

Negative Hydroxyl Ions for Breakdown in Liquid Water

Tanvir Farouk and Ali Aghdam



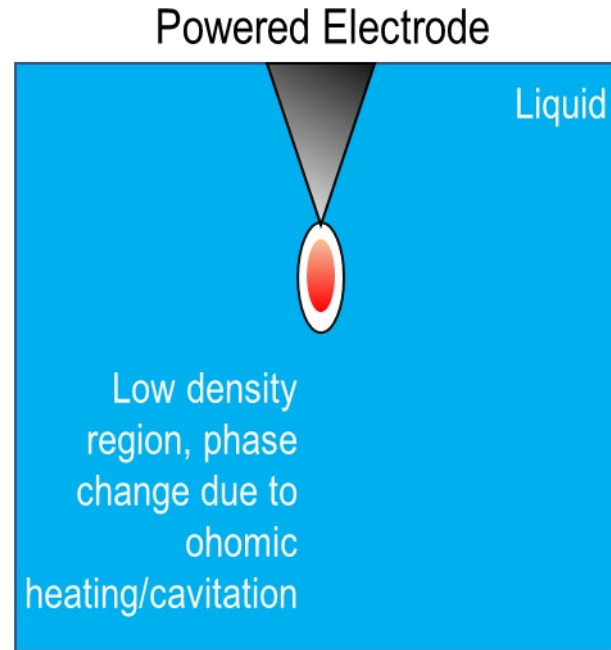
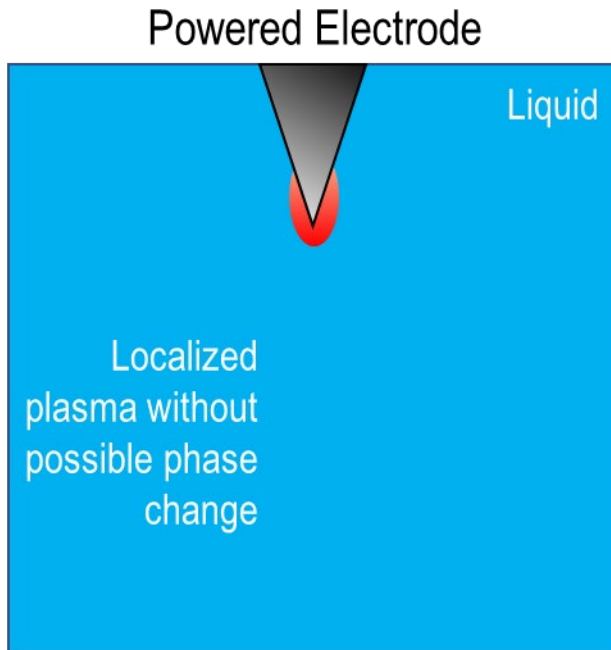
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MIPSE

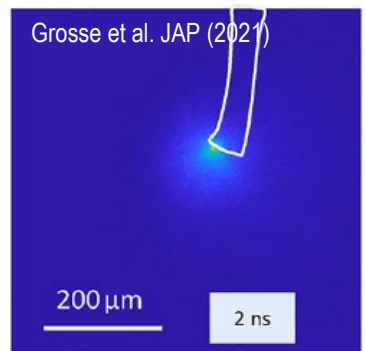
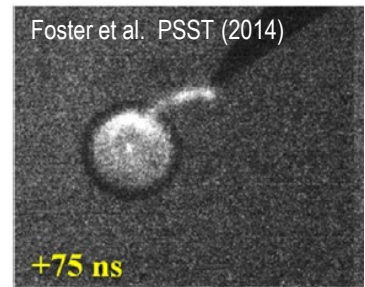
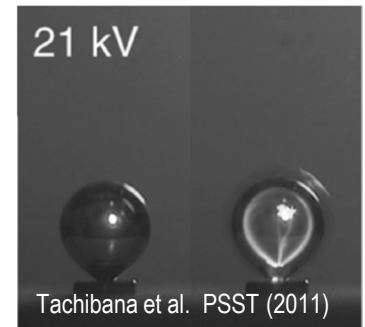
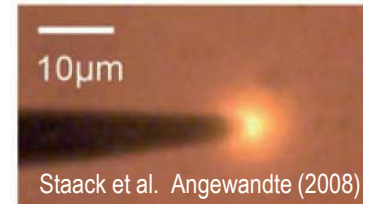
International Online Plasma Seminar (IOPS)

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Plasma discharge in liquids – Initiation



- **Electron Multiplication Mechanism**
 - Tunneling effect
- **Multiphase Mechanism**
 - Cavitation due to electric stress
 - Local ohmic heating
 - Formation of plasma in the bubble
 - Surface charge build-up at bubble surface



- Bruggeman and Leys, J. Phys. D: Appl. Phys. 42 (2009) 053001.
- Shneider et al., IEEE Trans. Dielectr. Electr. Insul., 19 (2012) 1579-1582.
- Bonaventura et al. PSST 30, (2021), 065023.
- Grosse et al. J. Appl. Phys. 129, (2021), 213302.

Plasma discharge in liquids – Initiation

- **Electron Multiplication Mechanism**

- Tunneling effect (*Breakdown in transformer oils – extended to breakdown in water*)

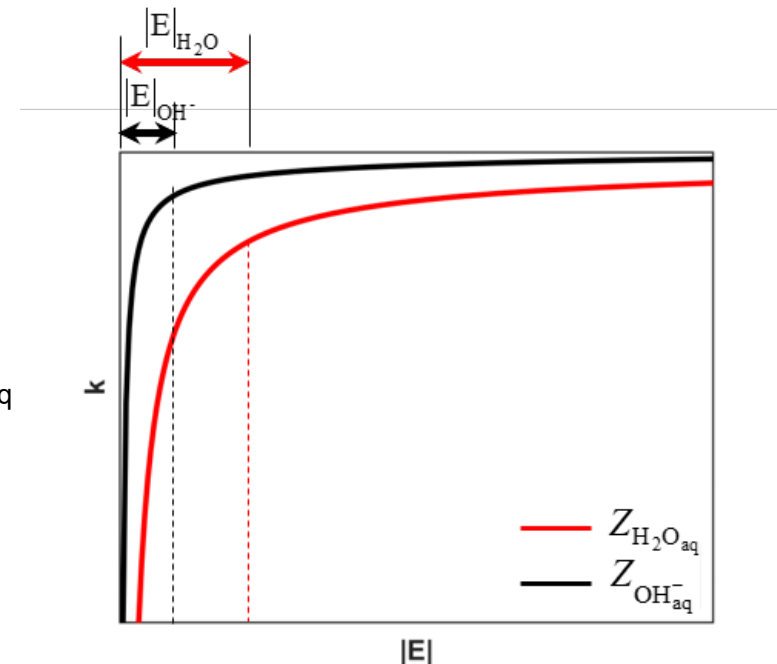
Field dependent ionization of water molecule

$$Z_{\text{H}_2\text{O}_{\text{aq}}}(\mathbf{E}) = n_{\text{H}_2\text{O}_{\text{aq}}} \frac{q |\mathbf{E}| d}{h} \exp\left(-\frac{m_e d \pi^2 \Delta^2}{q |\mathbf{E}| h^2}\right) \quad \Delta = 4.00 \text{ eV}$$

Tunneling detachment of electrons from OH^- *

$$Z_{\text{OH}_{\text{aq}}^-}(\mathbf{E}) = n_{\text{OH}_{\text{aq}}^-} \frac{\pi A^2 q |\mathbf{E}|}{\sqrt{2 I_n m_e}} \exp\left(-\frac{4 \sqrt{2 m_e} I_n^{3/2}}{3 q h |\mathbf{E}|}\right) \quad I_n = 1.85 \text{ eV}$$

- Probability of electron detachment from negative OH_{aq}^- is **greater than** the probability of field ionization of water molecules
- Detachment takes place at a **lower energy barrier**



Schematic presentation and comparison of rate constants of field dependent ionization of $\text{H}_2\text{O}_{\text{aq}}$ and tunneling detachment of electrons from OH_{aq}^- as a function of electric field magnitude.

Plasma discharge in liquids – Initiation

- **Electron Multiplication Mechanism**

- Tunneling effect (*Breakdown in transformer oils – extended to breakdown in water*)

Field dependent ionization of water molecule

$$Z_{\text{H}_2\text{O}_{\text{aq}}}(\mathbf{E}) = n_{\text{H}_2\text{O}_{\text{aq}}} \frac{q |\mathbf{E}| d}{h} \exp\left(-\frac{m_e d \pi^2 (\Delta)^2}{q |\mathbf{E}| h^2}\right) \quad \Delta = 4.00 \text{ eV}$$

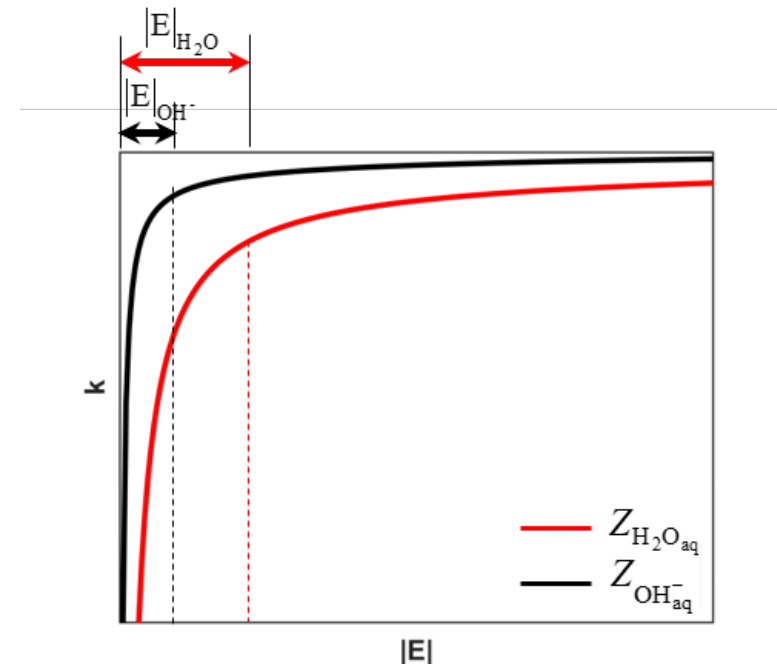
Tunneling detachment of electrons from OH^- *

$$Z_{\text{OH}^-_{\text{aq}}}(\mathbf{E}) = n_{\text{OH}^-_{\text{aq}}} \frac{\pi A^2 q |\mathbf{E}|}{\sqrt{2 I_n m_e}} \exp\left(-\frac{4}{3} \frac{\sqrt{2 m_e} (I_n)^{3/2}}{q h |\mathbf{E}|}\right) \quad I_n = 1.85 \text{ eV}$$

Is there sufficient OH^-_{aq} present in water?



$$n_{\text{H}^+_{\text{aq}}} = n_{\text{OH}^-_{\text{aq}}} = 6 \times 10^{19} \text{ m}^{-3}$$



Schematic presentation and comparison of rate constants of field dependent ionization of $\text{H}_2\text{O}_{\text{aq}}$ and tunneling detachment of electrons from OH^-_{aq} as a function of electric field magnitude.

