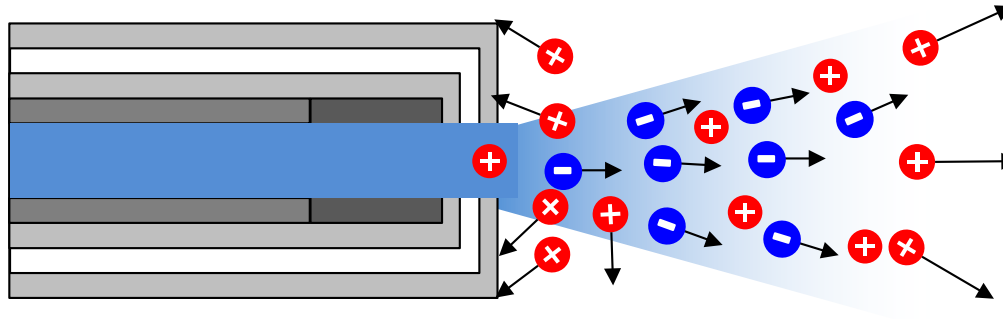
Anomalous ion heating leading to cathode erosion



- Cathode is an electron source
- Plasma is quasineutral: electrons stream against background of ions
- Classically, background ions should be low energy



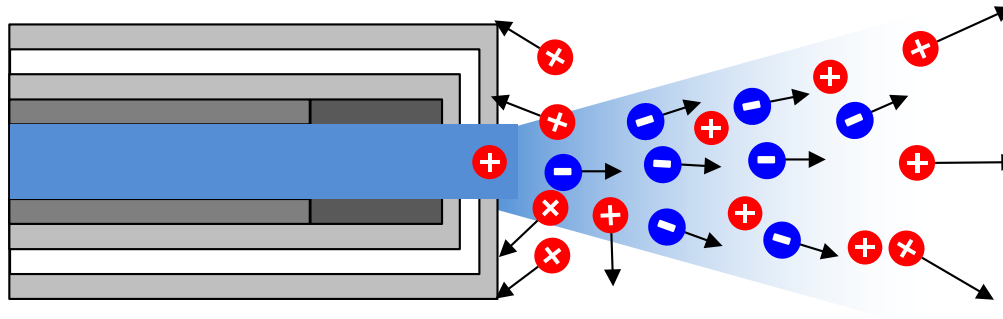
Anomalous ion heating leading to cathode erosion



- Cathode is an electron source
- Plasma is quasineutral: electrons stream against background of ions
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- However, *some unknown process* in plume accelerates ions to high and damage-causing energy



Anomalous ion heating leading to cathode erosion



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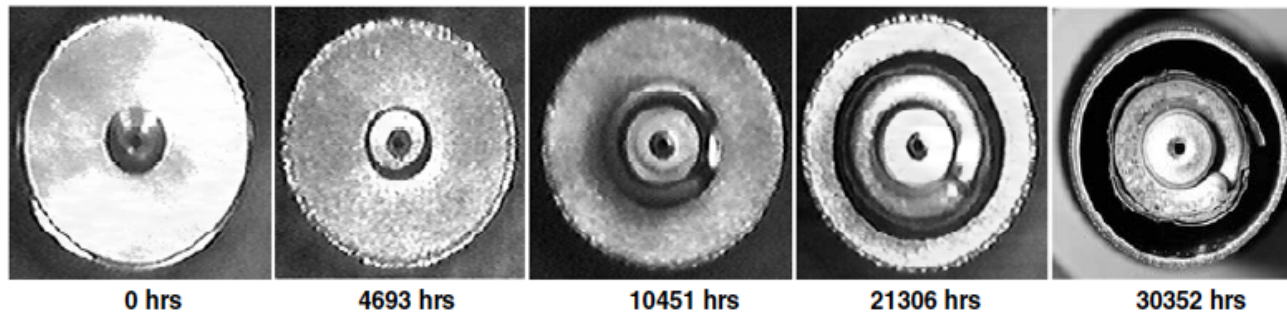
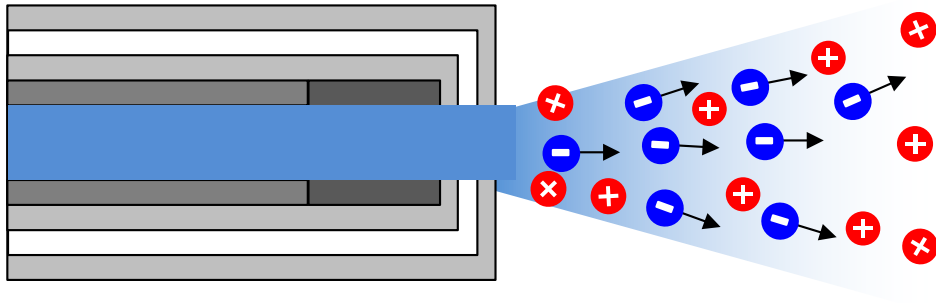


Fig. 2 Front view of the DHC keeper at various times during the 30,000 h ELT of a 30-cm ion thruster.



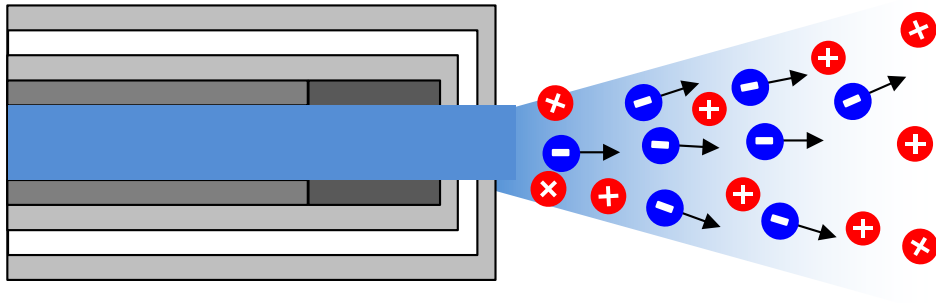
Anomalous electron resistivity





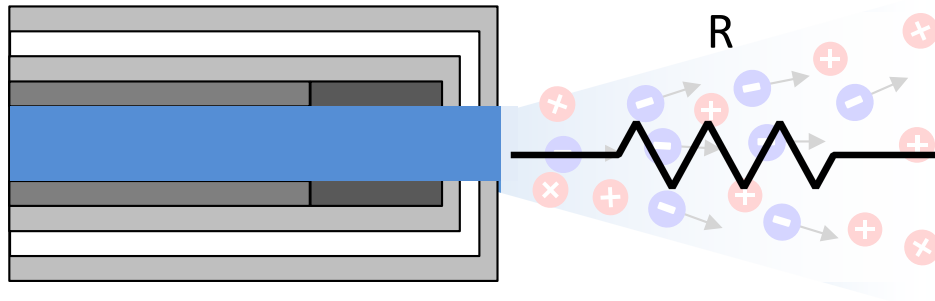
Anomalous electron resistivity

- Plasma current carried by electrons.





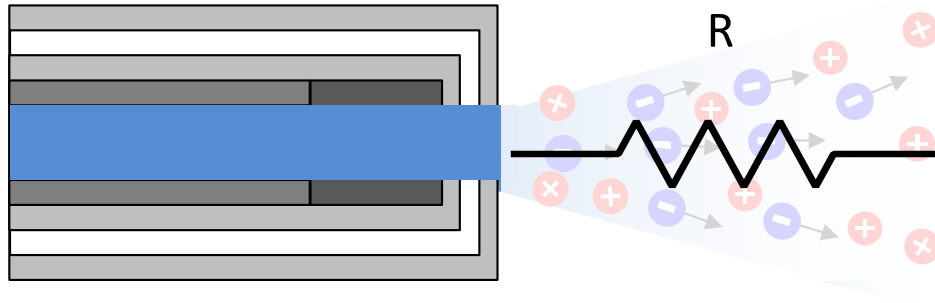
Anomalous electron resistivity



- Plasma current carried by electrons.
- Plasma has an inherent resistance which is classically due to collisions slowing down electron motion



Anomalous electron resistivity

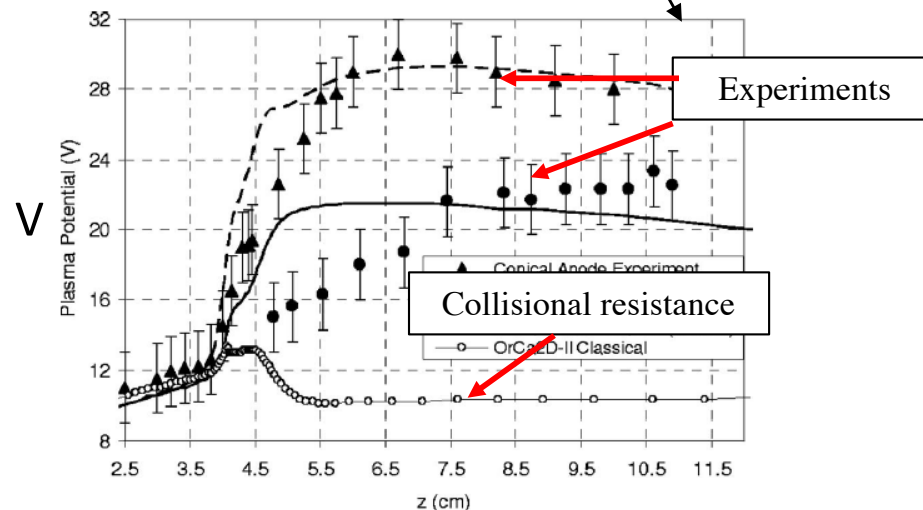
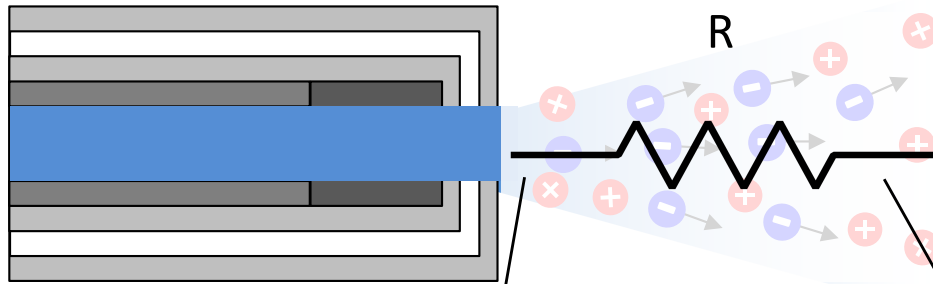


- Plasma current carried by electrons.
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- Some *unknown* mechanism provides additional drag on electrons: $R_{\text{classical}} \ll R_{\text{experimental}}$



Anomalous electron resistivity

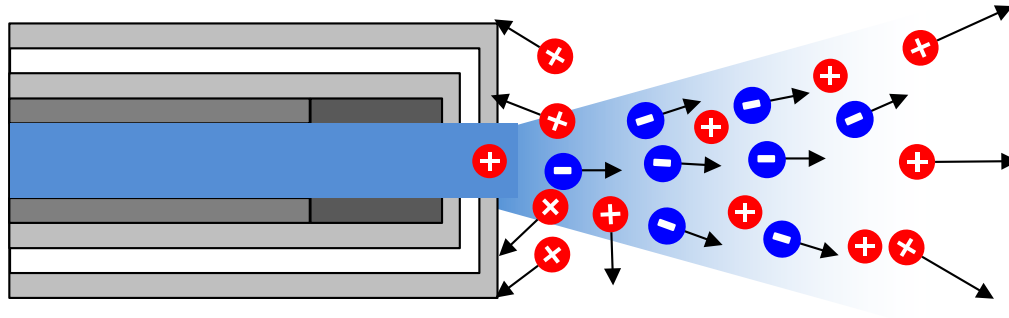
- Plasma current carried by electrons.
- Plasma has an inherent resistance which is classically due to collisions slowing down electron motion
- Some *unknown* mechanism provides additional drag on electrons: $R_{\text{classical}} \ll R_{\text{experimental}}$
- Plasma potentials higher in experiment than estimates based on classical, collisional theory



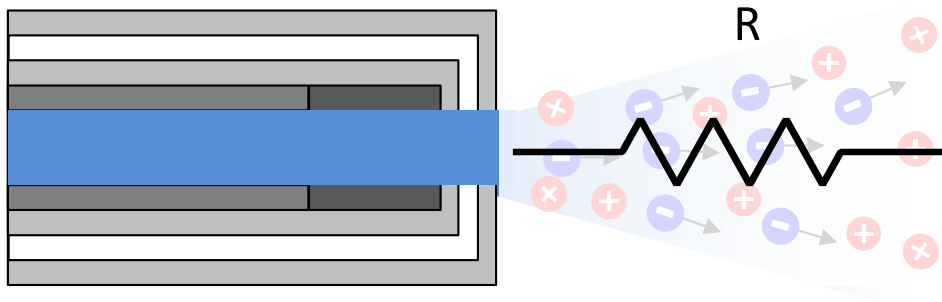
Mikellides, I., Katz, I., Goebel, D., Jameson, K. *JPP* 101, 063301 (2007)



Anomalous processes in hollow cathode



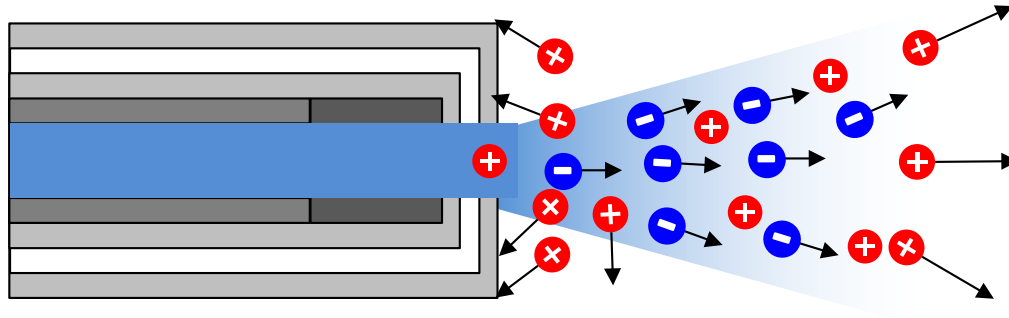
Unknown mechanism
heats the ions



Unknown mechanism
induces drag on electrons

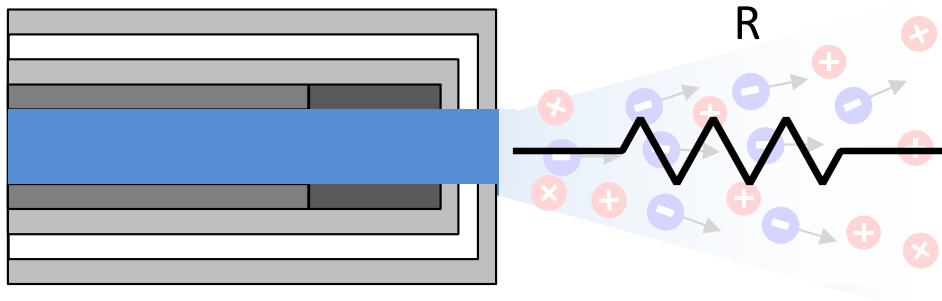


Anomalous processes in hollow cathode



Unknown mechanism
heats the ions

Hypothesis (2013): both mechanisms can be explained by the onset of ion acoustic turbulence in the cathode plume



Unknown mechanism
induces drag on electrons



Ion Acoustic Turbulence

Ion --- Low frequency (1-5 MHz), electrostatic modes carried by ion motion

Acoustic --- Waves propagate with linear dispersion relation (frequency vs. wavenumber) at ion sound speed

Turbulence – Spectrum of incoherent modes excited concurrently in plume



Overview of Investigation into IAT Hypothesis (2013-2017)

- Start with a physical description of how IAT could lead to anomalous cathode plume effects
- Experimentally determine if described process actually occurs in cathode plume
- Incorporate findings into first-principles model to determine if anomalous effects can be explained self-consistently by IAT

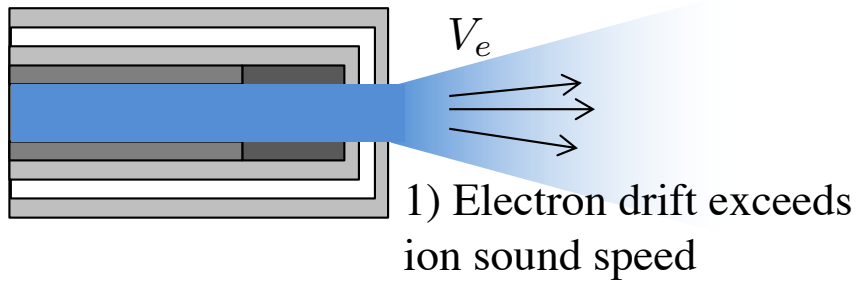


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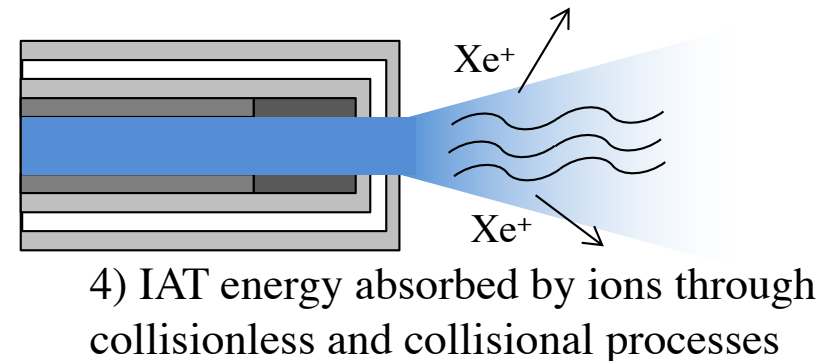
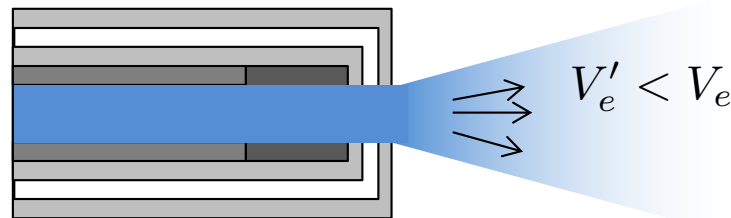
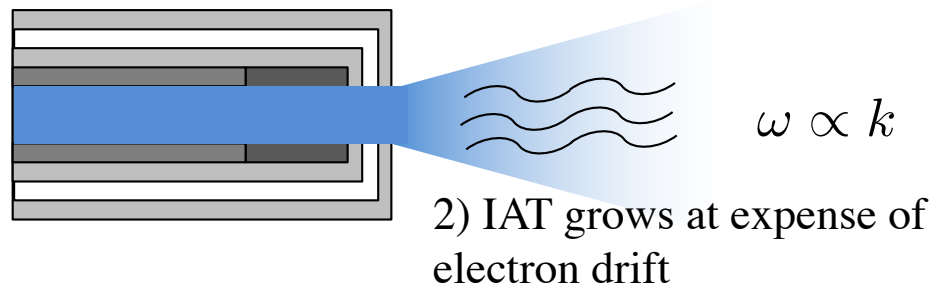