Mathematical model

Fluid Model

- Continuity: $\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{U}) = 0$
- Momentum: $\frac{\partial \rho \mathbf{U}}{\partial t} + \nabla \cdot (\rho \mathbf{U} \mathbf{U}) = \mu \nabla^2 \mathbf{U} - \nabla p + \mathbf{F}$
- Energy: $\frac{\partial \rho E_{\text{tot}}}{\partial t} + \nabla \cdot (\rho \mathbf{U} E_{\text{tot}}) + \nabla \cdot (p \mathbf{U}) = \nabla \cdot (\mathbf{\bar{\eta}} \cdot \mathbf{U}) - \nabla \cdot \mathbf{q} + \mathbf{J}_{\text{ion}} \cdot \mathbf{E}$
- Equation of state: $p = (p_o + B) \left(\frac{\rho}{\rho_o} \right)^\gamma - B$

Plasma Model

- Species: $\frac{\partial N_k}{\partial t} + \nabla \cdot (Z_k \mu_k \mathbf{E} N_k) + \nabla \cdot (\mathbf{U} N_k) = \nabla \cdot (D_k \nabla N_k) + S_k \quad k = e, n, p$
- Electric field: $\nabla \cdot (\epsilon \nabla \phi) = q_0 (N_p - N_n - N_e)$
 $\mathbf{E} = -\nabla \phi$
- Electric Force: $\mathbf{F} = q \mathbf{E} - \frac{\epsilon_0}{2} \mathbf{E}^2 \nabla \epsilon + \frac{\epsilon_0}{2} \nabla \left(\mathbf{E}^2 \frac{\partial \epsilon}{\partial \rho} \rho \right)$
- Permittivity model: $\frac{\partial \epsilon}{\partial \rho} \rho \simeq a \epsilon \quad , \quad \epsilon = C \rho^\alpha$

Mathematical model

Fluid Model

- Continuity: $\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{U}) = 0$
- Momentum: $\frac{\partial \rho \mathbf{U}}{\partial t} + \nabla \cdot (\rho \mathbf{U} \mathbf{U}) = \mu \nabla^2 \mathbf{U} - \nabla p + \mathbf{F}$
- Energy: $\frac{\partial \rho E_{\text{tot}}}{\partial t} + \nabla \cdot (\rho \mathbf{U} E_{\text{tot}}) + \nabla \cdot (p \mathbf{U}) = \nabla \cdot (\mathbf{\tau} \cdot \mathbf{U}) - \nabla \cdot q + \mathbf{J}_{\text{ion}} \cdot \mathbf{E}$

$$\mathbf{F} = q\mathbf{E} - \frac{\epsilon_0}{2} \mathbf{E}^2 \nabla \epsilon + \frac{\epsilon_0}{2} \nabla \left(\mathbf{E}^2 \frac{\partial \epsilon}{\partial \rho} \rho \right)$$

Force due to free charges and electric field
(Electrostatic force)

Force due to inhomogeneous dielectric
(Polarization force)

Electrostrictive forces due to non-uniform electric field
(Ponderomotive force)

Table 1. Different species considered in the model^a.

Electrons	
	e, e_{aq}
Ions	$H^+, H^-, OH^-, O^-, H_2O^+, OH_{aq}^-, O_{aq}^-, O_{2, aq}^-, O_{3, aq}^-, H_2O_{aq}^+, HO_{2, aq}^-, H_3O_{aq}^+$
Neutrals	$H, H_2, OH, H_{aq}, H_{2, aq}, OH_{aq}, O_{aq}, O_{2, aq}, O_{3, aq}, H_2O_{aq}, HO_{2, aq}, H_2O_{2, aq}$

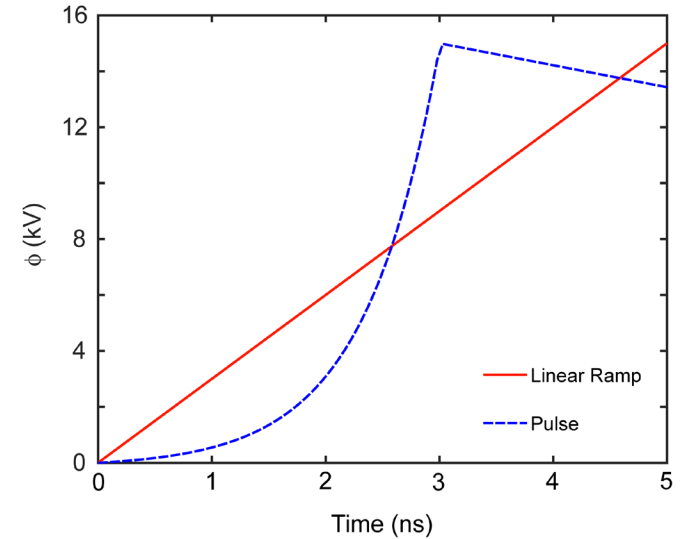
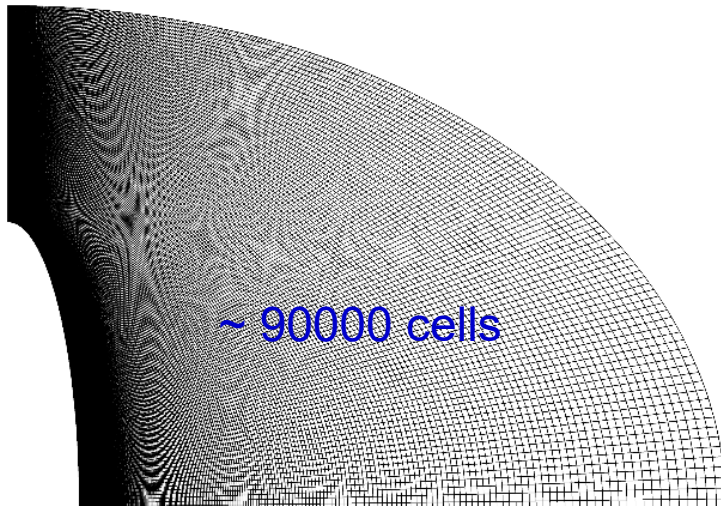
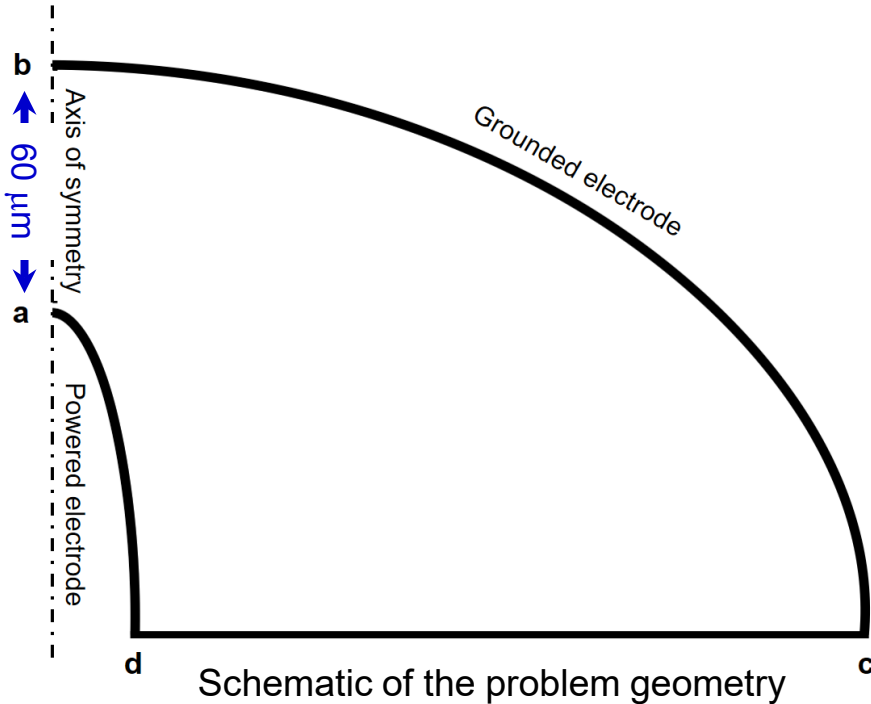
^a*aq*: aqueous.