Ion Acoustic Turbulence (IAT) interaction with cathode plume



Cathode conditions

$$V_e \gg c_s \quad T_e \gg T_i$$





Overview of Investigation into IAT Hypothesis (2013-2017)

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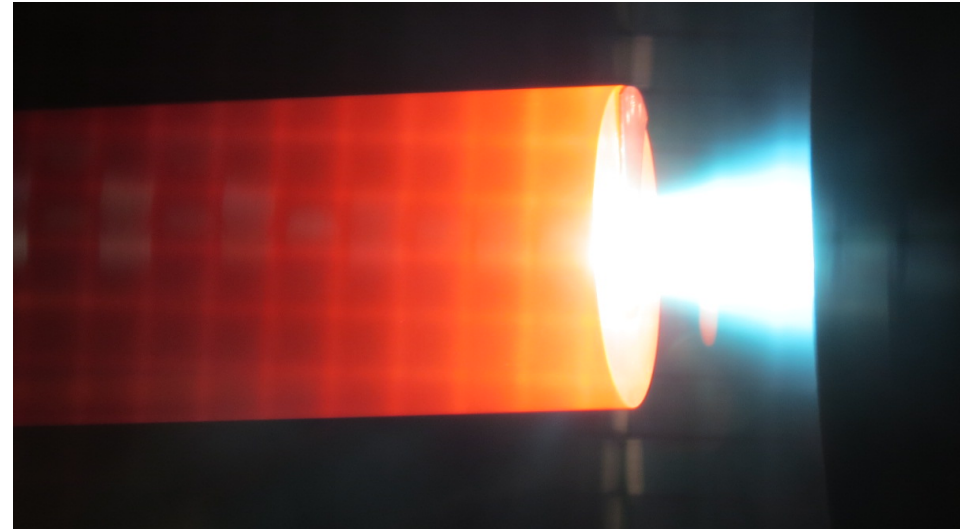


Experimental investigation into role of IAT

Diagnostic suite for cathode testing



Cathode firing

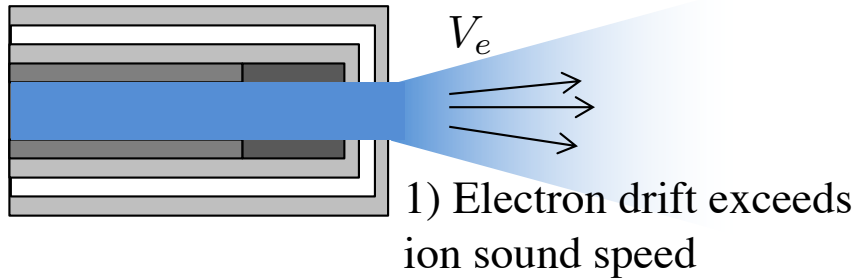


Diagnostics	
RPA	Ion energy
Single Langmuir probe	n_e, T_e
I_{sat} probe array	Wave dispersion
Laser Induced Fluorescence (LIF)	T_i and ion drift velocity

100-A LaB ₆ Hollow Cathode	
Discharge Current	20 – 180 A
Flow rate	5, 8, 10, 12, 15, 20 sccm
Gas	Xe
Applied Magnetic Field	None
P_B	$1-4 \times 10^{-4}$ T

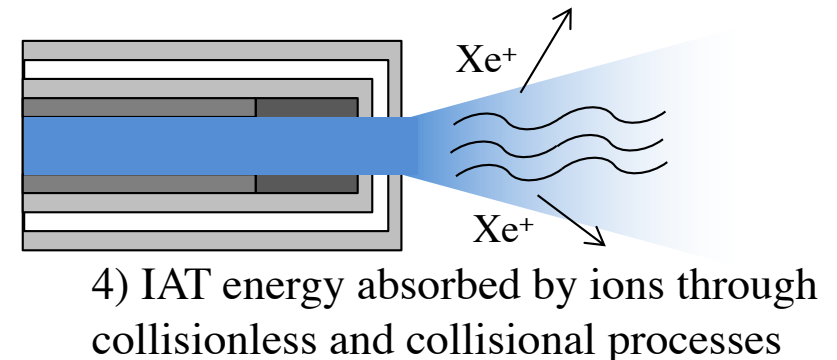
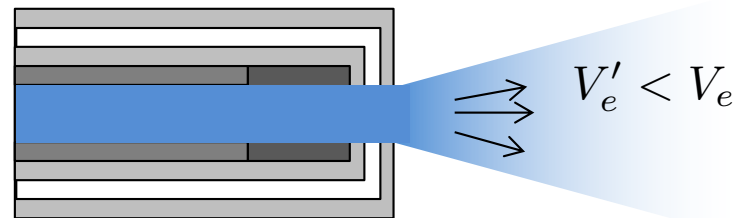
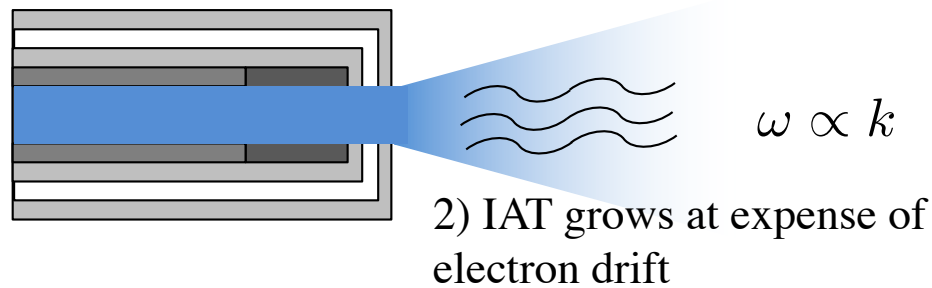


Experimental investigation into role of IAT



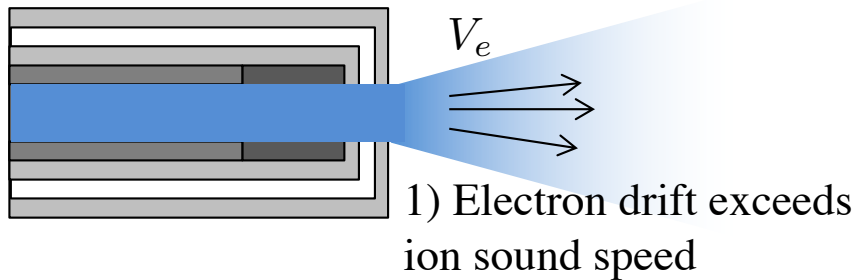
Cathode conditions

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Experimental investigation into role of IAT

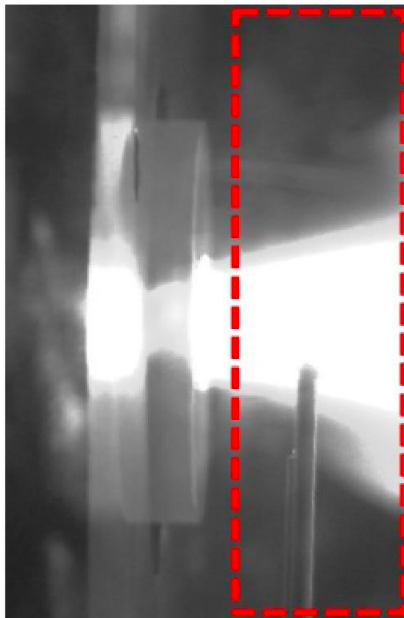


Cathode conditions

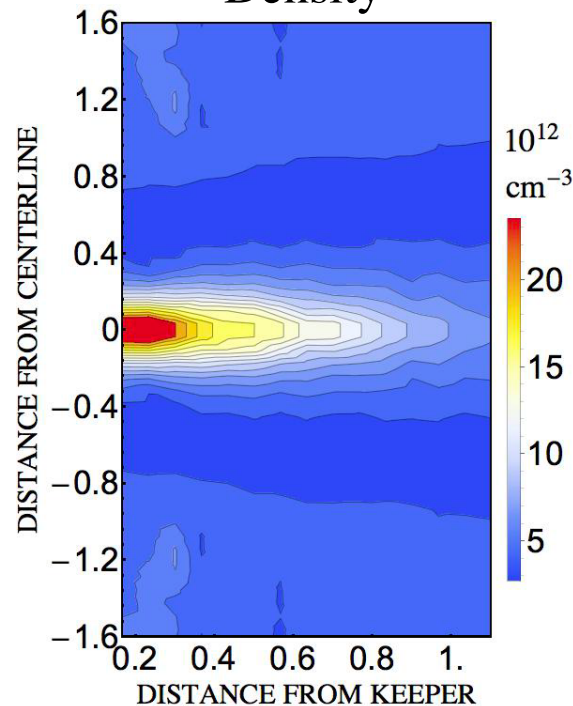
$$V_e \gg c_s \quad T_e \gg T_i$$

✓ ✓

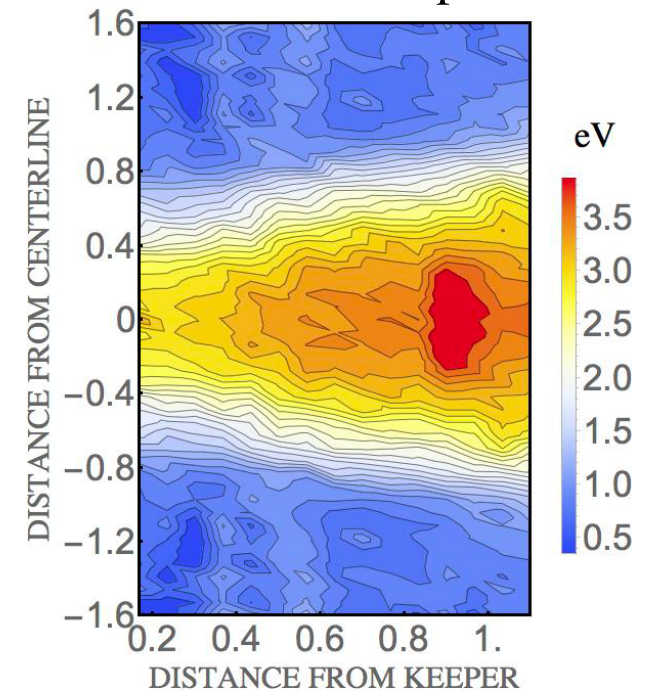
Photo



Density



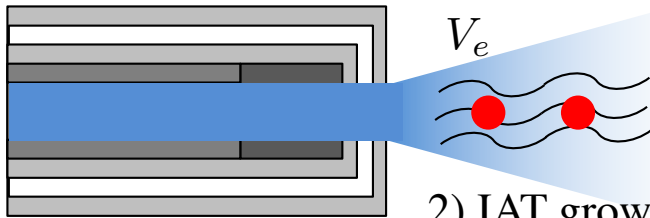
Electron temp.



140 A and 15 sccm xenon



Experimental investigation into role of IAT

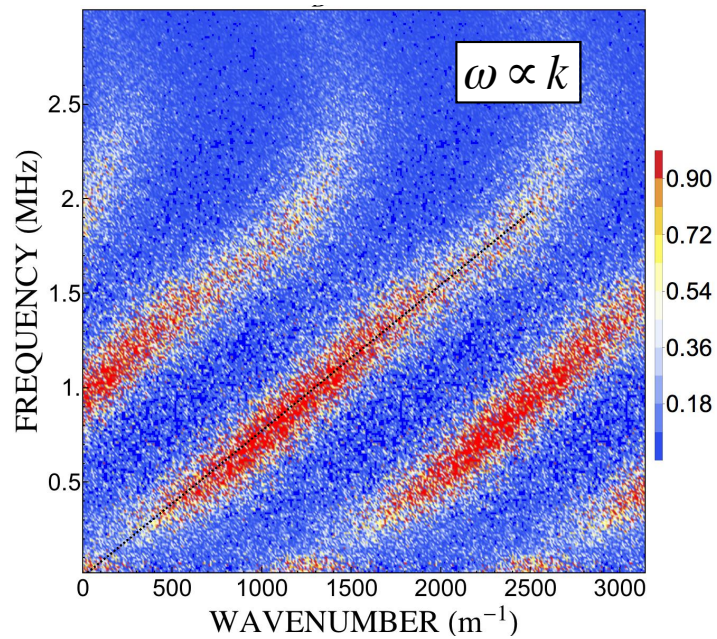


2) IAT grows at expense of electron drift

Quasi-linear theory

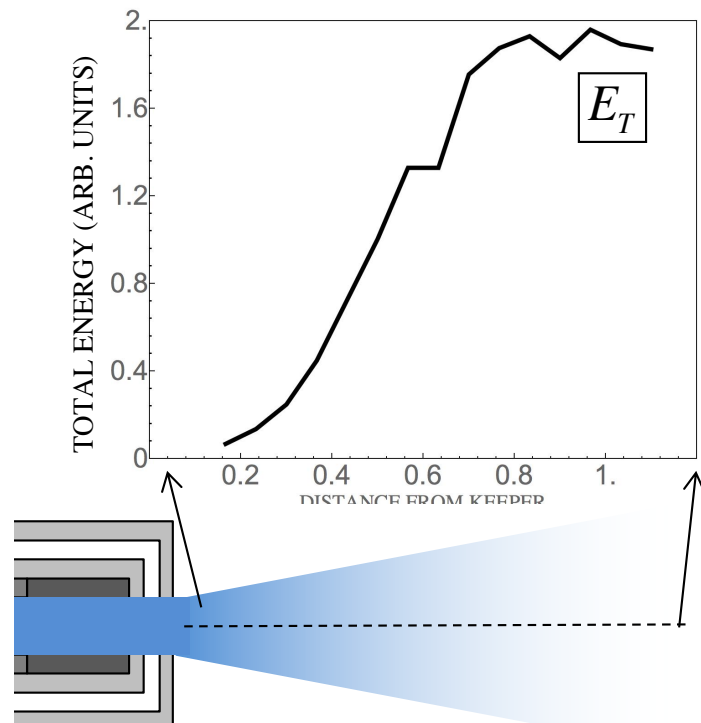
✓ 1) $\omega \propto k$ ✓ 2) $\frac{dE_T}{dt} \propto V_e E_T$

1) Measurement of dispersion relation in plume



B. Jorns, I. Mikellides, and D. Goebel. Physical Review E 90, 063106. (2014).

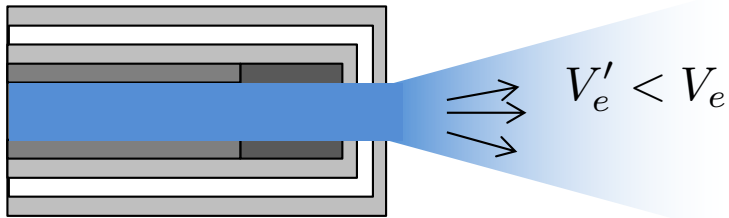
2) Evolution of IAT energy in plume



B. Jorns, D. Goebel, and I. Mikellides. 50th AIAA JPC. AIAA-2014-3826.



Experimental investigation into role of IAT



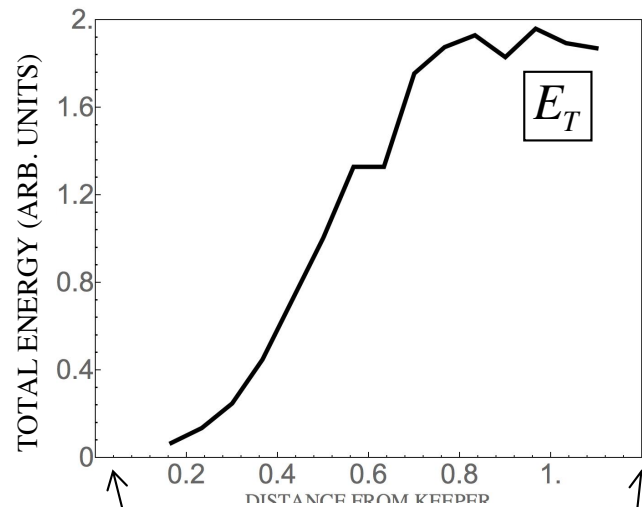
3) Growth of IAT slows electrons

Quasi-linear theory

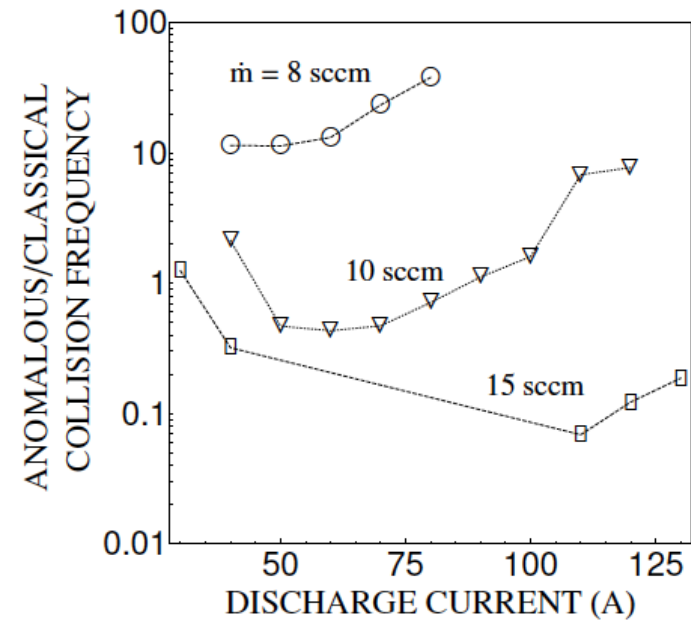


- 1) $\nu_{IAT} \propto E_T$ 2) $\nu_{IAT} > \nu_{classical}$

1) Use measured energy to infer effective collision frequency



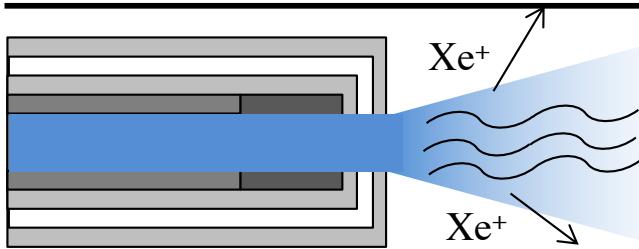
2) Compare IAT driven collision frequency to classical collisions



B. Jorns, I. Mikellides, and D. Goebel. Physical Review E 90, 063106. (2014).



Experimental investigation into role of IAT

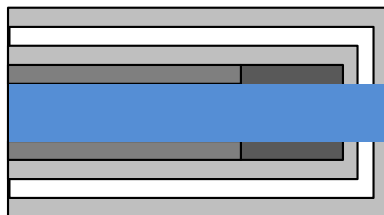
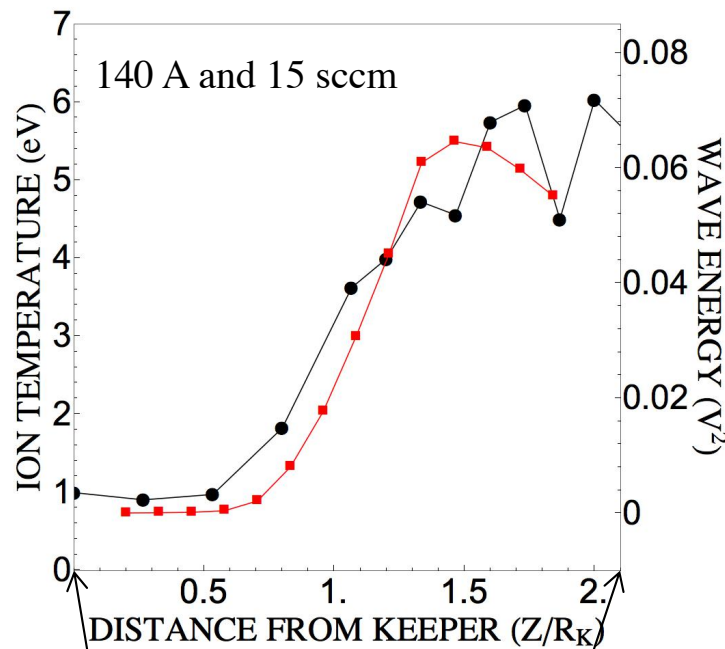


4) IAT energy absorbed by ions through collisionless and collisional processes

Quasi-linear theory

$$\Delta T_i \propto E_T$$

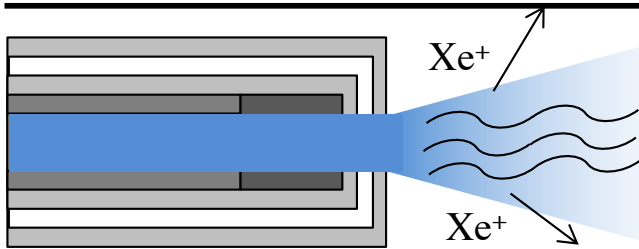
Measured **wave energy** and **ion temperature** as functions of position



C. Dodson, D. Perez-Grande, B. Jorns, D. M. Goebel, and R. E. Wirz, 52nd AIAA/SAE/ASEE Joint Propulsion Conference, 2016. AIAA 2016-4838.