Table 2. The water reaction mechanism

#	Reaction	Rate
R1	$H_2O_{aq} \rightarrow H_2O^+ + e$	$Z_{H_2O}(E)$
R2	$OH_{aq}^- \rightarrow OH + e$	$Z_{OH}(E)$
R3	$e + H_2O_{aq} \rightarrow OH^- + H$	$f(E /N)$
R4	$e + H_2O_{aq} \rightarrow H_2 + O^-$	$f(E /N)$
R5	$e + H_2O_{aq} \rightarrow OH + H^-$	$f(E /N)$
R6	$e + H_2O_{aq} \rightarrow H_2O^+ + 2e$	$f(E /N)$
R7	$H_2O^+ + e \rightarrow H_2O_{aq}$	1.0×10^{-19}
R8	$e + H_2O_{aq} \rightarrow e_{aq} + H_2O_{aq}$	3.3×10^{-18}
R9	$H + H_2O_{aq} \rightarrow H_{aq} + H_2O_{aq}$	5.0×10^{-21}
R10	$H_2 + H_2O_{aq} \rightarrow H_{2, aq} + H_2O_{aq}$	5.0×10^{-21}
R11	$O^- + H_2O_{aq} \rightarrow O_{aq}^- + H_2O_{aq}$	5.0×10^{-21}
R12	$OH + H_2O_{aq} \rightarrow OH_{aq} + H_2O_{aq}$	5.0×10^{-21}
R13	$H^+ + H_2O_{aq} \rightarrow H_3O_{aq}^+$	5.0×10^{-21}
R14	$OH^- + H_2O_{aq} \rightarrow OH_{aq}^- + H_2O_{aq}$	5.0×10^{-21}
R15	$H_2O^+ + H_2O_{aq} \rightarrow H_2O_{aq}^+ + H_2O_{aq}$	5.0×10^{-21}
R16	$e_{aq} + H_2O_{aq} \rightarrow H_{aq} + OH_{aq}^-$	3.2×10^{-26}
R17	$e_{aq} + H_2O_{aq}^+ \rightarrow H_{aq} + OH_{aq}$	1.0×10^{-15}
R18	$2e_{aq} + 2H_2O_{aq} \rightarrow H_{2, aq} + 2OH_{aq}^-$	9.1×10^{-18}
R19	$e_{aq} + H_{aq} + H_2O_{aq} \rightarrow H_{2, aq} + OH_{aq}^-$	6.9×10^{-44}
R20	$e_{aq} + OH_{aq} \rightarrow OH_{aq}^-$	5.0×10^{-17}
R21	$e_{aq} + O_{aq}^- + H_2O_{aq} \rightarrow 2OH_{aq}^-$	6.1×10^{-44}
R22	$e_{aq} + H_3O_{aq}^+ \rightarrow H_{aq} + H_2O_{aq}$	3.8×10^{-17}
R23	$e_{aq} + H_2O_{2, aq} \rightarrow OH_{aq} + OH_{aq}^-$	1.8×10^{-17}
R24	$e_{aq} + HO_{2, aq}^- + H_2O_{aq} \rightarrow OH_{aq} + 2OH_{aq}^-$	9.7×10^{-45}
R25	$e_{aq} + O_{2, aq} \rightarrow O_{2, aq}^-$	3.2×10^{-17}

#	Reaction	Rate
R26	$e_{aq} + O_{aq} \rightarrow O_{aq}^-$	3.2×10^{-17}
R27	$H_{aq} + H_2O_{aq} \rightarrow H_{2, aq} + OH_{aq}$	1.7×10^{-26}
R28	$H_{aq} + H_{aq} \rightarrow H_{2, aq}$	1.2×10^{-17}
R29	$H_{aq} + OH_{aq} \rightarrow H_2O_{aq}$	1.2×10^{-17}
R30	$H_{aq} + OH_{aq}^- \rightarrow e_{aq} + H_2O_{aq}$	3.7×10^{-20}
R31	$H_{aq} + H_2O_{2, aq} \rightarrow OH_{aq} + H_2O_{aq}$	1.5×10^{-19}
R32	$H_{2, aq} + H_2O_{2, aq} \rightarrow H_{aq} + OH_{aq} + H_2O_{aq}$	1.0×10^{-20}
R33	$H_{aq} + O_{2, aq} \rightarrow HO_{2, aq}$	3.5×10^{-17}
R34	$H_{aq} + HO_{2, aq} \rightarrow H_2O_{2, aq}$	1.7×10^{-17}
R35	$O_{aq} + H_2O_{aq} \rightarrow 2OH_{aq}$	2.2×10^{-23}
R36	$O_{aq} + O_{2, aq} \rightarrow O_{3, aq}$	5.0×10^{-18}
R37	$OH_{aq} + OH_{aq} \rightarrow H_2O_{2, aq}$	9.1×10^{-18}
R38	$OH_{aq} + O_{aq}^- \rightarrow HO_{2, aq}^-$	3.3×10^{-17}
R39	$OH_{aq} + H_{2, aq} \rightarrow H_{aq} + H_2O_{aq}$	7.0×10^{-20}
R40	$OH_{aq} + OH_{aq}^- \rightarrow O_{aq}^- + H_2O_{aq}$	2.2×10^{-17}
R41	$OH_{aq} + HO_{2, aq} \rightarrow H_2O_{aq} + O_{2, aq}$	1.0×10^{-17}
R42	$OH_{aq} + O_{2, aq}^- \rightarrow OH_{aq}^- + O_{2, aq}$	1.3×10^{-17}
R43	$O_{aq}^- + H_2O_{aq} \rightarrow OH_{aq}^- + OH_{aq}$	3.0×10^{-21}
R44	$O_{aq}^- + H_{2, aq} \rightarrow OH_{aq}^- + H_{aq}$	1.3×10^{-19}
R45	$O_{aq}^- + H_2O_{2, aq} \rightarrow O_{2, aq}^- + H_2O_{aq}$	8.3×10^{-19}
R46	$O_{aq}^- + HO_{2, aq} \rightarrow O_{2, aq}^- + OH_{aq}^-$	6.6×10^{-19}
R47	$O_{aq}^- + O_{2, aq} \rightarrow O_{3, aq}^-$	6.0×10^{-18}
R48	$O_{aq}^- + O_{2, aq} + H_2O_{aq} \rightarrow 2OH_{aq}^- + O_{2, aq}$	1.7×10^{-45}
R49	$OH_{aq} + H_2O_{2, aq} \rightarrow H_2O_{aq} + HO_{2, aq}$	4.5×10^{-20}
R50	$OH_{aq} + HO_{2, aq} \rightarrow OH_{aq}^- + HO_{2, aq}$	1.2×10^{-17}
R51	$H_2O_{aq}^+ + H_2O_{aq} \rightarrow H_3O_{aq}^+ + OH_{aq}$	1.0×10^{-23}
R52	$H_3O_{aq}^+ + OH_{aq}^- \rightarrow H_{aq} + OH_{aq} + H_2O_{aq}$	1.0×10^{-16}
R53	$HO_{2, aq} + H_2O_{aq} \rightarrow H_3O_{aq}^+ + O_{2, aq}^-$	3.3×10^{-24}
R54	$H_3O_{aq}^+ + O_{2, aq}^- \rightarrow HO_{2, aq} + H_2O_{aq}$	1.0×10^{-25}

Problem geometry



Transient voltage profile

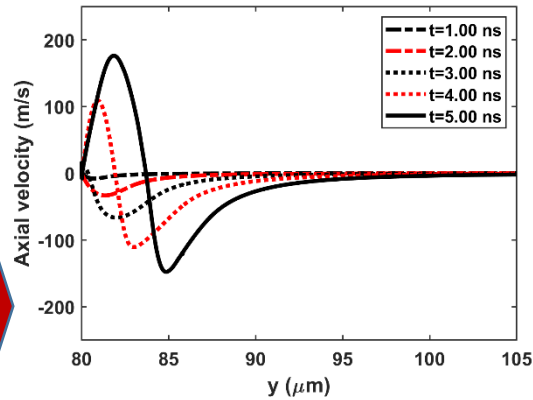
Case I: Electrons formed through ionization of water molecules via the Zener tunneling mechanism

Case II: Electrons formed through detachment from negative hydroxyl ions via tunneling mechanism

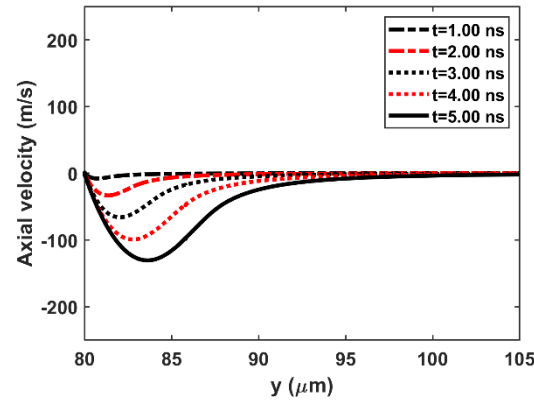
Case III: Electrons formed through a combination of ionization of water molecules and detachment from hydroxyl ions via the tunneling mechanism

Comparison of velocity field

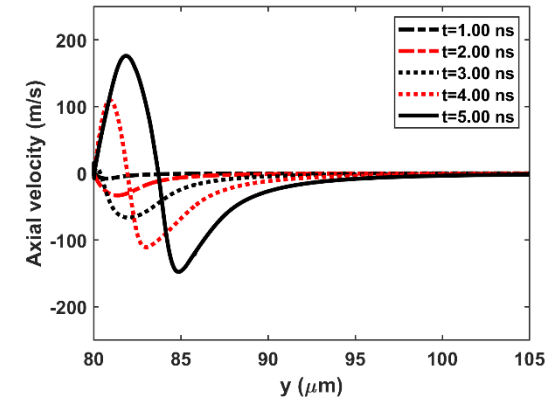
Z_{H_2O}



Z_{OH^-}

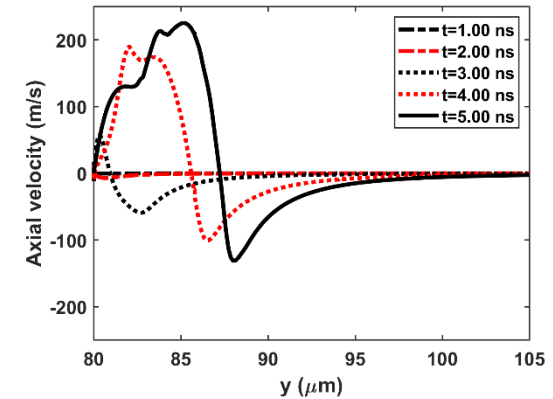
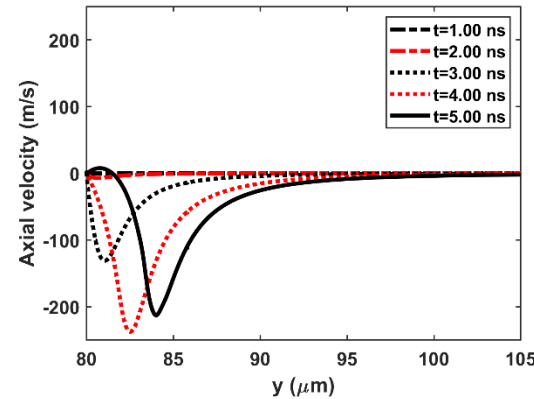
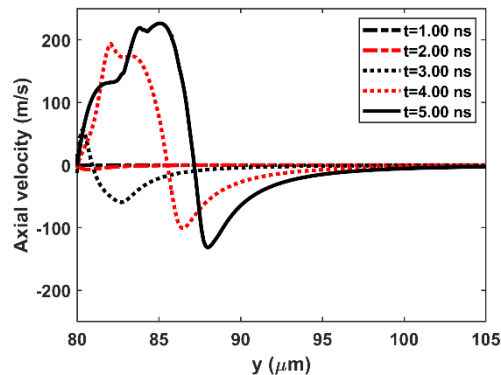


Z_{H_2O}, Z_{OH^-}



The positive velocity region does not appear for OH^- , because the ionization degree is lower and less charges are produced.