Experimental investigation into role of IAT

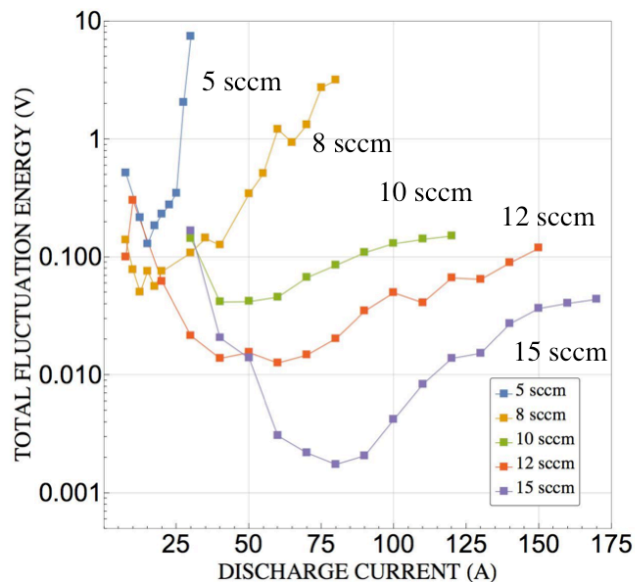


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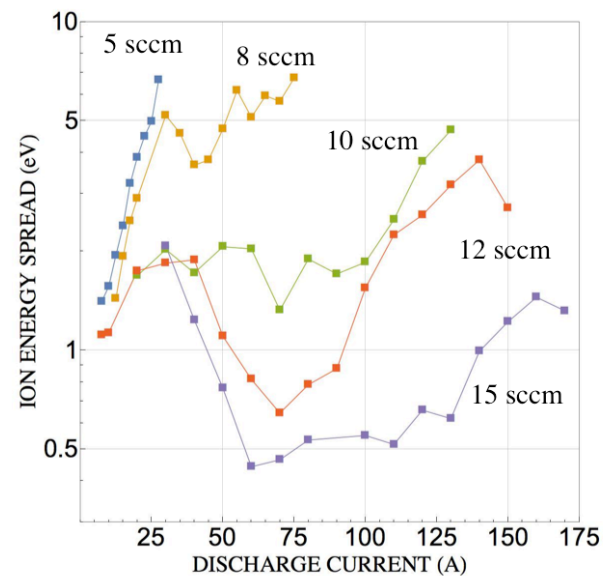
Quasi-linear theory

$$\Delta T_i \propto E_T \quad \checkmark$$

Measured wave energy at single point



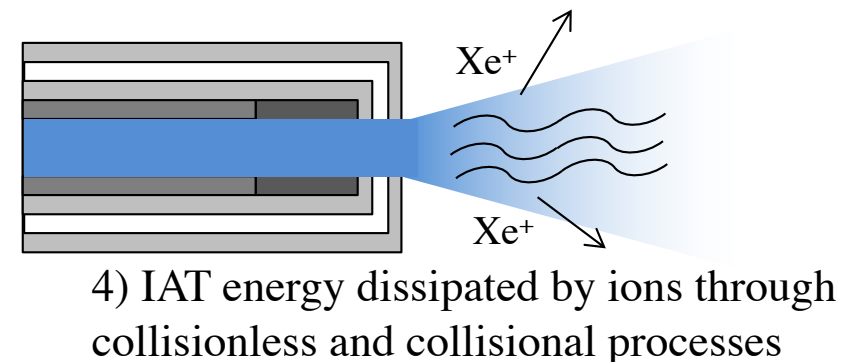
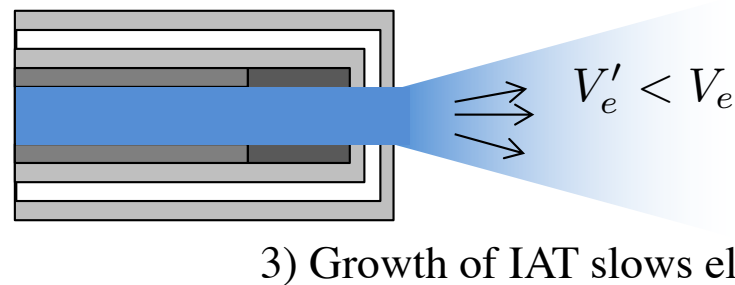
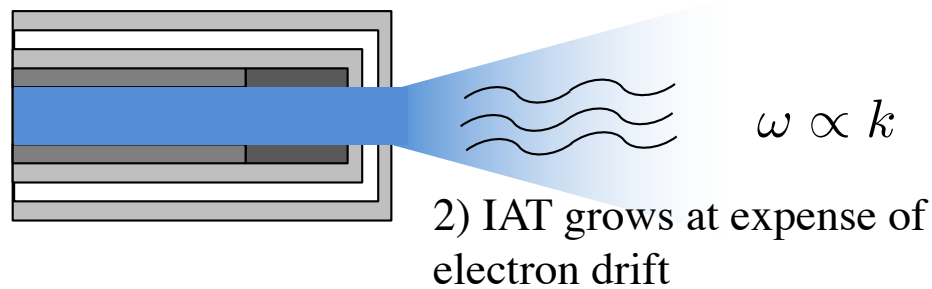
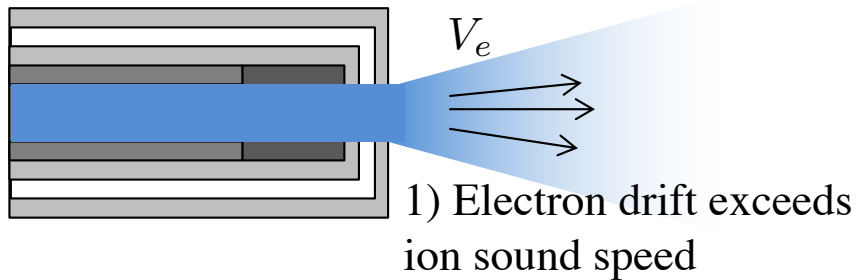
Measured ion energy at single point



Correlational and causal relationship between IAT and energetic ions persists over wide parameter space



Experimental investigation into role of IAT





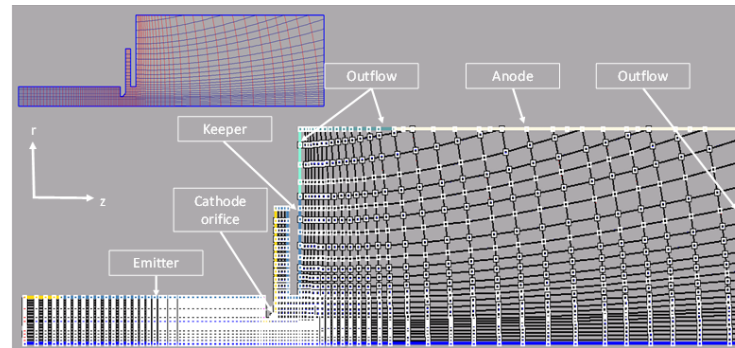
Overview of Investigation into IAT Hypothesis (2013-2017)

- Start with a physical description of how IAT could lead to anomalous cathode plume effects
- Experimentally determine if described process actually occurs in cathode plume
- Incorporate findings into first-principles model to determine if anomalous effects can be explained self-consistently by IAT.



Modeling impact of IAT on plasma properties

Simulation



Governing equations

Continuity

$$\frac{dn_s}{dt} + n_s \nabla \cdot \mathbf{V}_s = 0,$$

Momentum

$$m_s n_s \frac{d\mathbf{V}_s}{dt} + \nabla \cdot \mathbf{p}_s - e_s n_s (\mathbf{E} + \mathbf{V}_s \times \mathbf{B}) = \mathbf{F}_s + \mathbf{F}_{s(AN)}(E_T)$$

Energy

$$\frac{3}{2} \frac{dp_s}{dt} + \frac{3}{2} p_s \nabla \cdot \mathbf{V}_s + \mathbf{p}_s : \nabla \mathbf{V}_s + \nabla \cdot \mathbf{q}_s = W_s + W_{s(AN)}(E_T)$$

Wave energy

$$\frac{\partial E_T}{\partial t} + \vec{v}_g \cdot \nabla E_T = \omega_0 E_T \left[\zeta_e - \zeta_i - \frac{\nu_i}{\omega_0} \right]$$

“Drag”

“Heating”

Derived from
quasilinear
theory

B. Jorns, A. Lopez Ortega, and I. G. Mikellides, 52nd AIAA Joint Propulsion, 2016. AIAA 2016-4626.

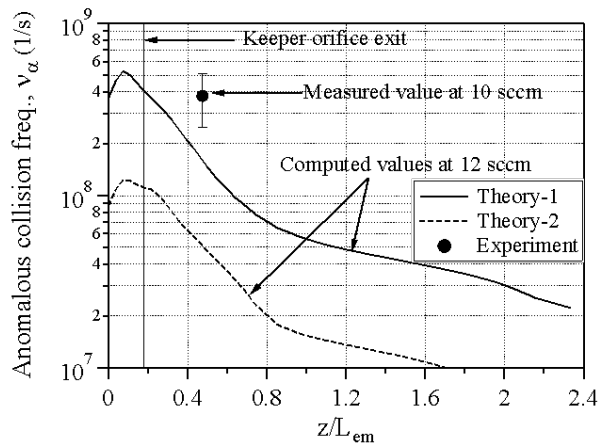
A. Lopez Ortega, B. Jorns, and I. Mikellides, Journal of Propulsion and Power Submitted (2017).



Validating IAT-based terms on plasma properties

Drag

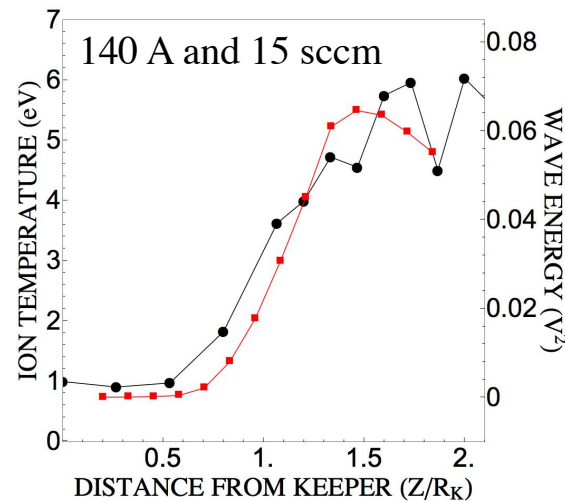
$$F_{s(AN)}(E_T)$$



I. Mikellides, D. Goebel, B. Jorns, J. Polk, and P. Guerrero. IEEE Transactions on Plasma Science 43 (1). 173-184 (2015).

Heating

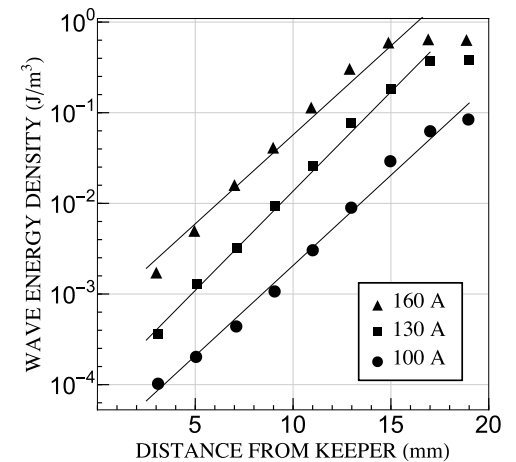
$$W_{s(AN)}(E_T)$$



C. Dodson, D. Perez-Grande, B. Jorns, D. M. Goebel, and R. E. Wirz, 52nd AIAA/SAE/ASEE Joint Propulsion Conference, 2016. AIAA 2016-4838.

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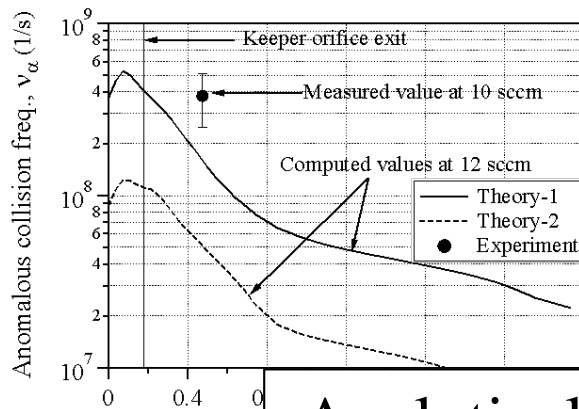
B. Jorns et al. Physical Review E. Submitted



Validating IAT-based terms on plasma properties

Drag

$$F_{s(AN)}(E_T)$$



Heating

$$W_{s(AN)}(E_T)$$

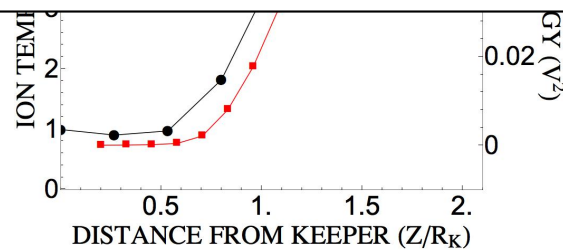
140 A and 15 sccm

Wave energy

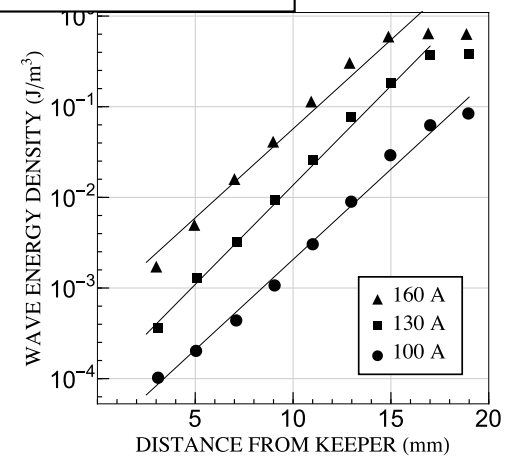
$$E_T \left[\zeta_e - \zeta_i - \frac{\nu_i}{\omega_0} \right]$$

Analytical terms for impact of IAT on governing equations have been validated experimentally

I. Mikellides, D. Guerrero. IEEE Transactions on Plasma Technology (1), 173-184 (2015)



C. Dodson, D. Perez-Grande, B. Jorns, D. M. Goebel, and R. E. Wirz, 52nd AIAA/SAE/ASEE Joint Propulsion Conference, 2016. AIAA 2016-4838.