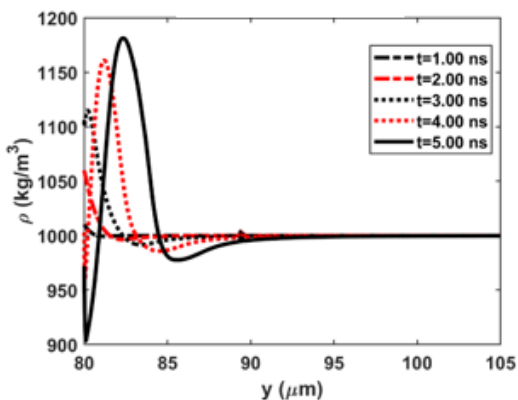
Ramp



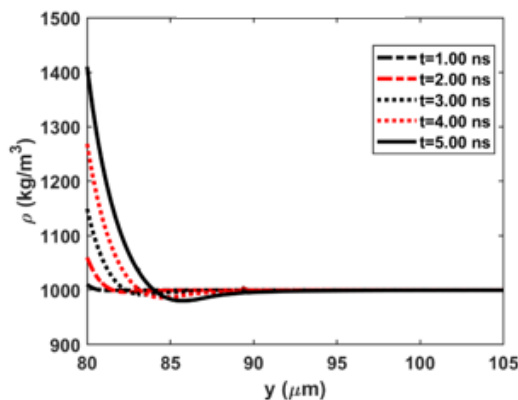
Pulse

Comparison of density field

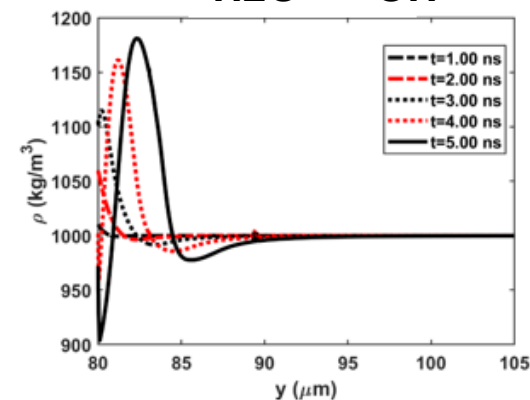
Z_{H_2O}



Z_{OH^-}



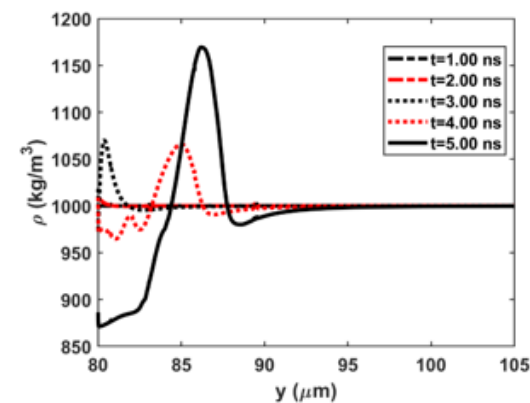
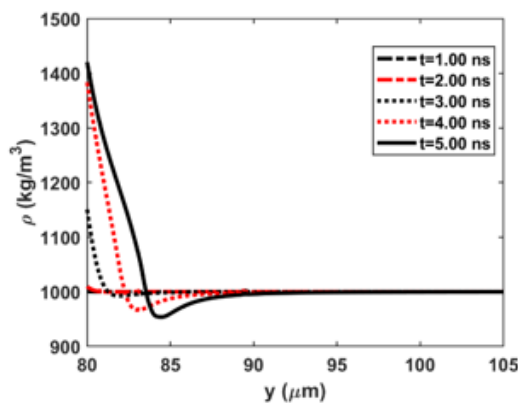
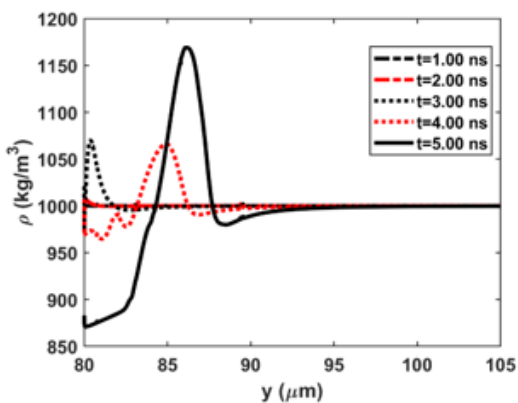
Z_{H_2O}, Z_{OH^-}



Ramp

Compression and expansion regions. Sub-micron low density region. Tunnel detachment results in higher compression

Pulse



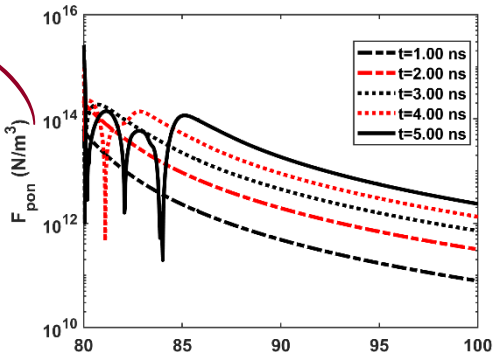
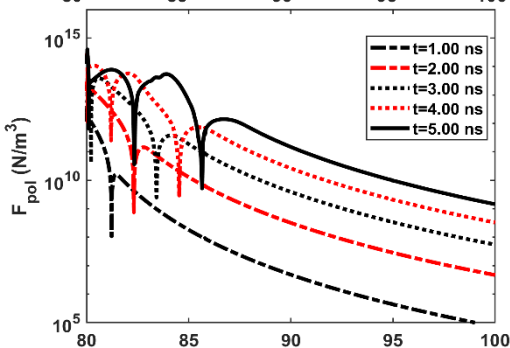
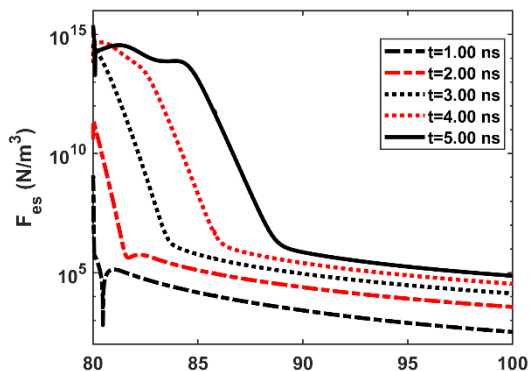
Comparison of electrical forces (Ramp)

Electrostatic
 $F = qE$

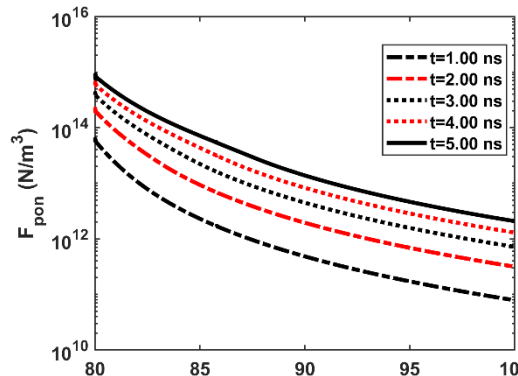
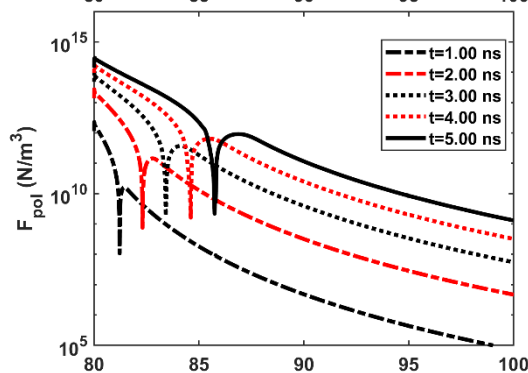
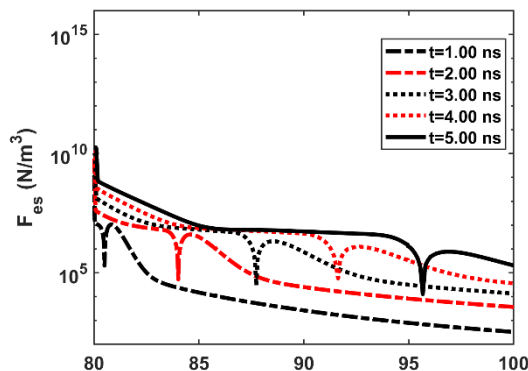
Polarization
 $F = -\frac{\epsilon_0}{2} E^2 \nabla \epsilon$

Ponderomotive
 $F = \frac{\epsilon_0}{2} \nabla \left(E^2 \frac{\partial \epsilon}{\partial \rho \rho} \right)$