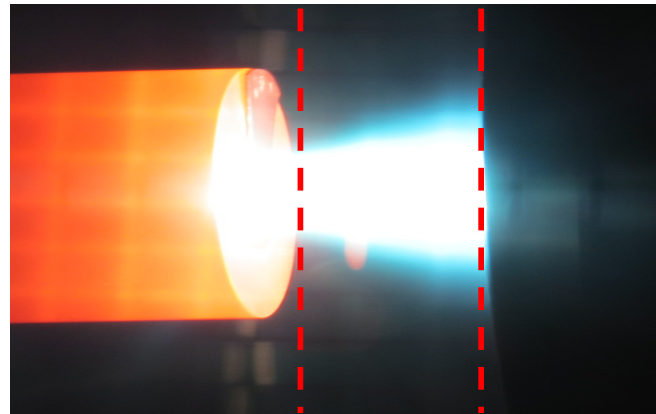


B. Jorns et al. Physical Review E. Submitted

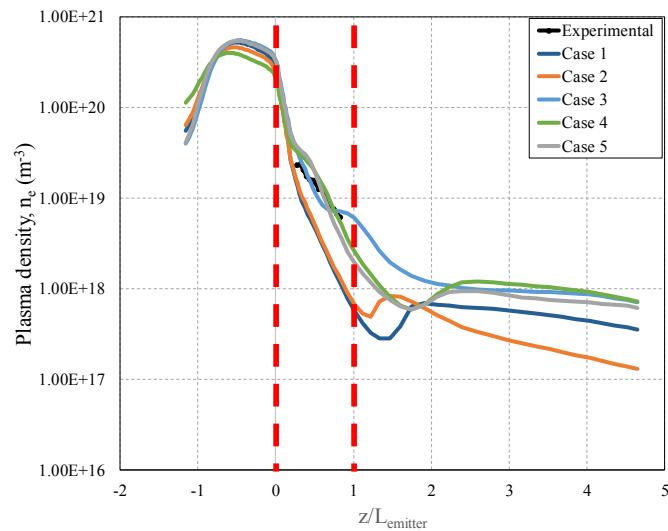


Self-consistent predictive model that includes anomalous effects

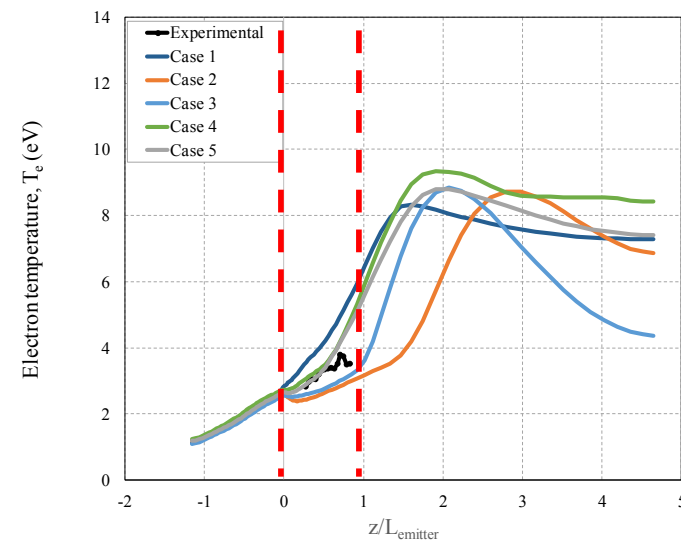
140 A and 10 sccm



Density on centerline



Temperature on centerline



A. Lopez Ortega, B. Jorns, and I. Mikellides. Journal of Propulsion and Power. *Submitted*

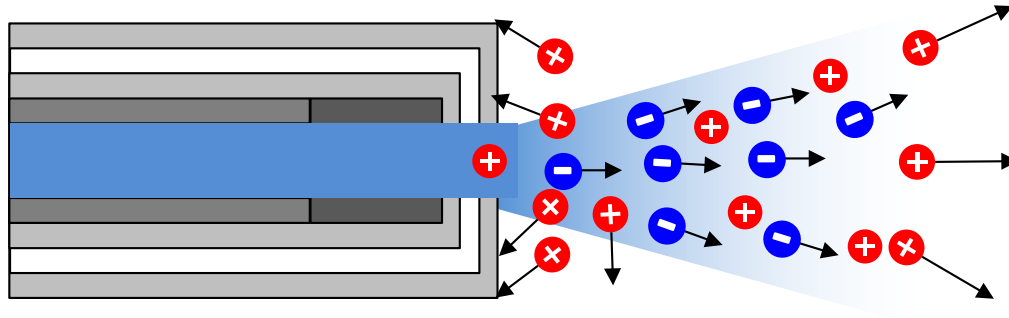


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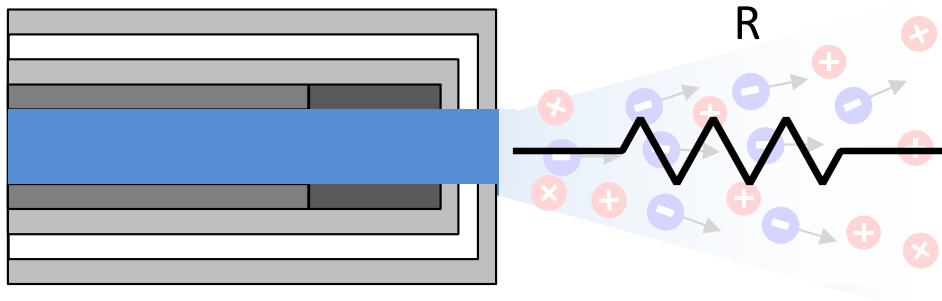


Anomalous processes in hollow cathode



Unknown mechanism
heats the ions

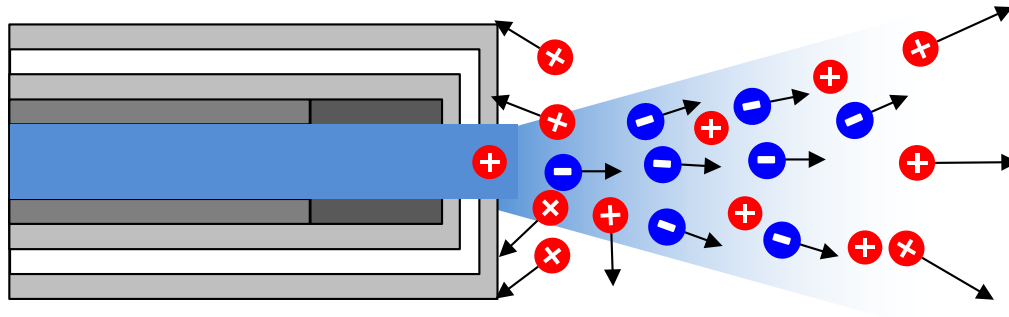
Hypothesis (2013): both mechanisms can be explained by the onset of ion acoustic turbulence in the cathode plume



Unknown mechanism
induces drag on electrons

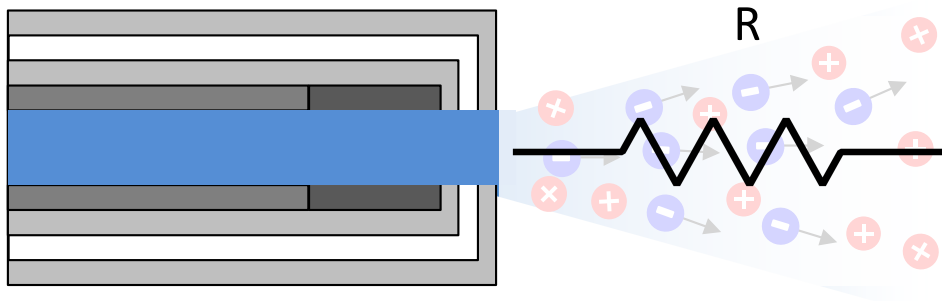


Anomalous processes in hollow cathode



Unknown mechanism
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Validated theory (2017): both mechanisms can be explained by the onset of ion acoustic turbulence in the cathode plume

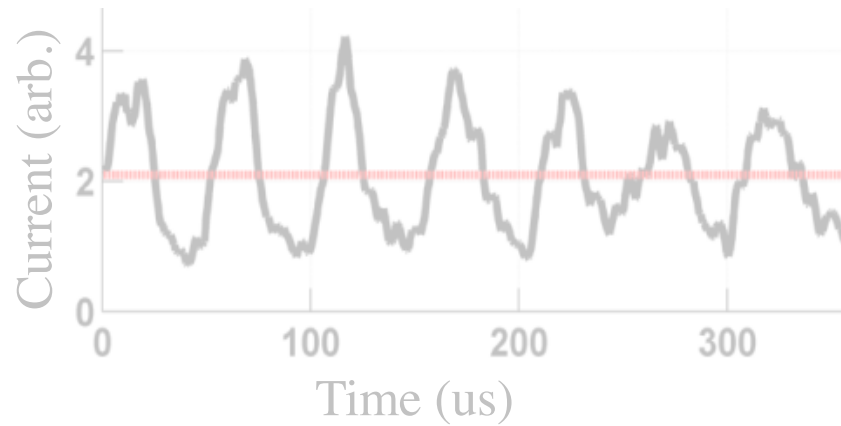


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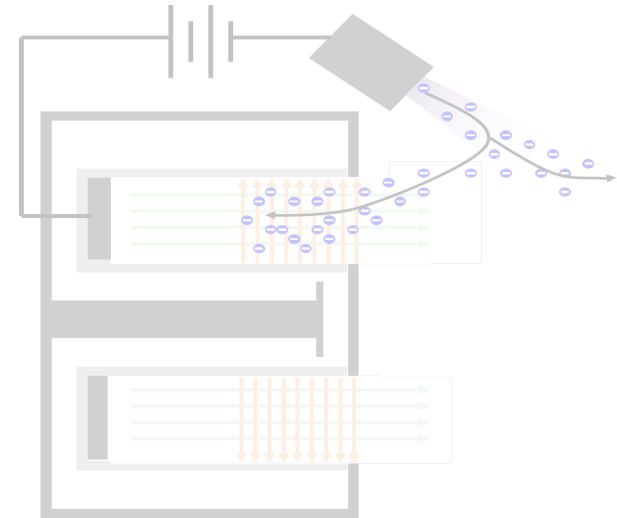


Anomalous Processes in Hall Thruster Operation

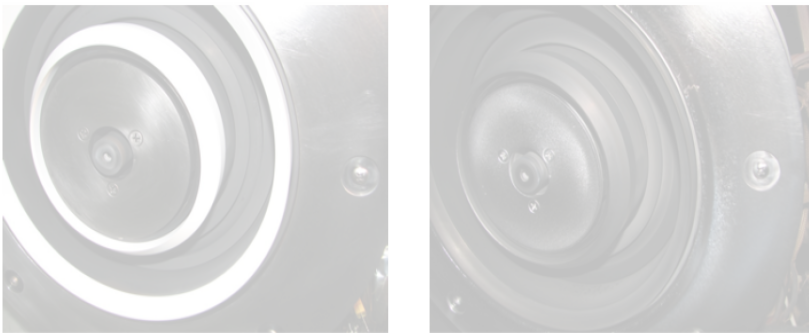
Onset of coherent, oscillatory modes



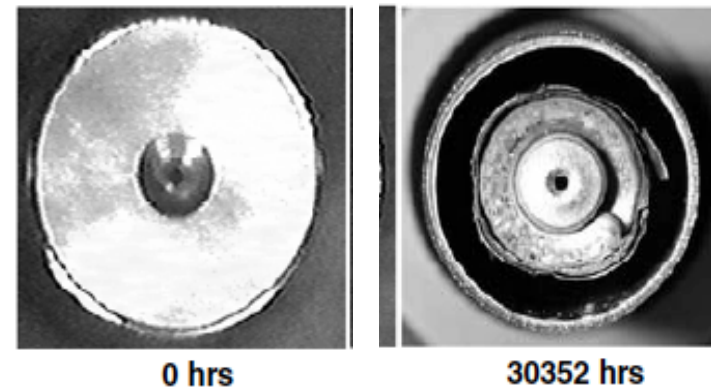
Cross-field transport



Thruster surface erosion



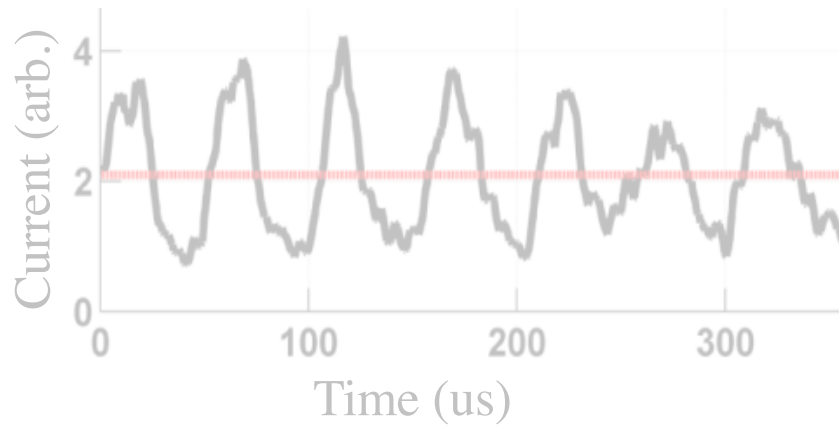
Cathode erosion and electron transport



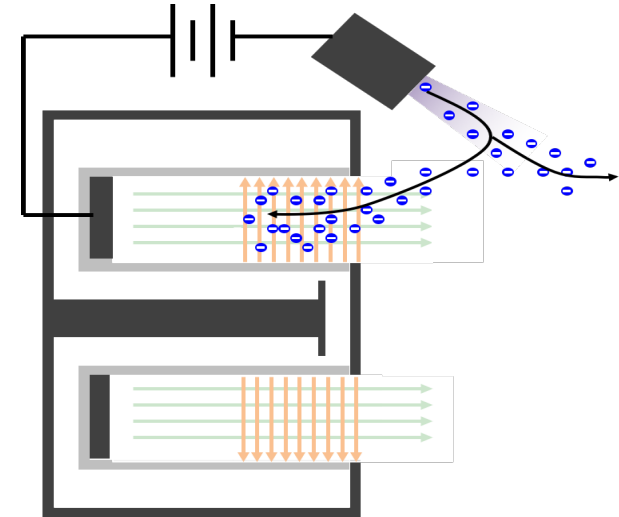


Anomalous Processes in Hall Thruster Operation

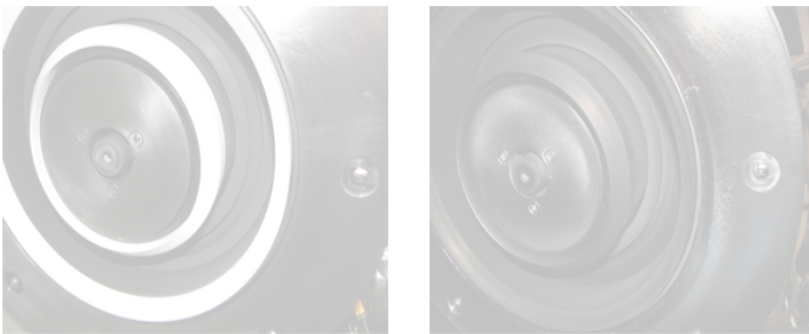
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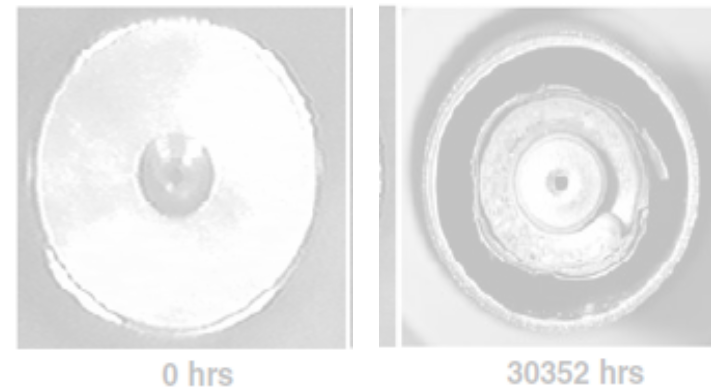
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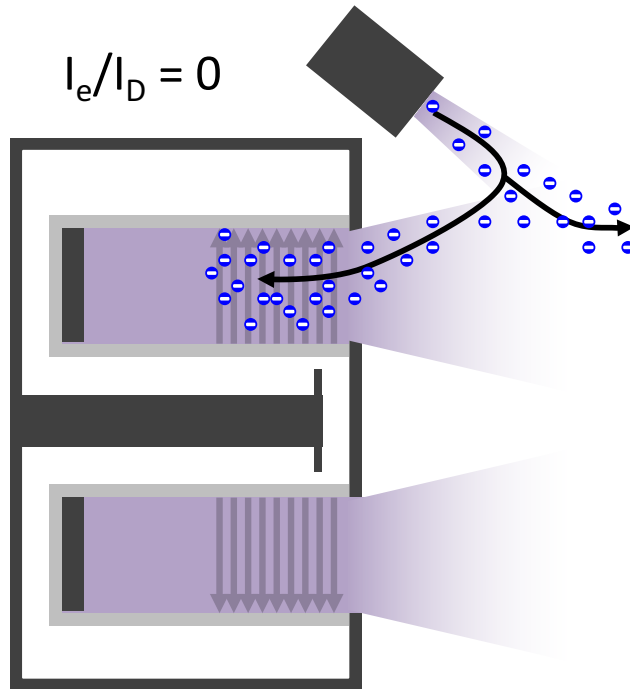




The Problem of Anomalous Electron Transport



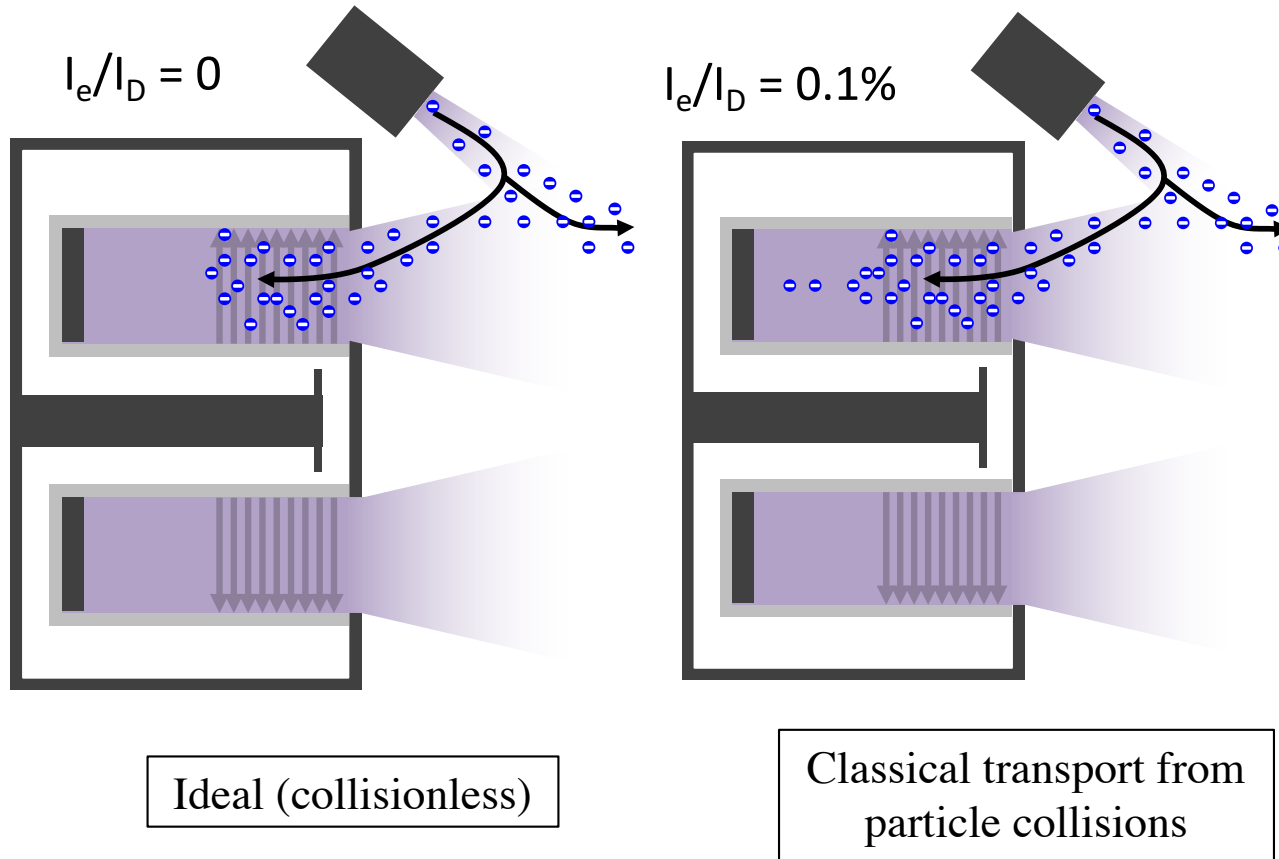
Anomalous electron transport in Hall thruster



Ideal (collisionless)

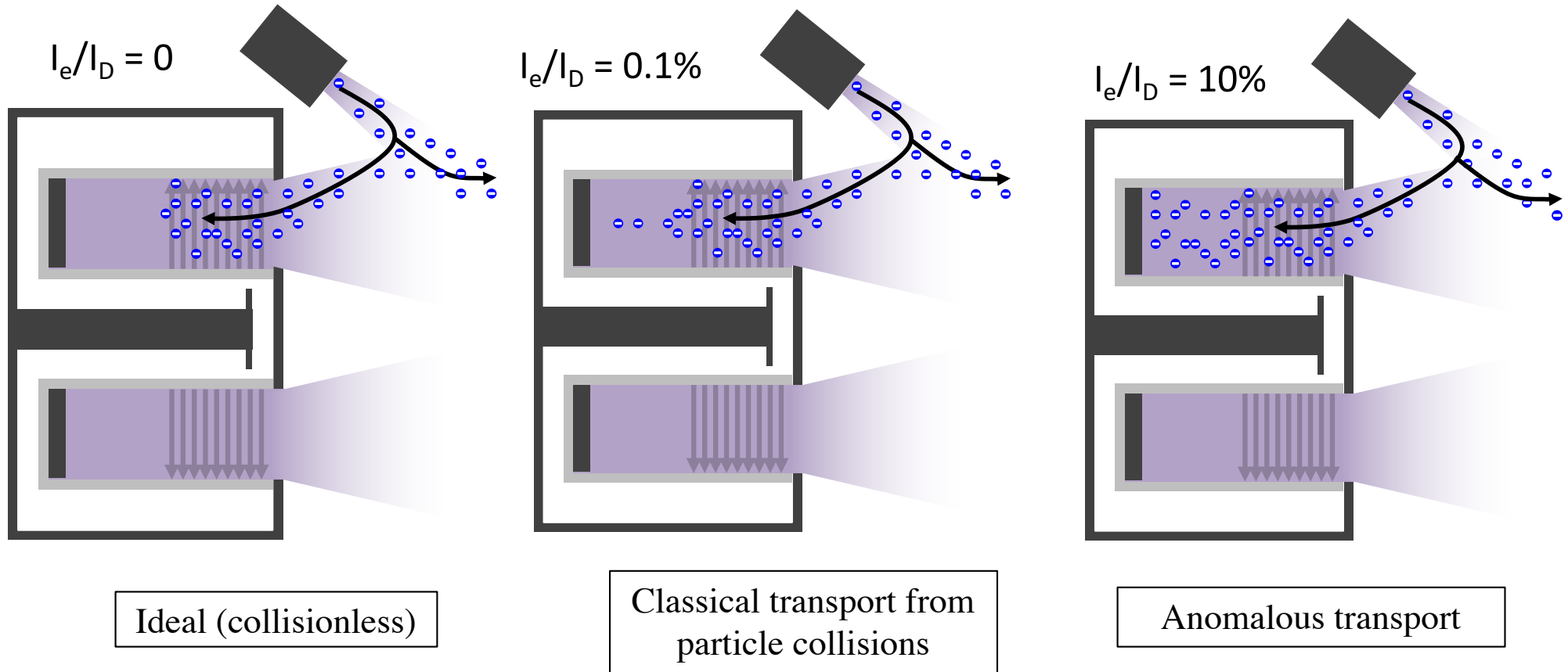


Anomalous electron transport in Hall thruster



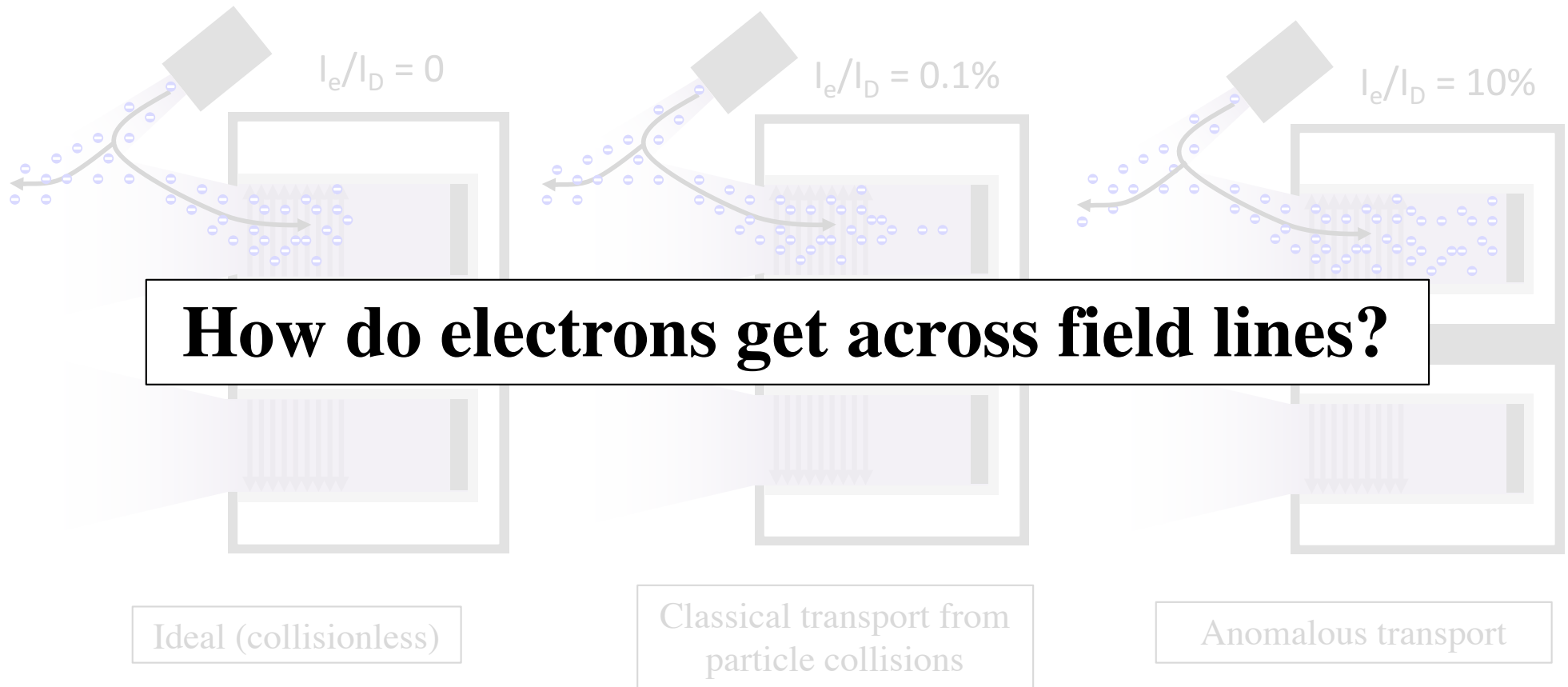


Anomalous electron transport in Hall thruster



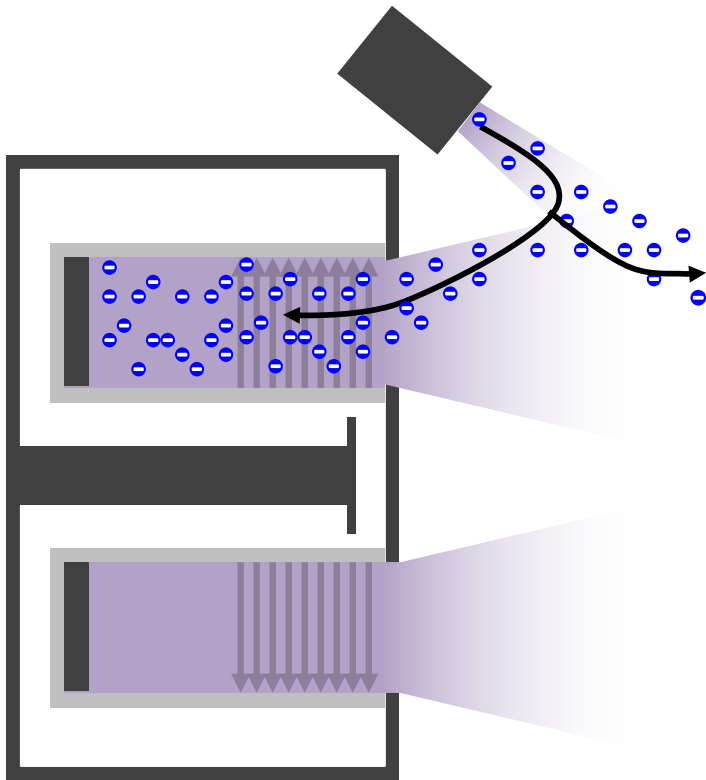


Anomalous electron transport in Hall thruster





Anomalous electron transport in Hall thruster



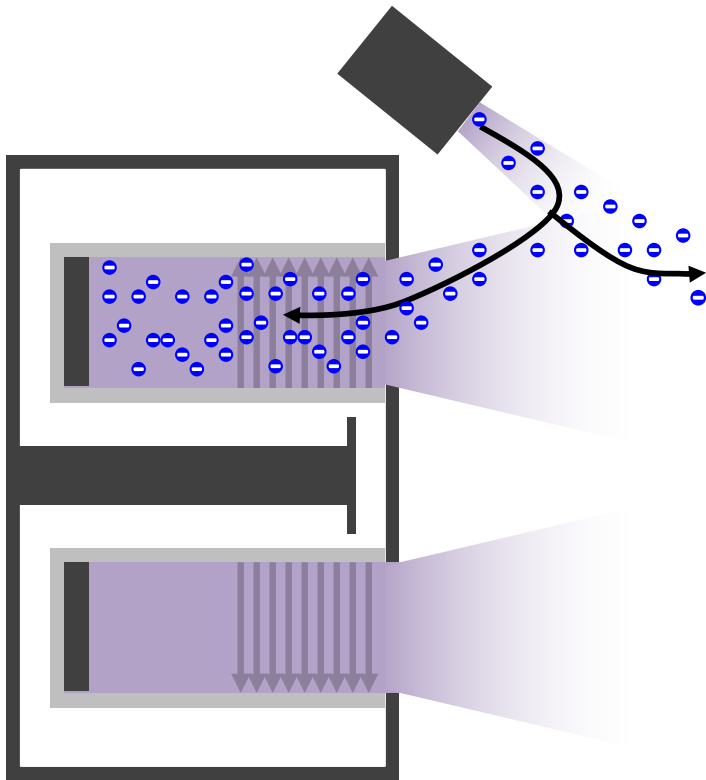
- In magnetized plasmas, electron transport across field lines is driven **by collisions**

$$I_{ez} \propto E_{0z} \nu_{eff}$$

- Classical collision frequency in Hall thruster is too low to allow observed electron transport
- Models account for transport as an effective collision frequency



Anomalous electron transport in Hall thruster



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Problem of anomalous electron transport



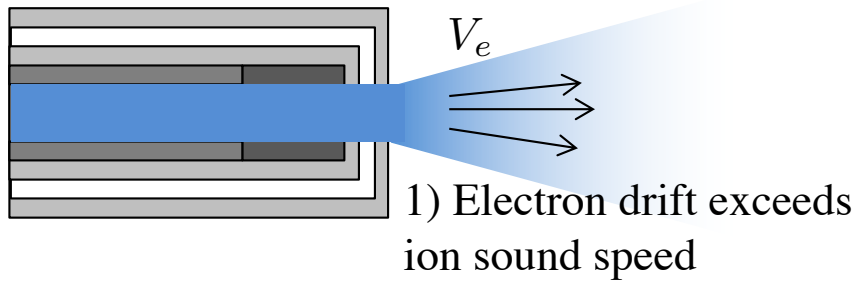
Problem of anomalous collision frequency



-
- **Problem of anomalous electron collision frequency is 50 years old.**
 - Recent advances in diagnostics and theory have enabled new progress on problem.
 - Popular theory that has emerged in analog to hollow cathode processes: acoustic-like turbulence may drive the collision frequency

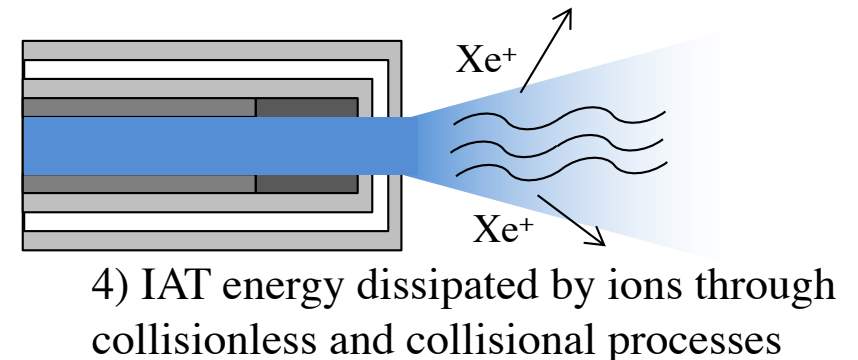
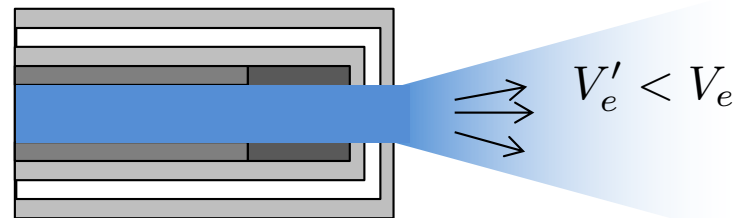
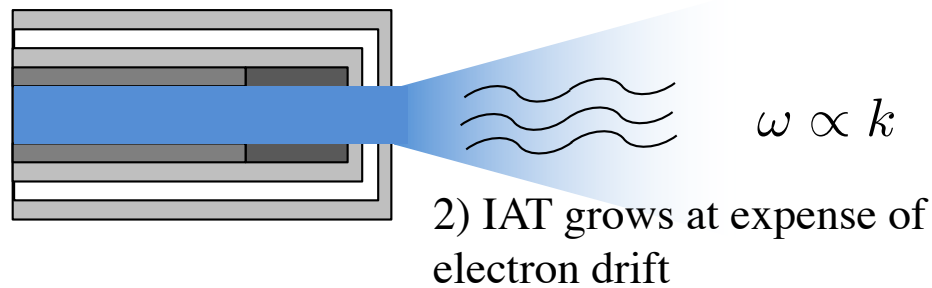


Ion Acoustic Turbulence (IAT) interaction with cathode plume



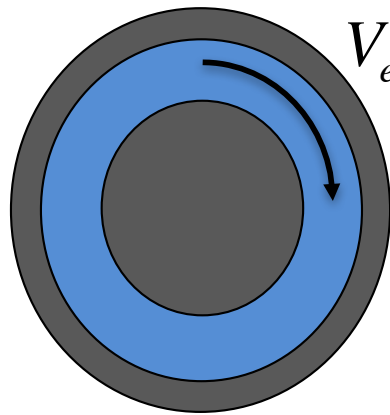
Cathode conditions

$$V_e \gg c_s \quad T_e \gg T_i$$



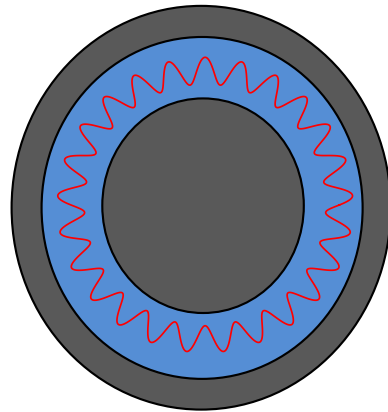


Mechanism for effective collision frequency in Hall thrusters

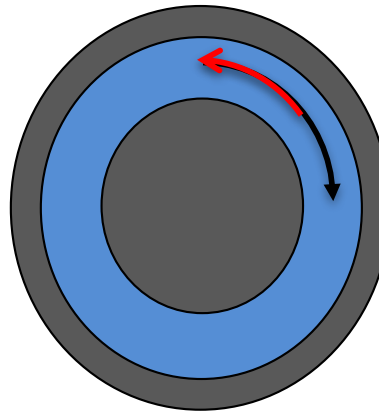


$$V_e = \vec{E} \times \vec{B}$$

- 1) Strong $E \times B$ drift
between electrons and ions

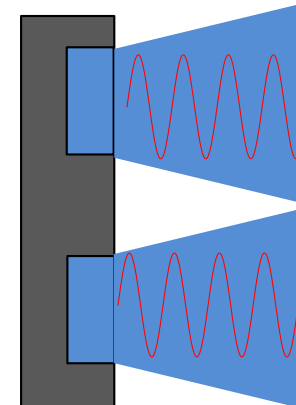


- 2) Azimuthal wave driven unstable
by drift through inverse cyclotron or
Landau damping



$$V'_e < V_e$$

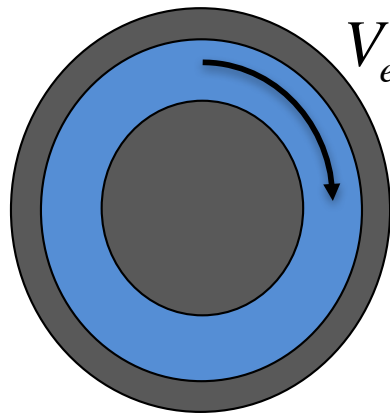
- 3) Electrons slowed in $E \times B$
direction by wave growth leads to
effective collision frequency



- 4) Wave convected
out of channel by
ion axial drift



Mechanism for effective collision frequency in Hall thrusters



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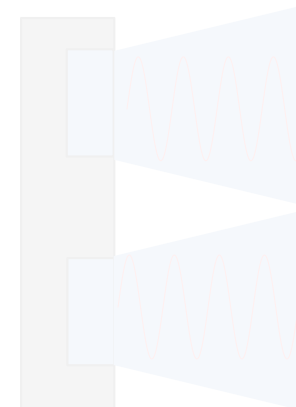


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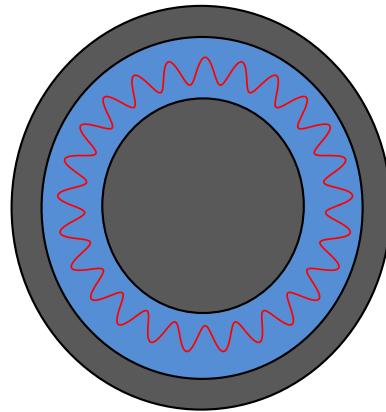


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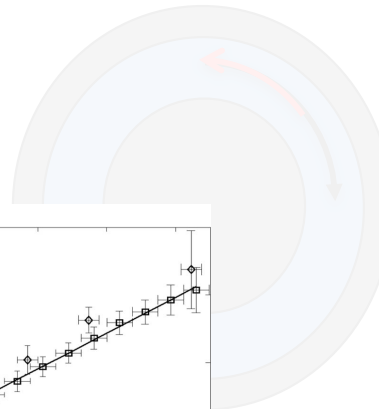