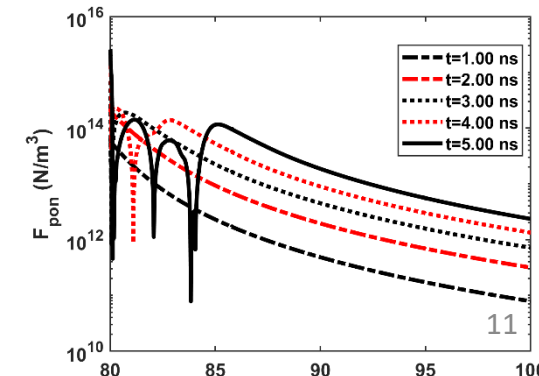
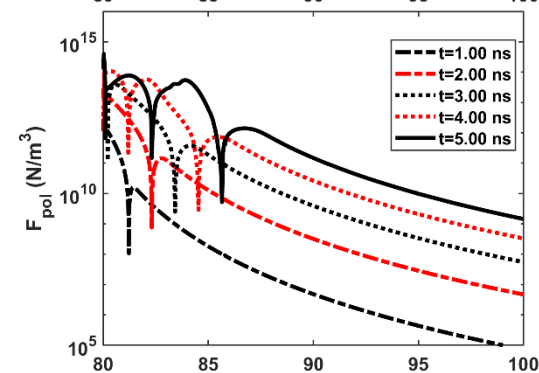
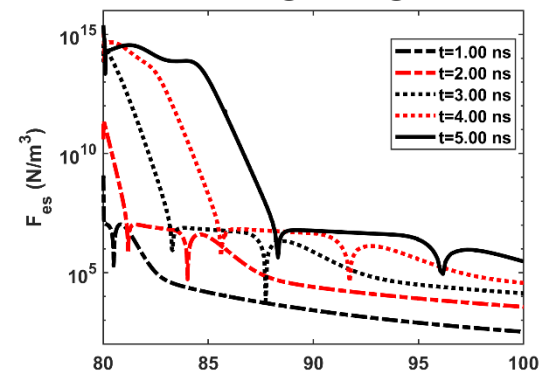
Z_{H_2O}



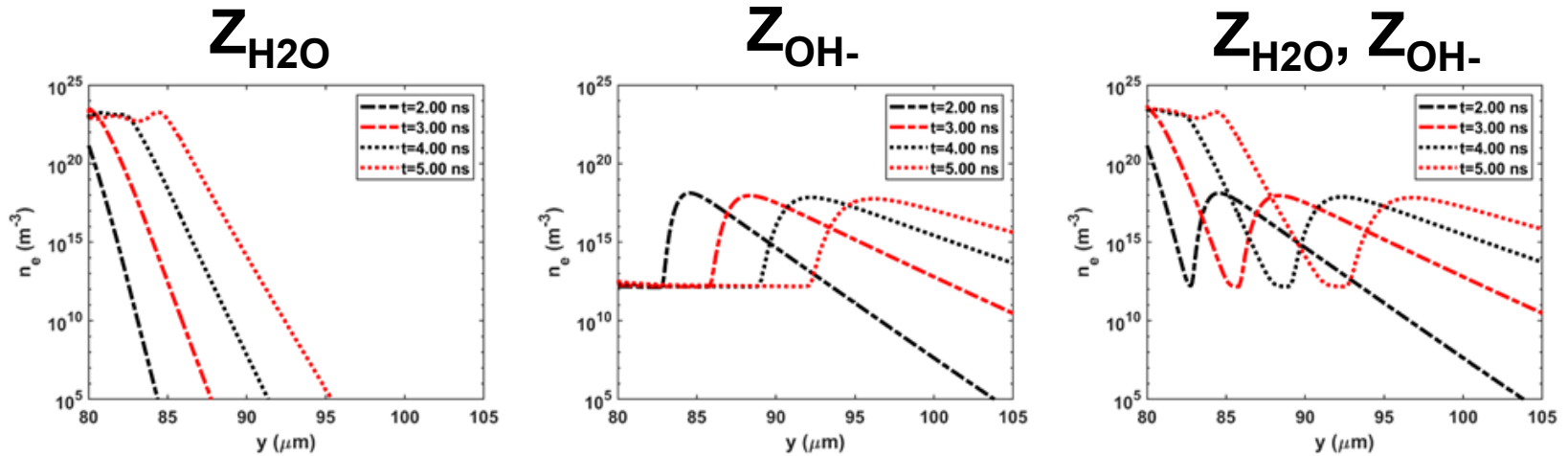
Z_{OH^-}



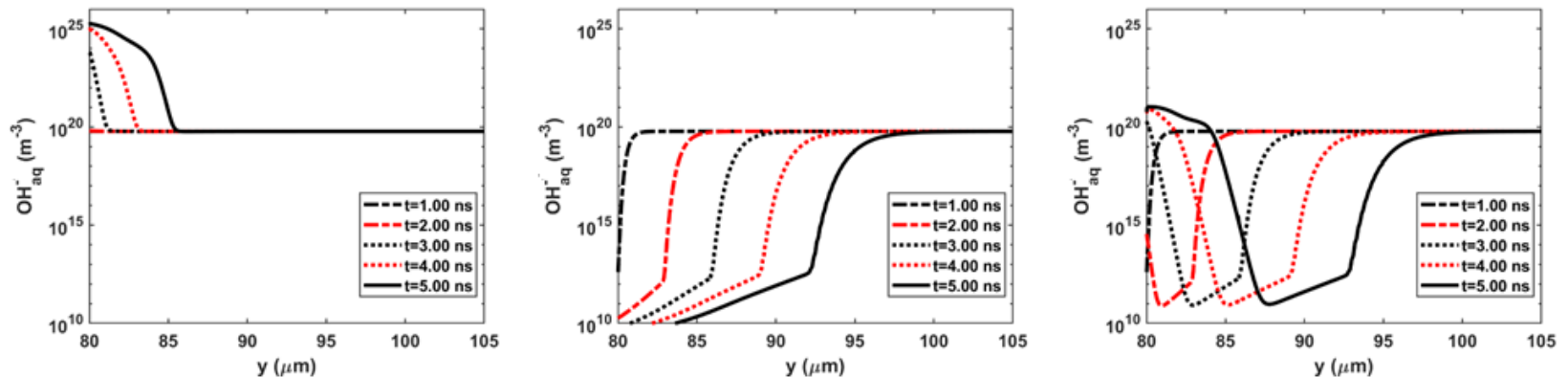
Z_{H_2O}, Z_{OH^-}



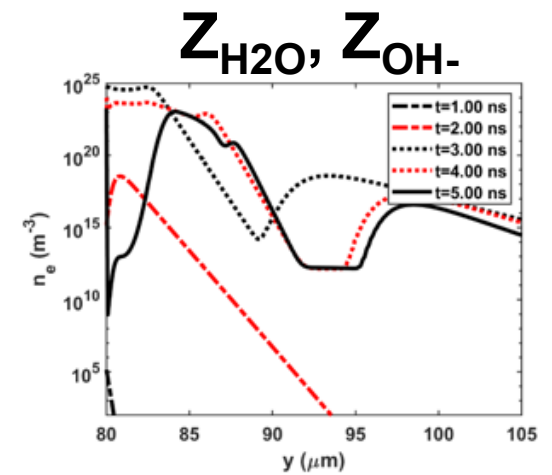
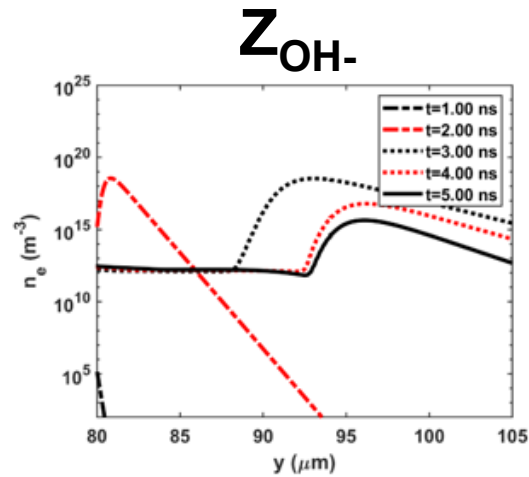
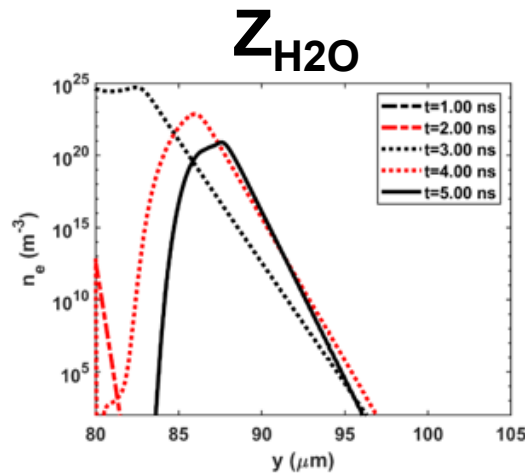
Comparison of electrons and OH⁻ number density (Ramp)



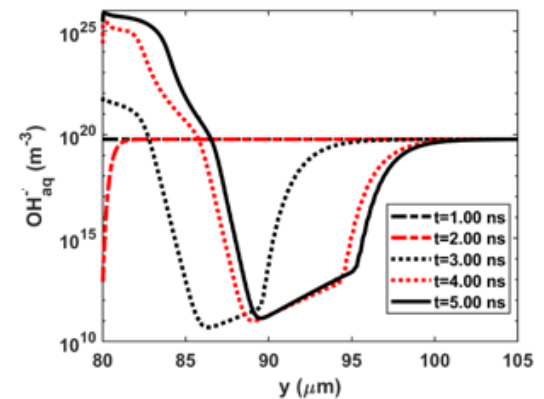
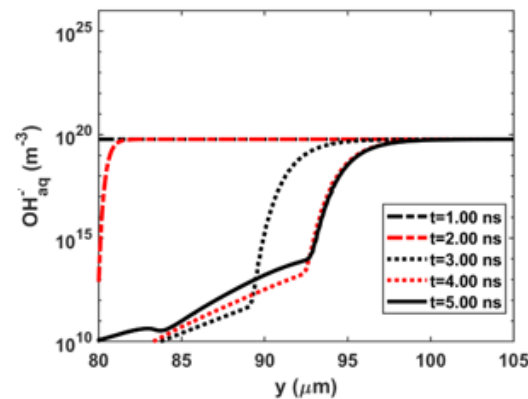
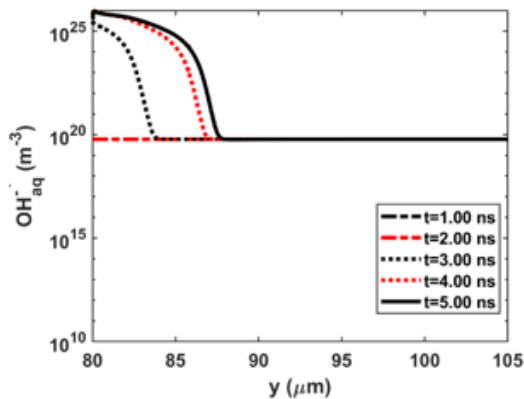
- OH_{aq}⁻ is rapidly consumed through the tunnel detachment process forming free electrons.
- Slow increase in the OH_{aq}⁻ due to solvated electrons and aqueous reactions.
- A strong and a weak wave