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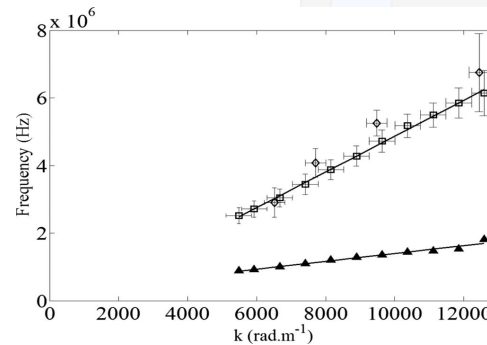
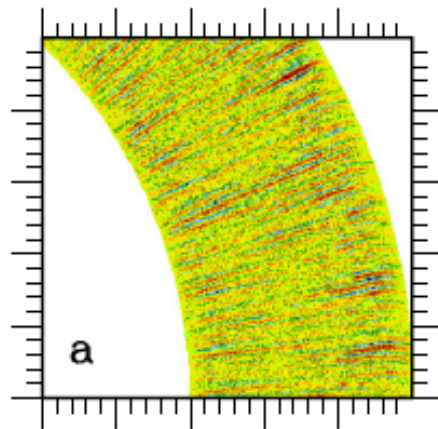


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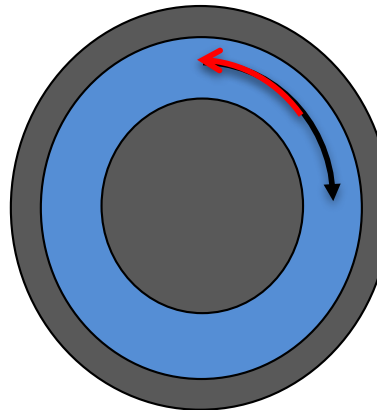


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QL model for collision frequency as a
function of wave action

$$\nu_{AN} = \left[\frac{e}{n_{e0}} \right] \left(\frac{\pi}{8} \right)^{1/2} \left(\frac{1}{m_i m_e} \right)^{1/2} k^2 N$$



- 4) Wave convected
out of channel by
ion axial drift



Mechanism for effective collision frequency in Hall thrusters



$$V_e = \vec{E} \times \vec{B}$$

- 1) Strong $E \times B$ drift
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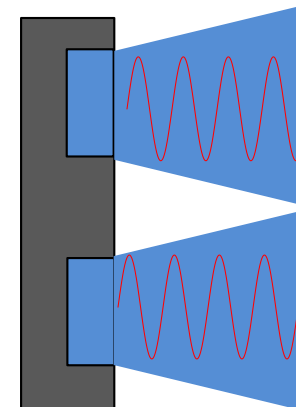


$$V'_e < V_e$$

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Convective model for wave energy

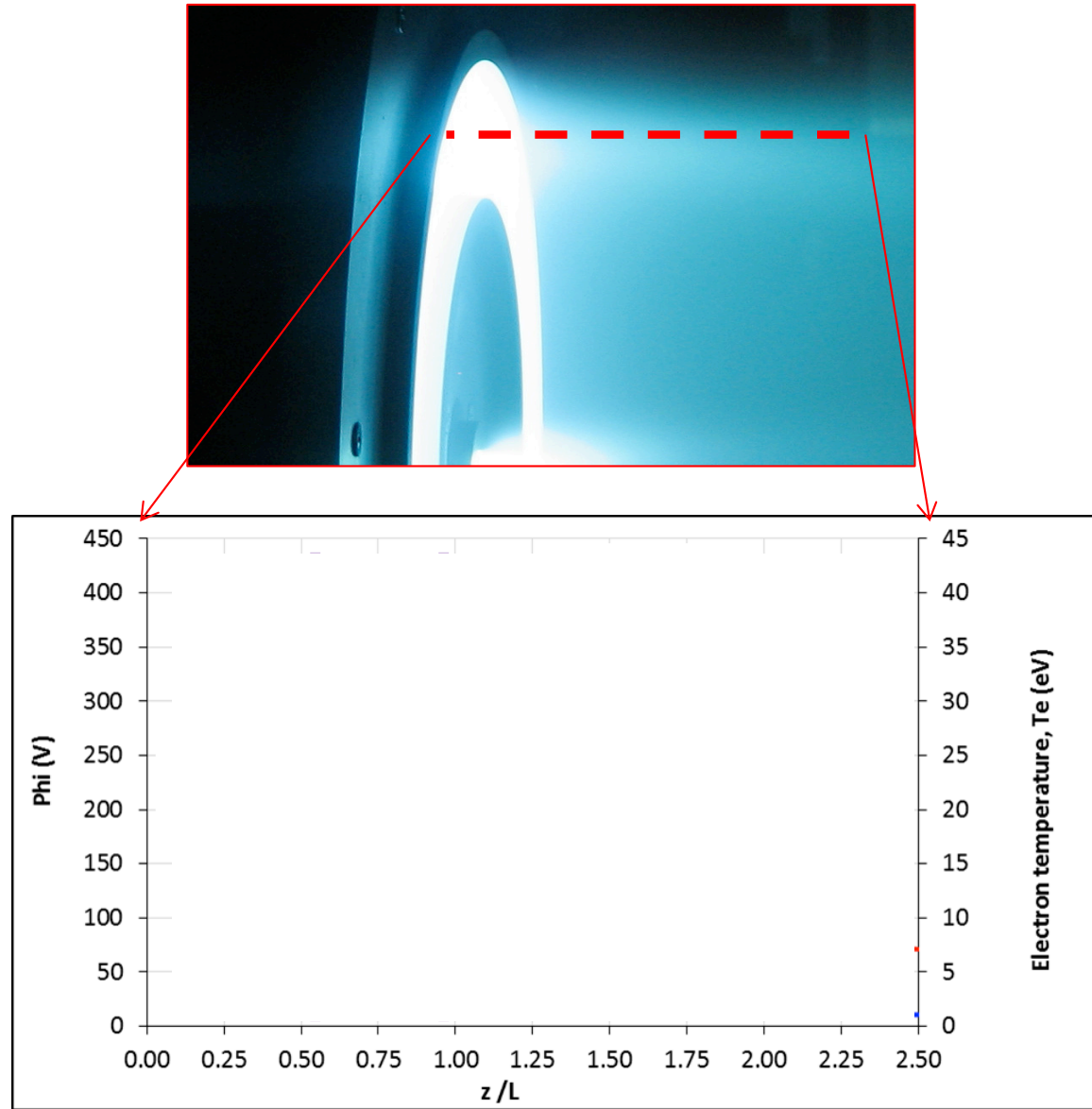
$$\frac{\partial N_k}{\partial t} + \frac{\partial}{\partial \vec{r}} \cdot \left[\frac{\partial \omega}{\partial \vec{k}} N_k \right] - \frac{\partial}{\partial \vec{k}} \cdot \left[\frac{\partial \omega}{\partial \vec{r}} N_k \right] = 2\gamma_k N_k$$



- 4) Wave convected
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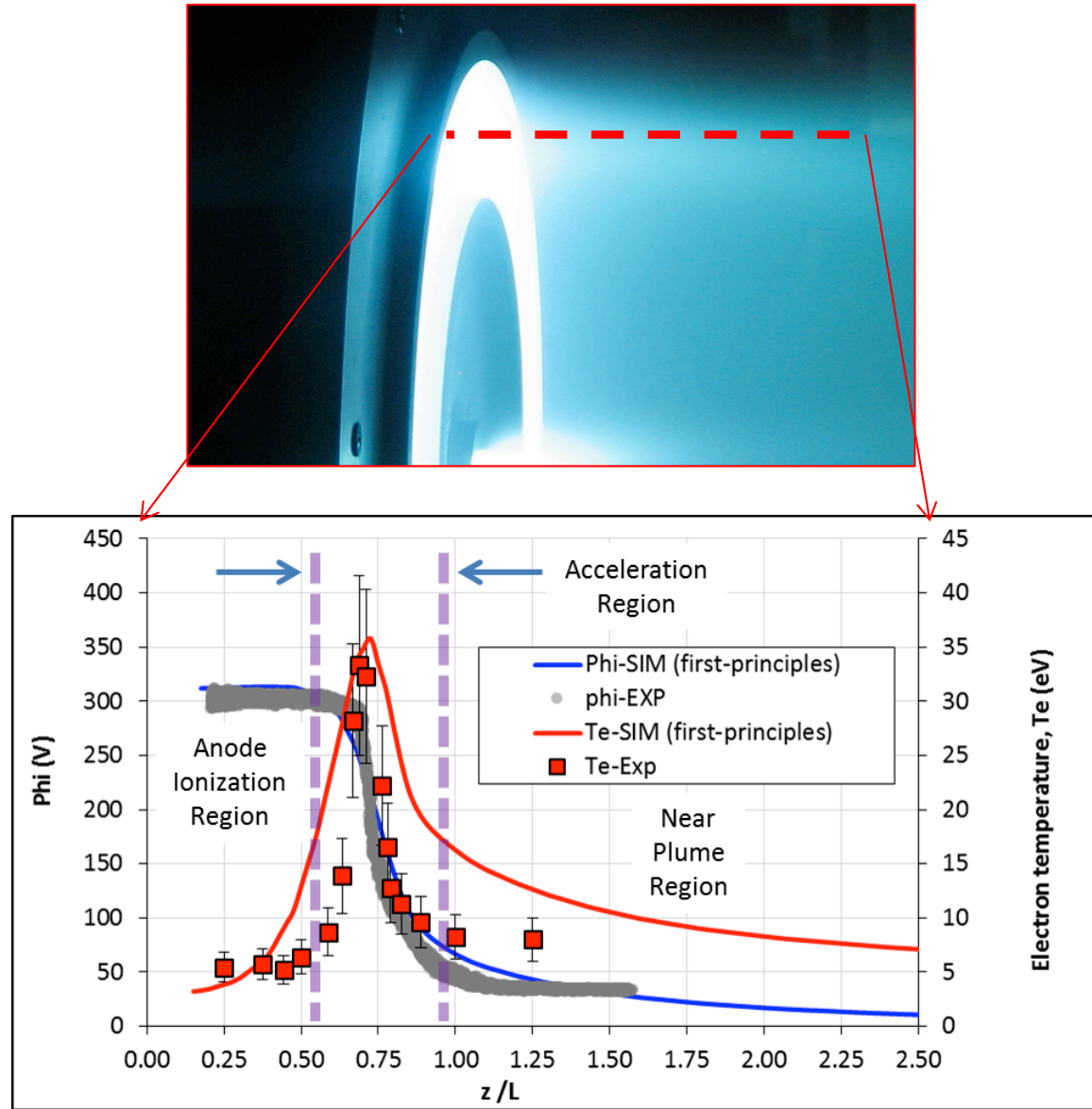


Results from model for collision frequency



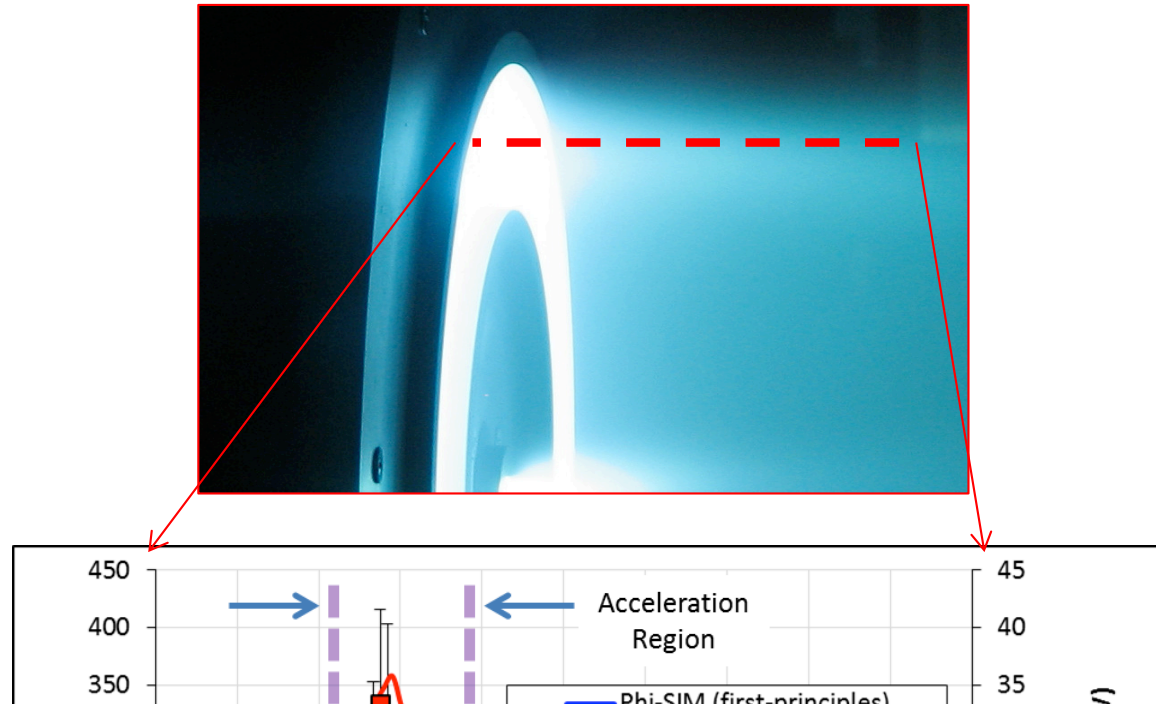


Results from model for collision frequency





Results from model for collision frequency



However there are still many open questions about the process

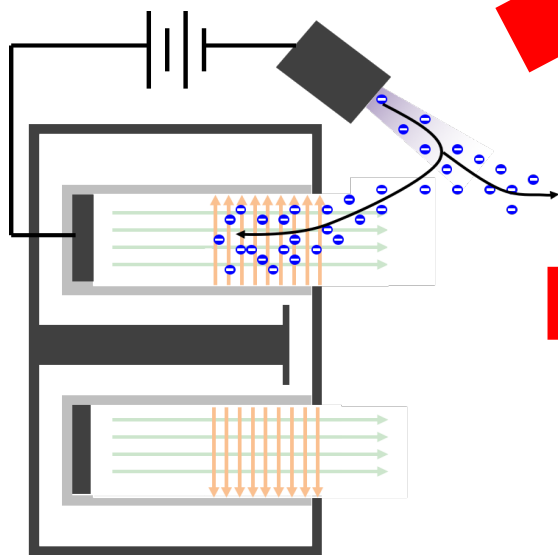
Is the turbulence actually dominant?

Does it propagate in the way we think it should?

What is the correct way to model its interaction with the electrons?



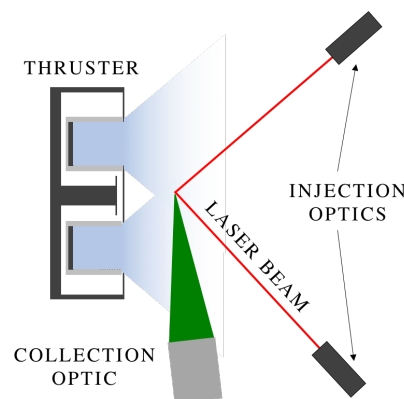
On-going work into examining role of turbulence in cross-field transport



Re-evaluating theory for effective collision frequency

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Developing new experimental tools to characterize turbulence growth



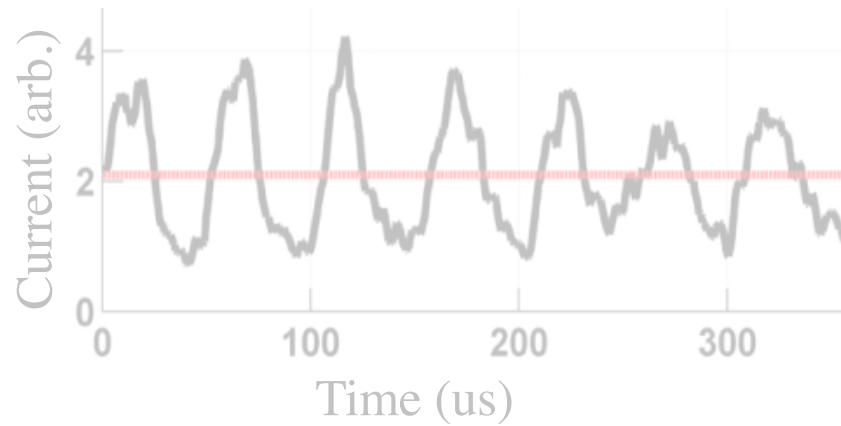
Re-examining governing hierarchy for turbulent energy in simulations

$$\frac{\partial N_{\vec{k}}}{\partial t} + \nabla \cdot (N_{\vec{k}} \vec{v}_g) - \nabla_{\vec{k}} \cdot (N_{\vec{k}} \nabla \omega_r) = N_{\vec{k}} [2\omega_i + C(N_{\vec{k}})]$$