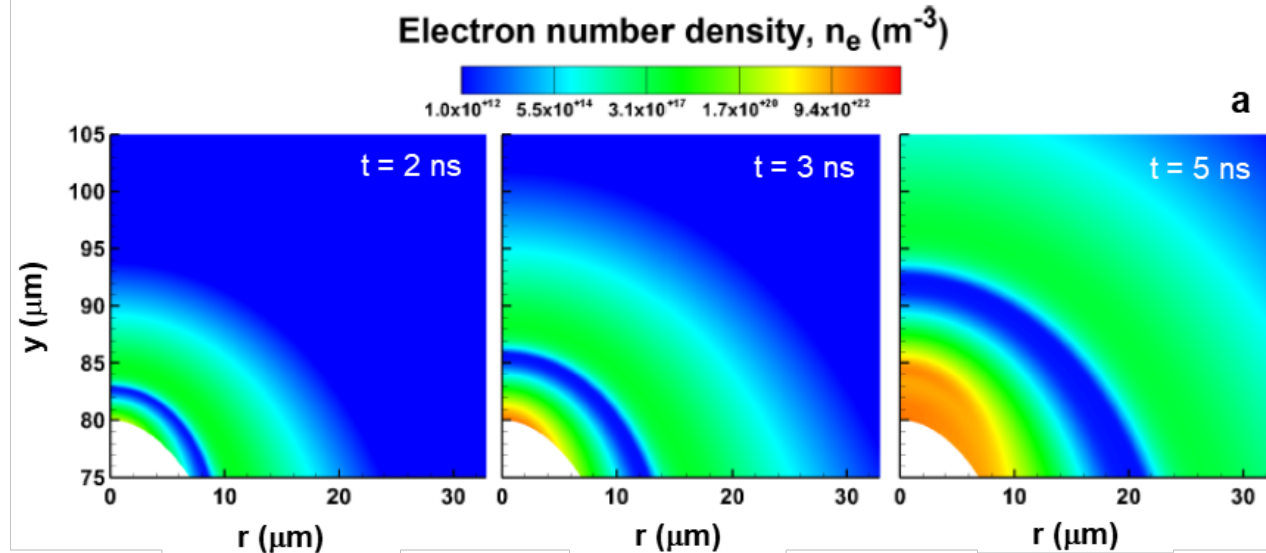
Comparison of electrons and OH⁻ number density (Pulse)



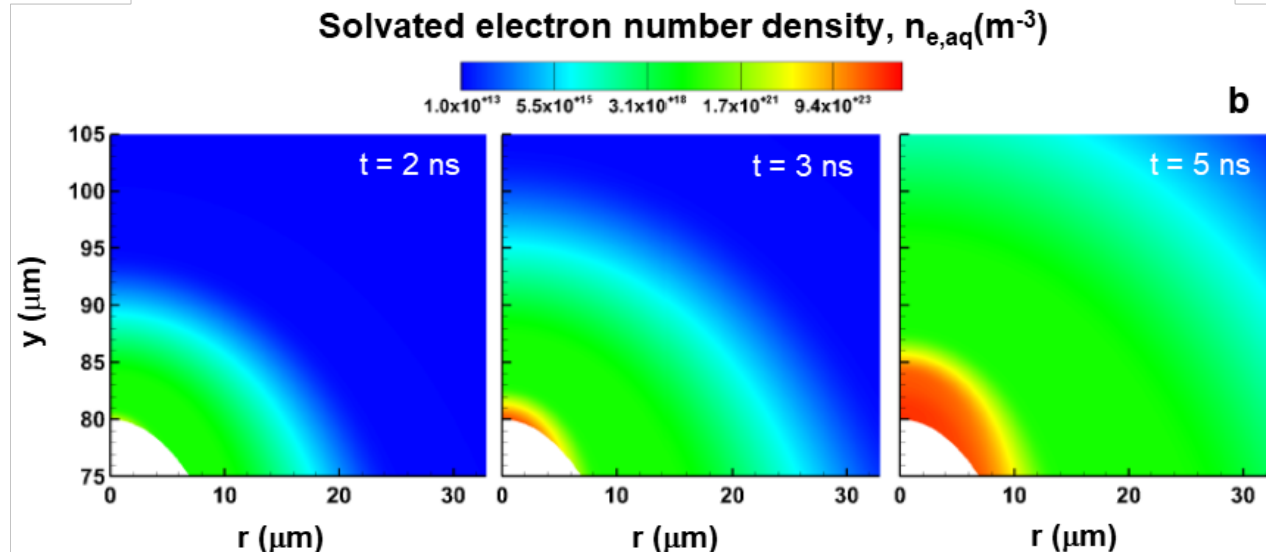
- OH_{aq}⁻ detachment has a longer delay for a pulse
- Higher concentration of OH_{aq}⁻ during replenishment



Spatiotemporal distribution of n_e and $n_{e,aq}$ ($Z_{H_2O} + Z_{OH^-}$, Ramp)

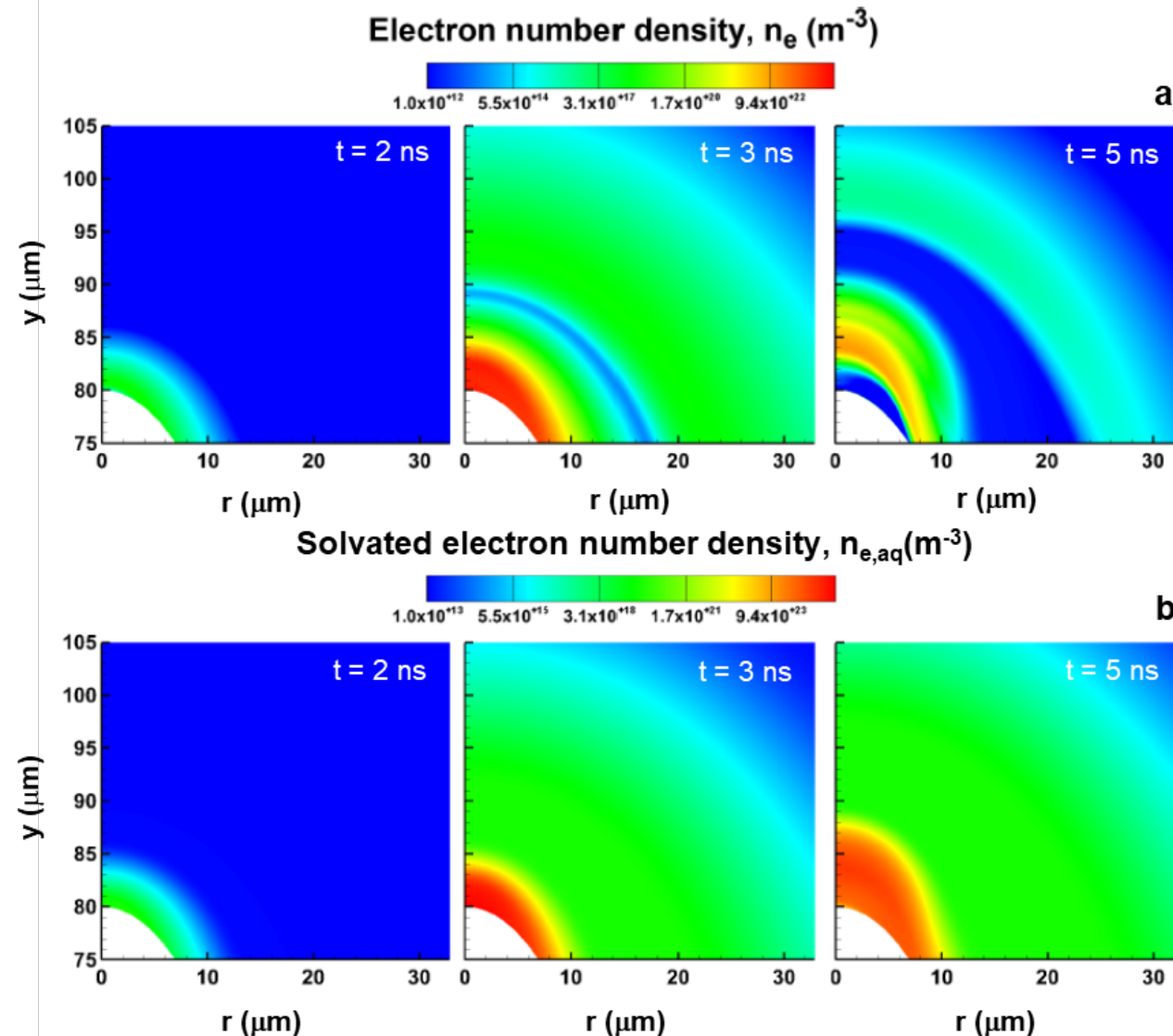


- First wave of electrons is formed through reaction R2 at earlier times and at lower driving voltage followed by a second ionization wave via R1 channel.



- R1 depends on the number density of H_2O_{aq} , which is multiple orders of magnitude higher than that of OH_{aq}^- .
- R1 channel rapidly surpasses the contribution from R2 once threshold energy is acquired.