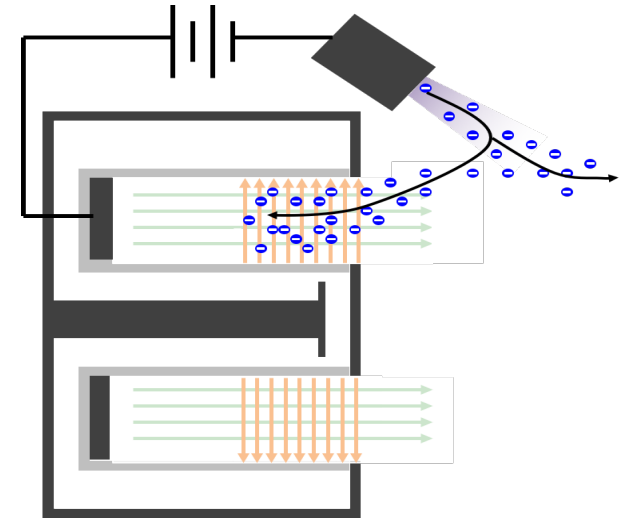


Anomalous Processes in Hall Thruster Operation

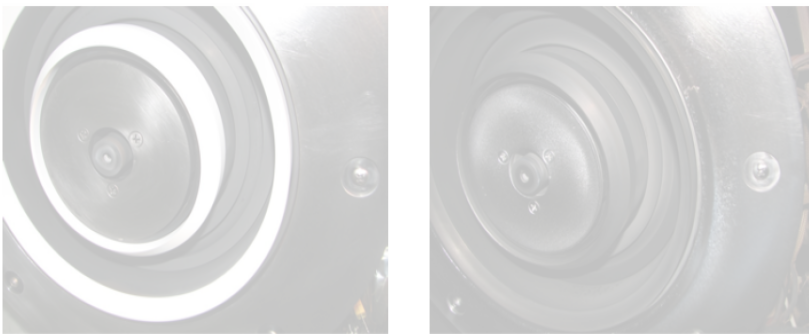
Onset of coherent, oscillatory modes



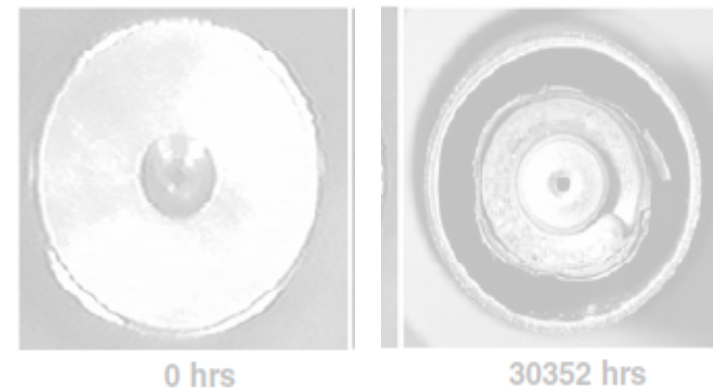
Cross-field transport



Thruster surface erosion



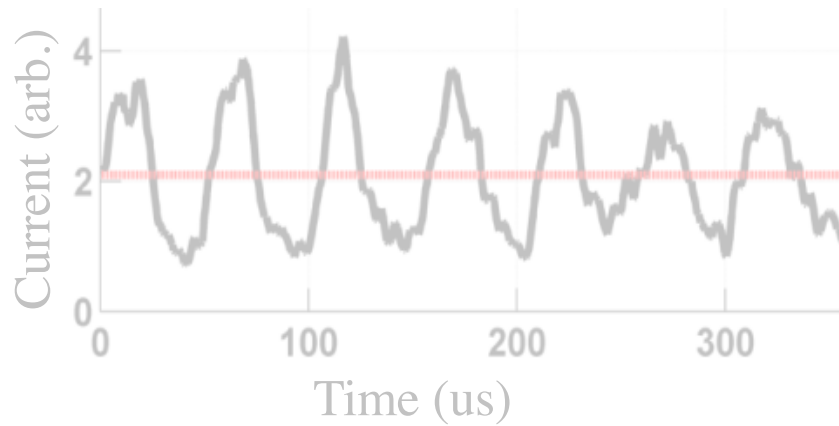
Cathode erosion and electron transport



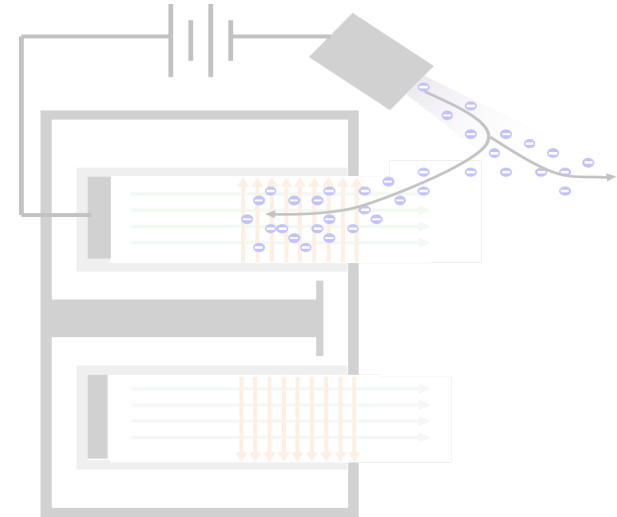


Anomalous Processes in Hall Thruster Operation

Onset of coherent, oscillatory modes



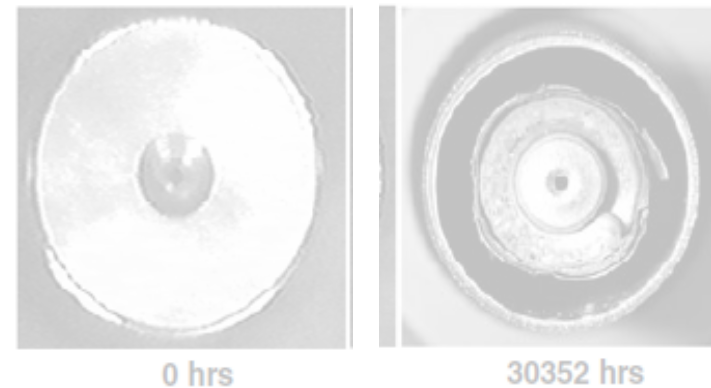
Cross-field transport



Thruster surface erosion



Cathode erosion and electron transport





The Problem of Magnetic Pole Erosion in Hall Thrusters



Iron poles of the Hall thruster are sputtered

0 hr



150 hr



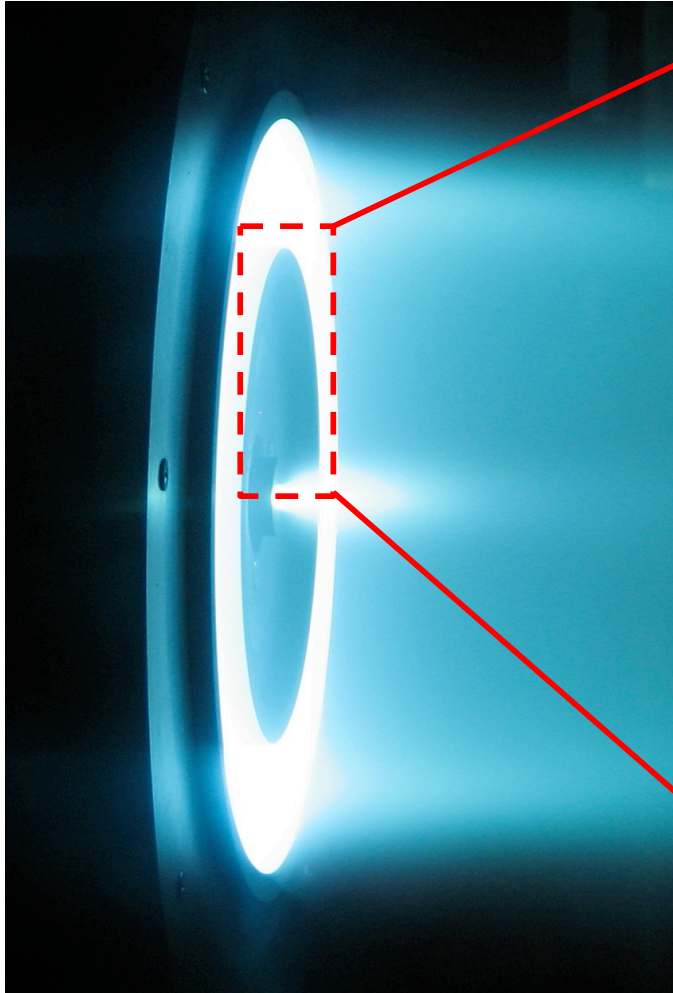
M. Sekerak et al. "Wear Testing of a Magnetically Shielded Hall Thruster at 2000 s Specific Impulse," IEPC-2015-155.

6-kW Hall effect thruster at JPL

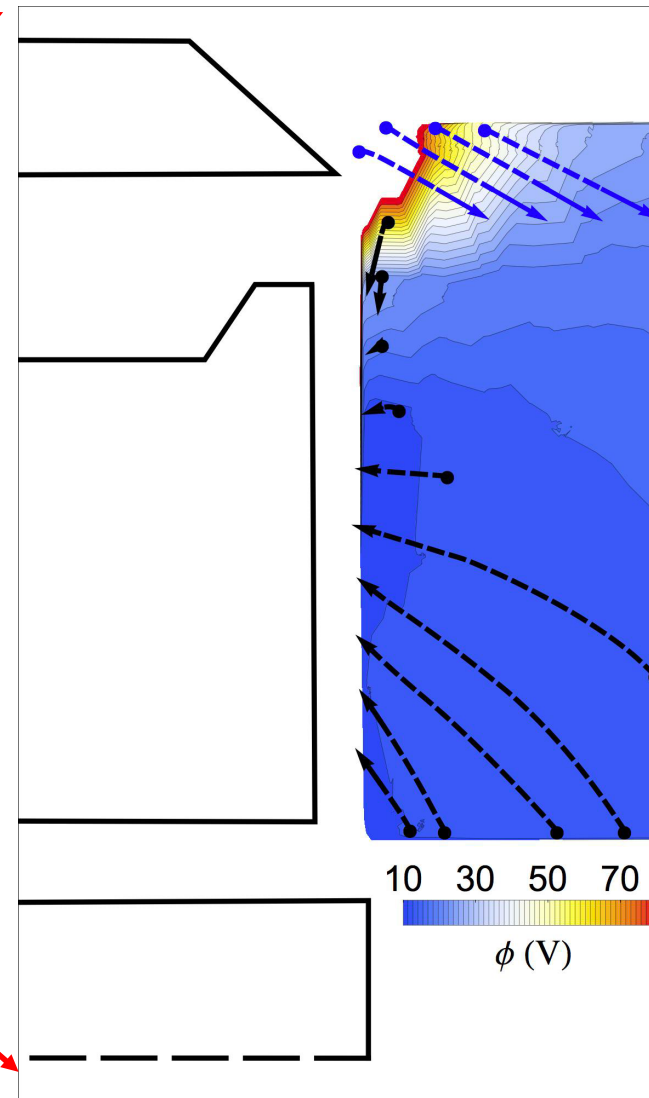


Measurements show ion trajectories intersect pole pieces

6-kW Hall effect thruster at JPL



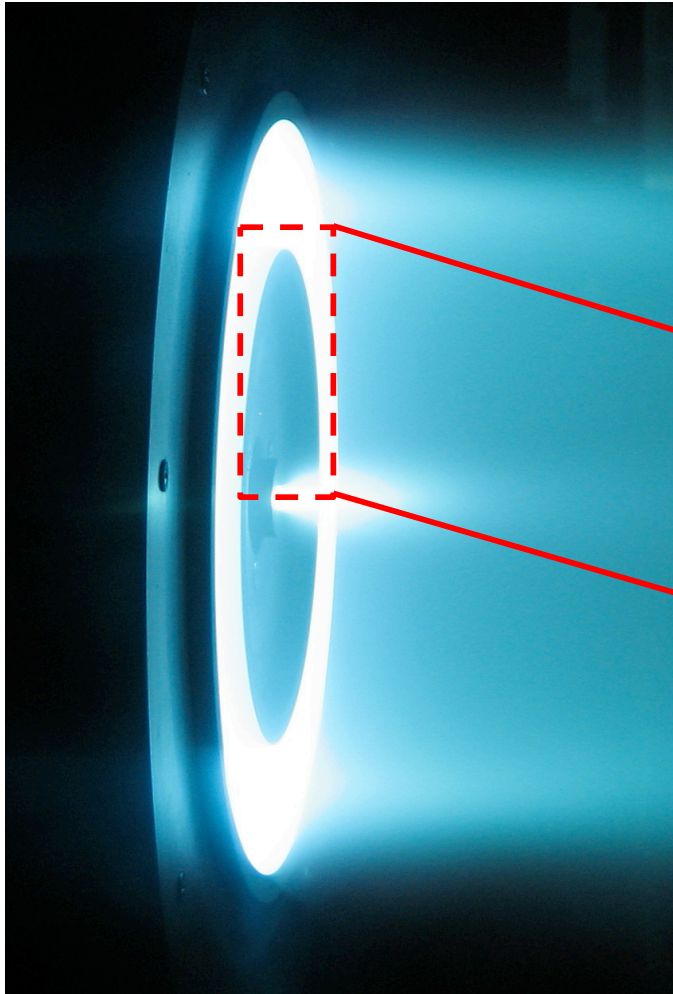
Measured ion trajectories



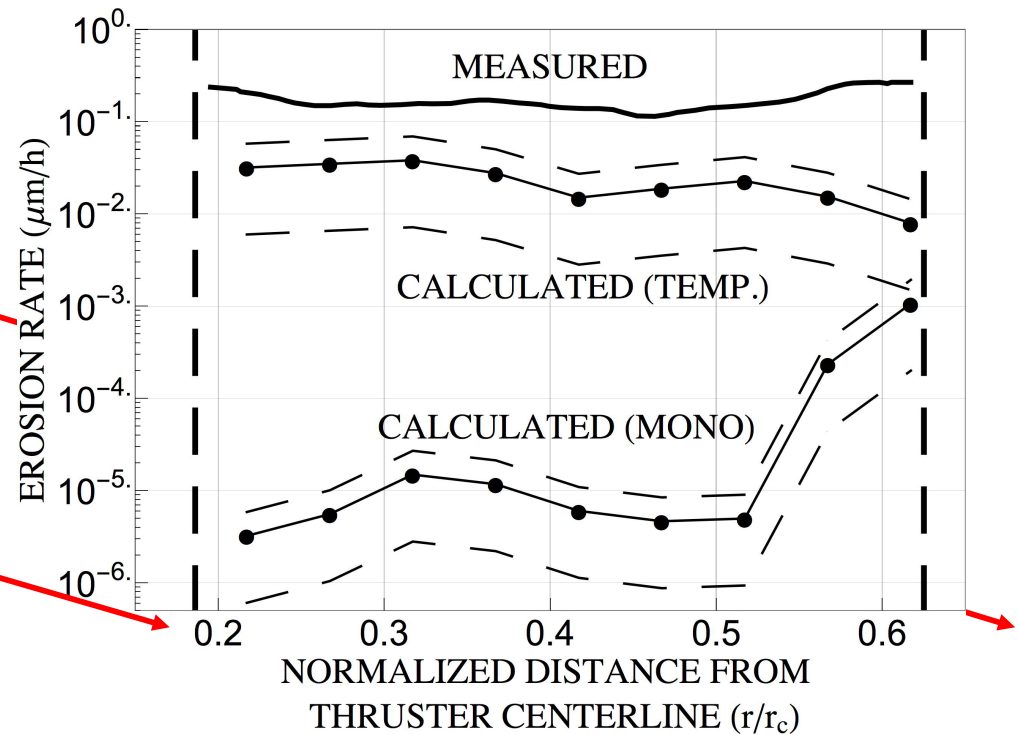


Measurements show ion trajectories intersect pole pieces

6-kW Hall effect thruster at JPL



Ion kinetic energy too low to explain measured erosion rate

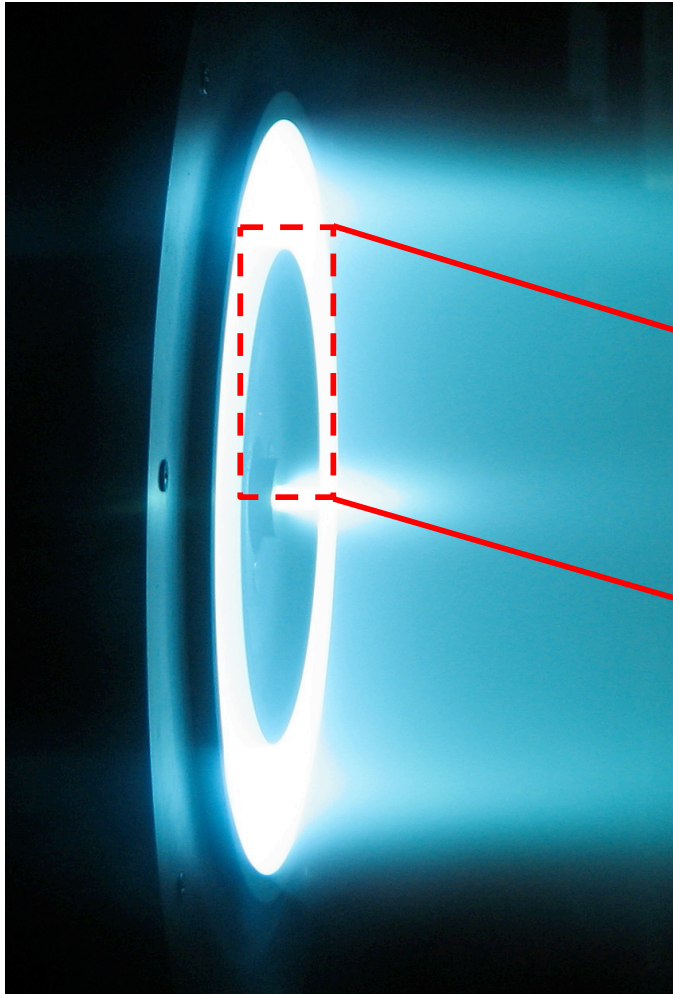


B. Jorns et al. AIAA-2016-4839

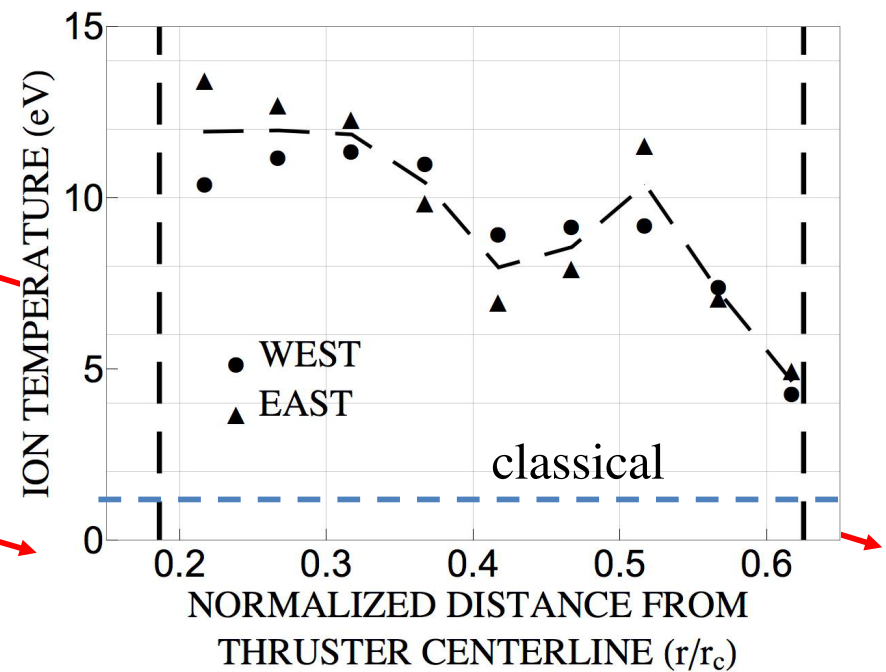


Measurements show ion trajectories intersect pole pieces

6-kW Hall effect thruster at JPL



Ion temperatures are anomalously high and can explain most of the erosion



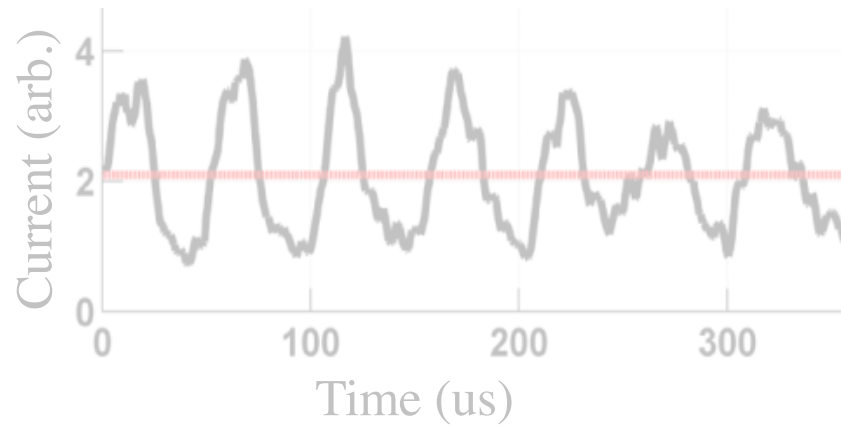
B. Jorns et al. AIAA-2016-4839

Ion heating in this region is non-classical and is possibly driven by turbulent heating or low-frequency ionization modes