Spatiotemporal distribution of n_e and $n_{e,eq}$ ($Z_{H_2O} + Z_{OH^-}$, Pulse)

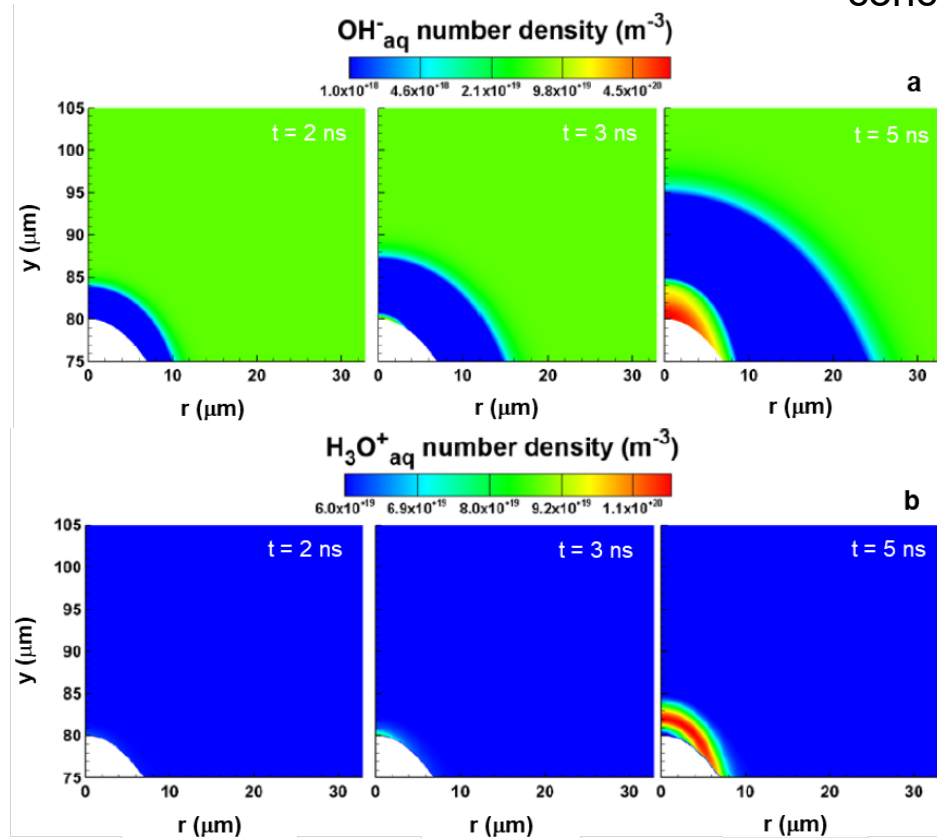


- The downstream advection occurs when the driving voltage starts the linear decay. The bulk fluid velocity attains the maximum value during the voltage relaxation period.
- Stratified regions of high density $n_{e,eq}$ due to the low mobility and diffusivity of the solvated electrons.

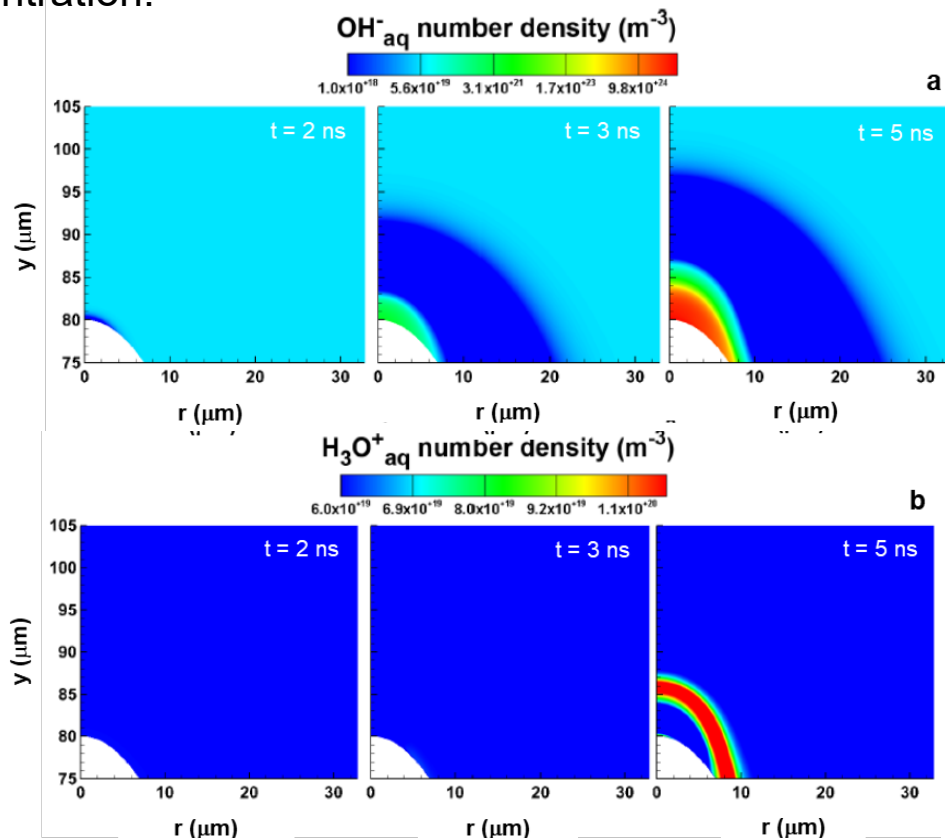
Spatiotemporal distribution of OH^-_{aq} and $\text{H}_3\text{O}^+_{\text{aq}}$



- R2 channel becomes active once the depleted OH^-_{aq} is replenished near the vicinity of the powered electrode.
- Pulse profile generates charged species at higher concentration.