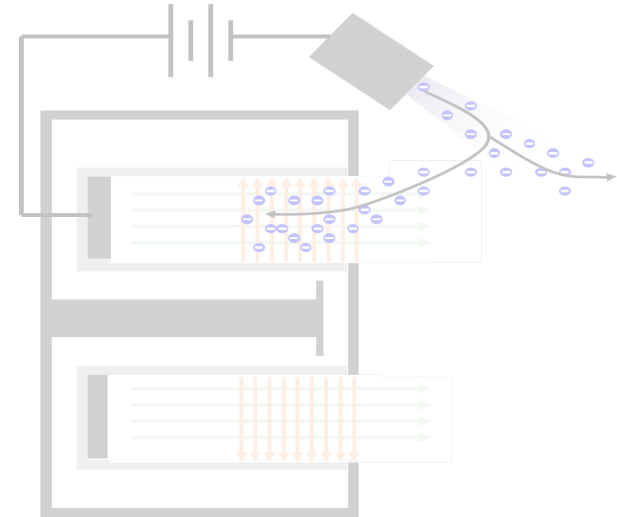


Anomalous Processes in Hall Thruster Operation

Onset of coherent, oscillatory modes



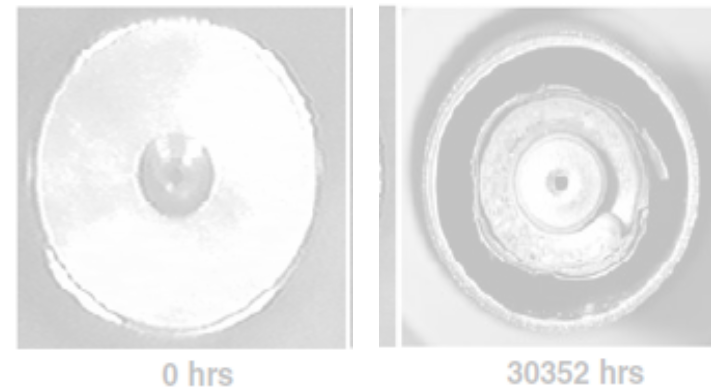
Cross-field transport



Thruster surface erosion



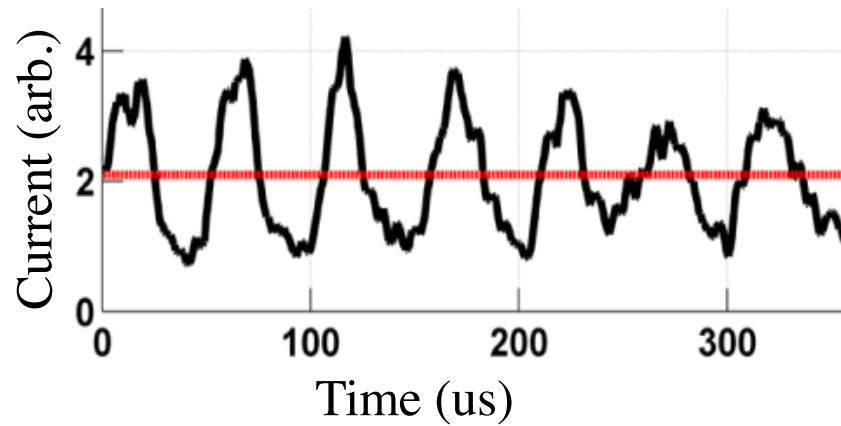
Cathode erosion and electron transport



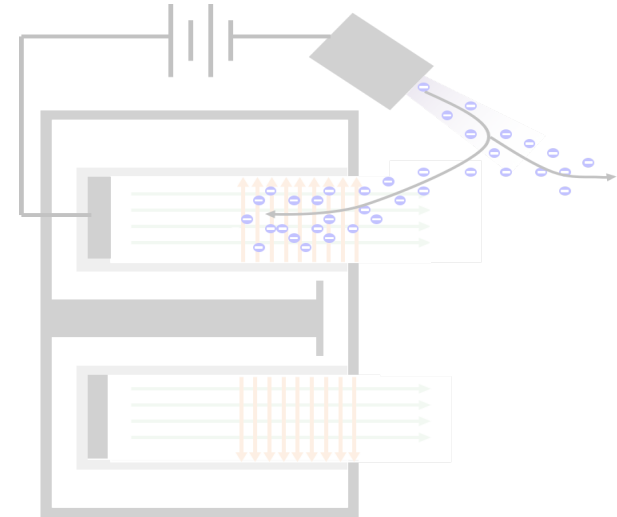


Anomalous Processes in Hall Thruster Operation

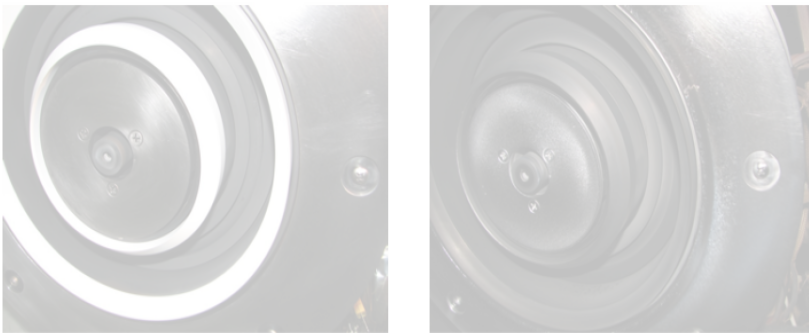
Onset of coherent, oscillatory modes



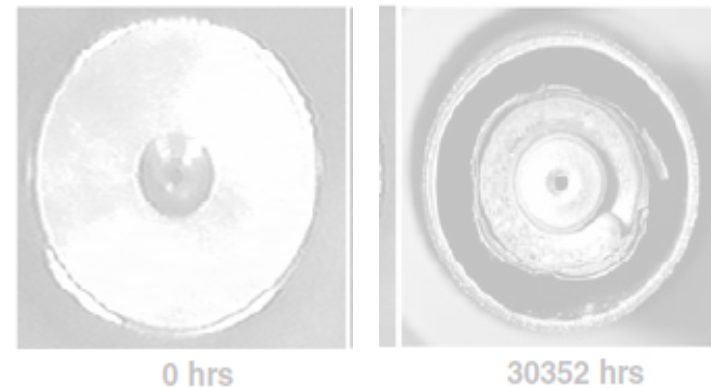
Cross-field transport



Thruster surface erosion



Cathode erosion and electron transport

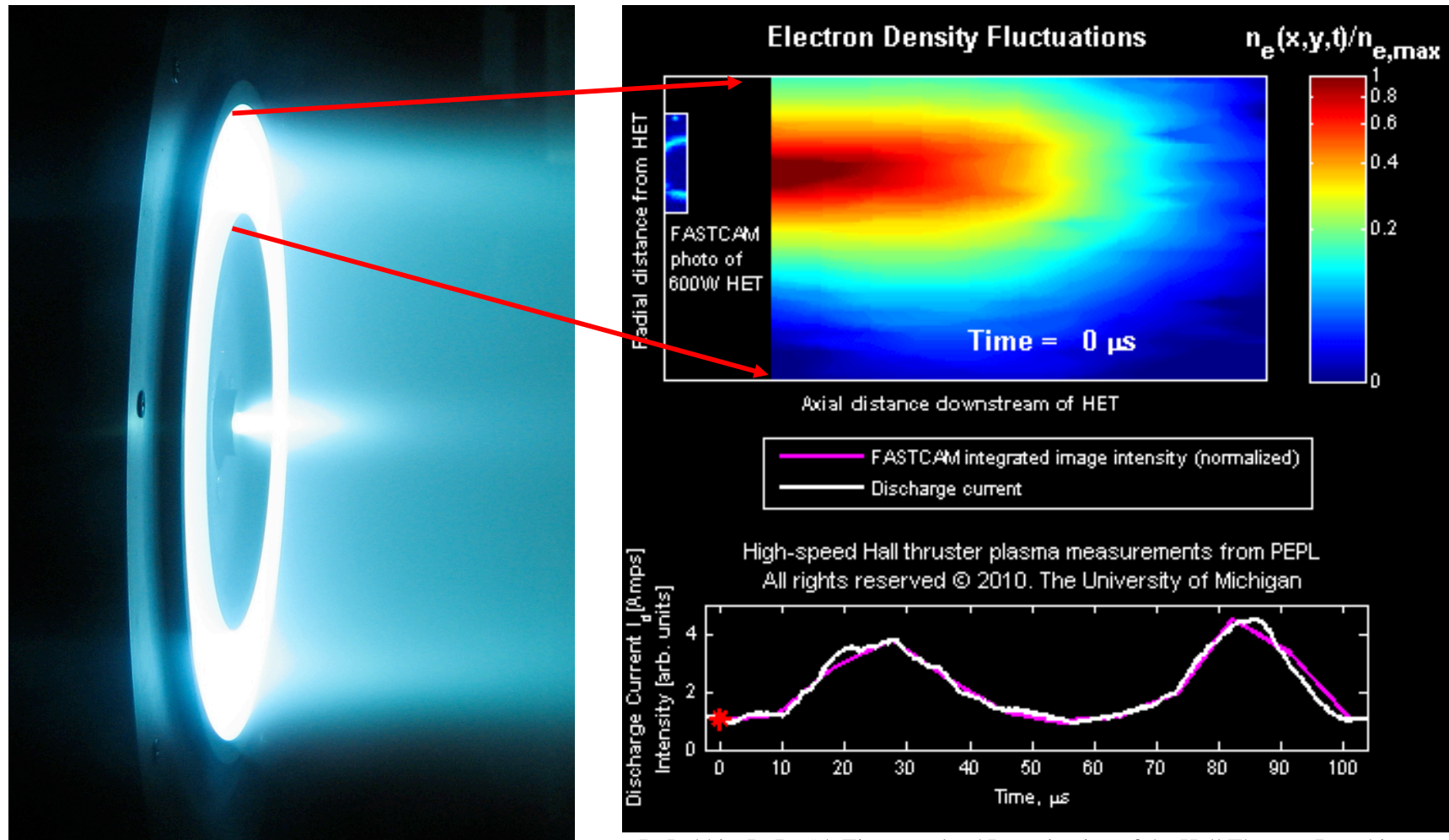




The Problem of Hall Thruster Stability



Global Hall thruster stability (breathing mode)

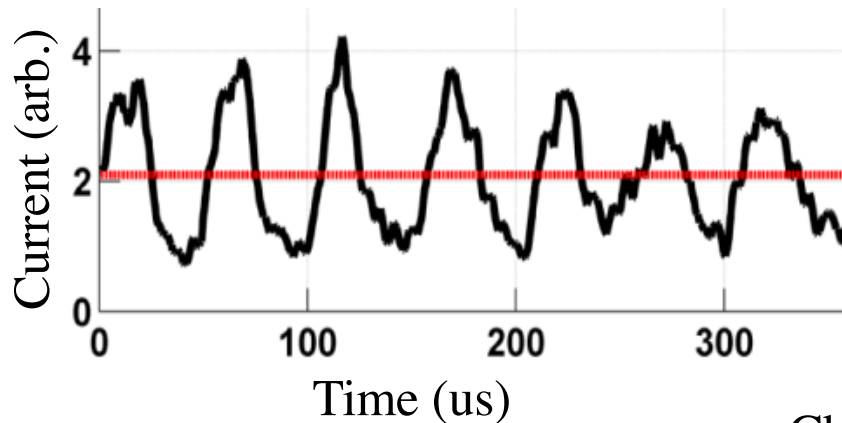


R. Lobbia, R. B., "A Time-resolved Investigation of the Hall Thruster Breathing Mode," Ph.D. Dissertation, University of Michigan, 2010.

Coherent large scale oscillations in plume and discharge current occur on the 10-100 kHz scale



Global Hall thruster stability (breathing mode)

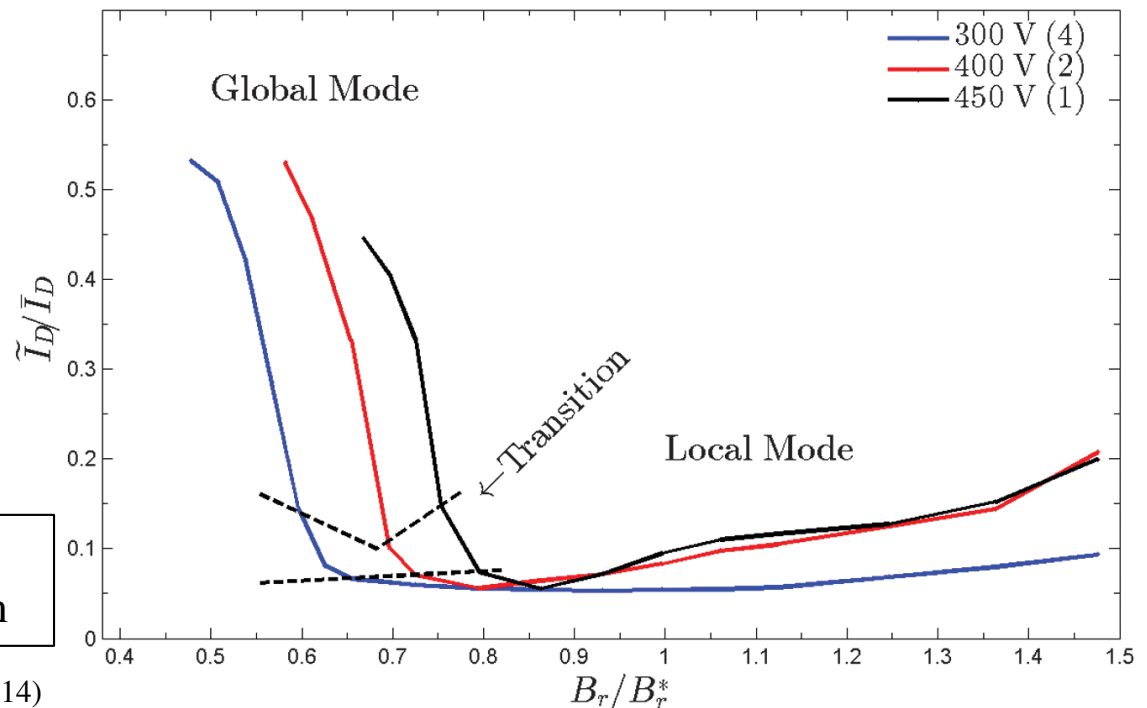


Change in current oscillations with magnetic field

The stability of the thruster depends strongly on operating condition

There has been some work on explaining these trends with numerical work*

We are actively investigation a first-principles description of this oscillation

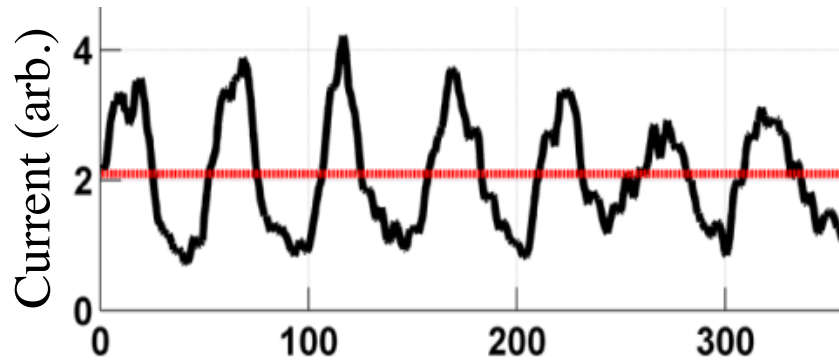


*K. Hara et al. *Journal of Applied Physics*. 115, 203304 (2014)

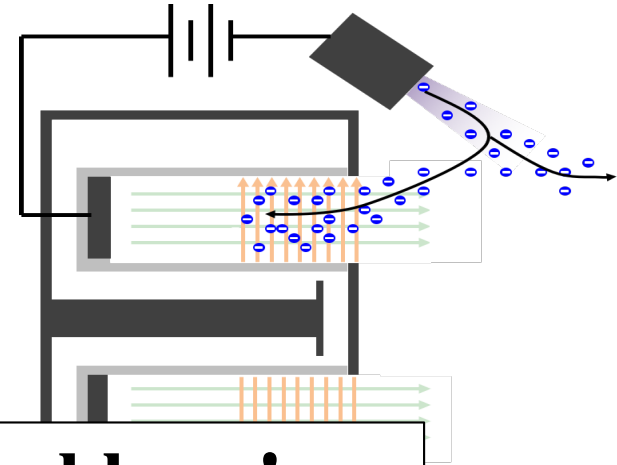


Anomalous Processes in Hall Thruster Operation

Onset of coherent, oscillatory modes



Cross-field transport

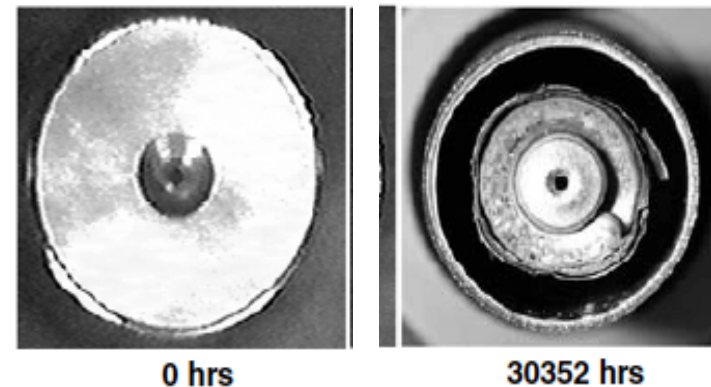


Instabilities can cause problems!

Thruster surface erosion



Cathode erosion and electron transport





Summary

- Electric propulsion is a key enabling technology for commercialization and exploration of space
- Hall thrusters are particularly attractive form of EP
- Although Hall thrusters are currently flown, there are key aspects of operation that we do not understand. *This poses a challenge for qualifying this technology for more ambitious missions.* Anomalous aspects of operation include
 - Stability
 - Erosion of thruster face
 - Cross-field transport
 - Cathode erosion and resistivity
- In all cases, plasma instabilities play a role (if the anomalous effects were classical, we would have predicted them already!)
- Previous and on-going work seeks to understand these instabilities from first-principles in such a way as to model and potentially mitigate the anomalous processes



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Dr. Mike Sekerak



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Mr. Marcel Georgin, PEPL
Mr. Ethan Dale, PEPL
Mr. Zach Brown, PEPL
Mr. Matthew Byrne, PEPL