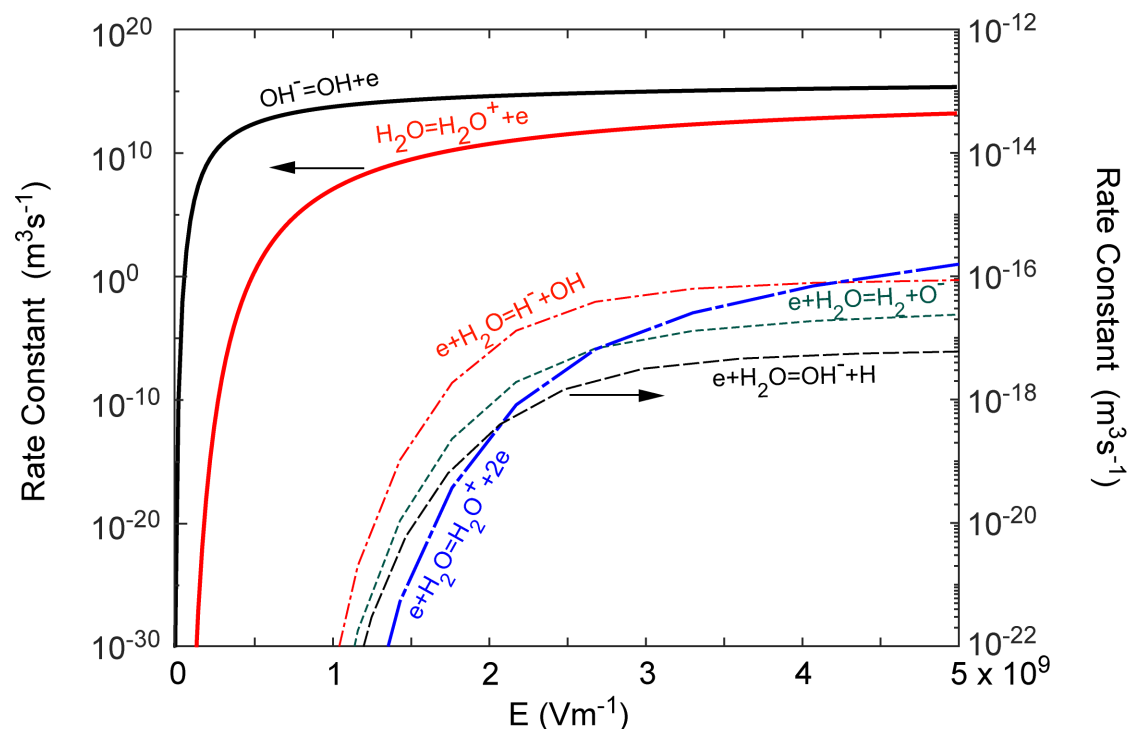


Ramp



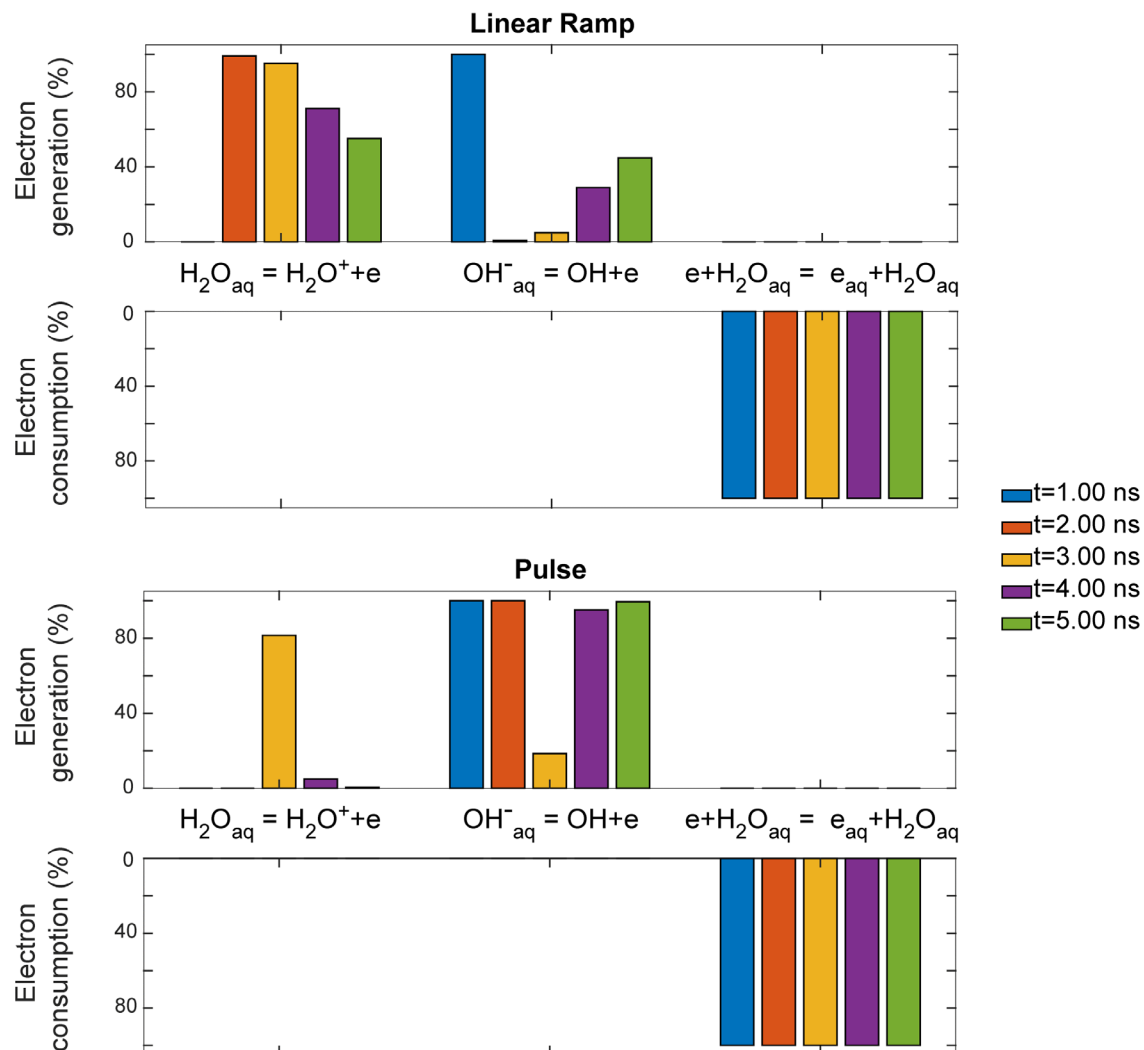
Pulse

Comparison of the reaction channels



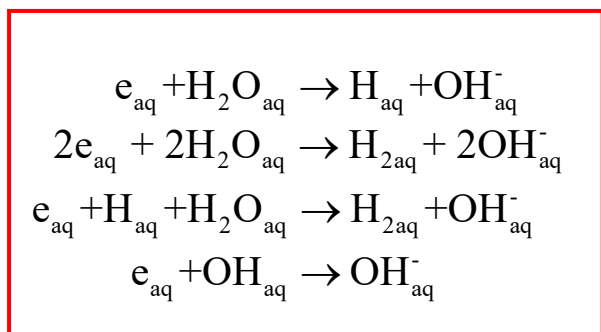
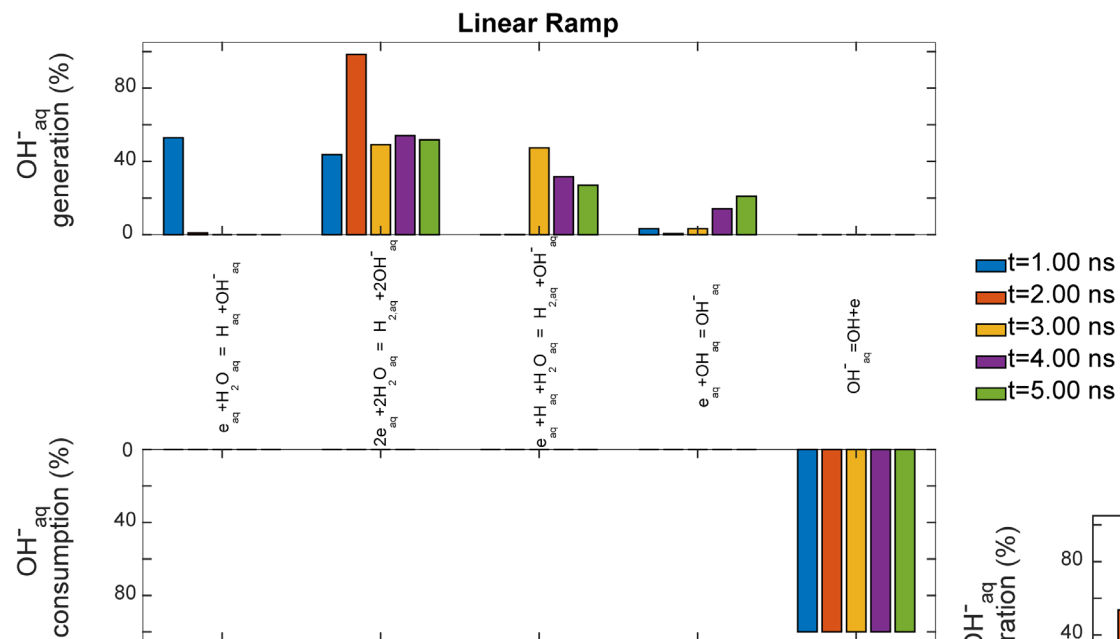
- Tunneling reactions supersedes all other electron impact reactions.

Comparison of the reaction channels

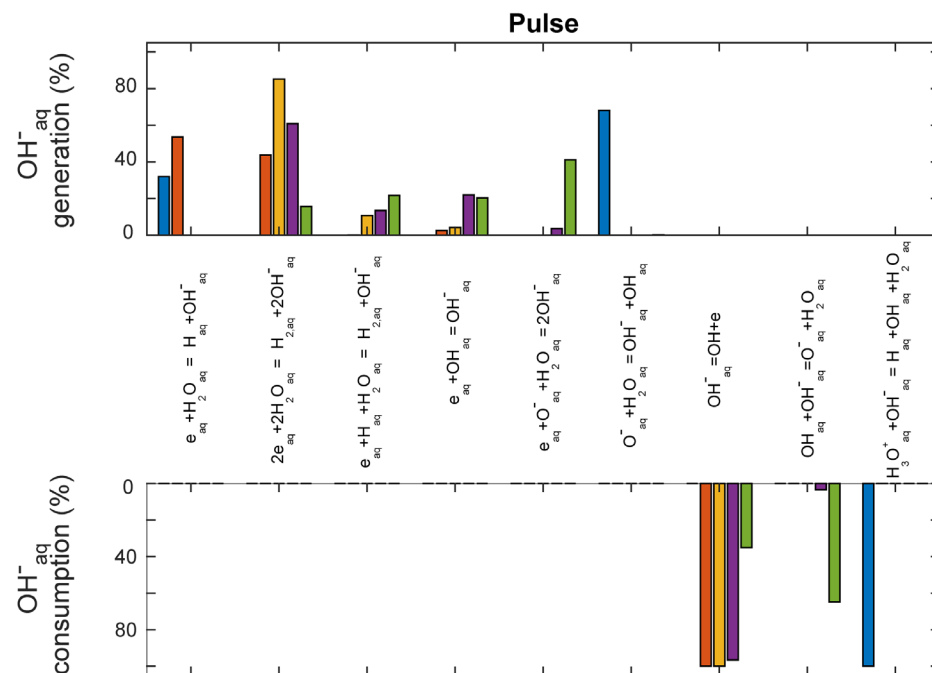


- During the voltage decay phase R1 diminishes drastically but R2 remains active.
- In a linear ramp after 2 ns, the contribution from R2 progressively builds up.
- As the OH^-_{aq} in the system gets replenished, the tunneling detachment remains as an active source for electrons.

Comparison of the reaction channels

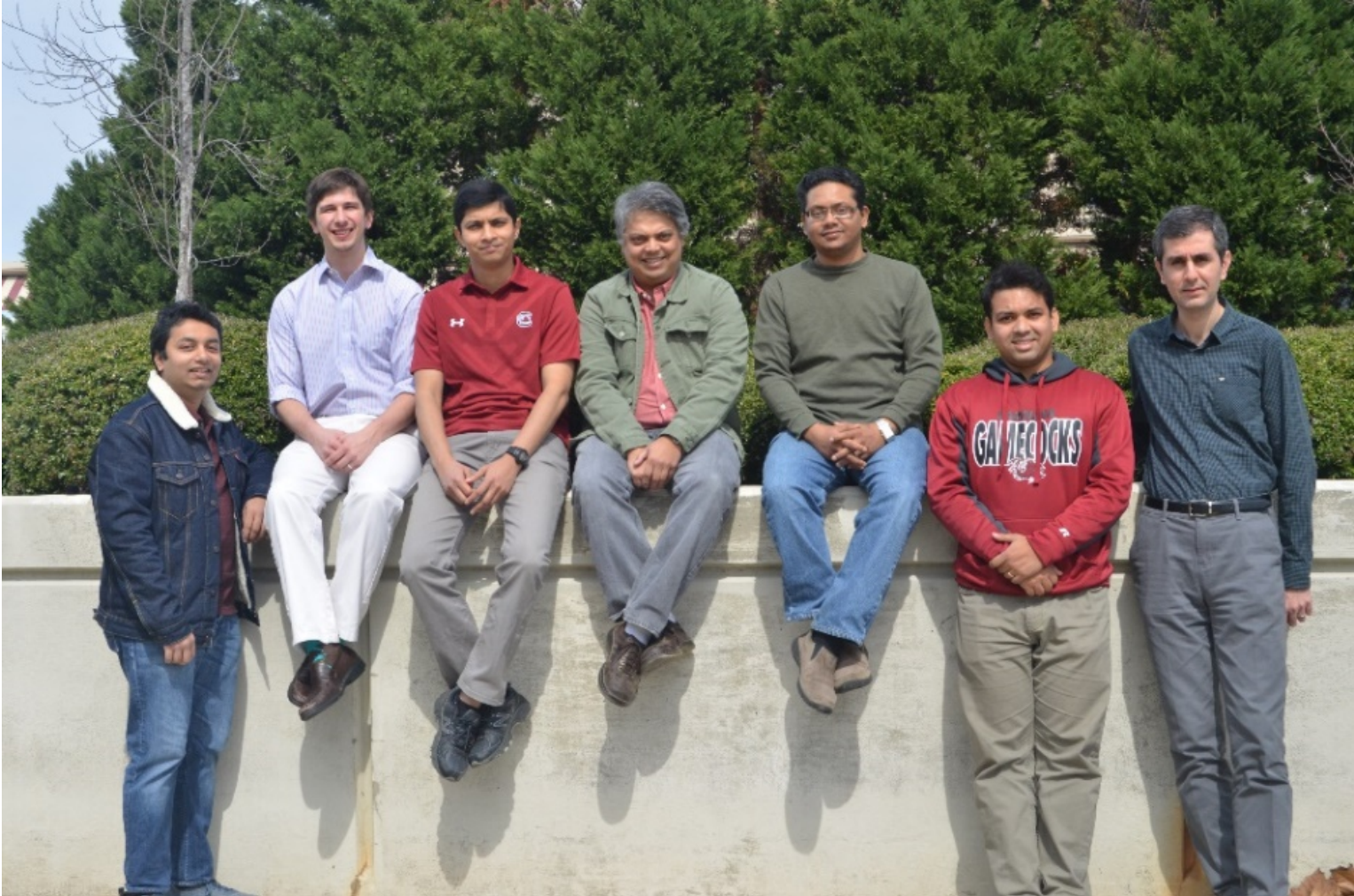


Contribute to the formation of OH_{aq}⁻ in the system.



- Hydrodynamics associated with OH^-_{aq} detachment is distinctively different.
- The electrical forces from the tunnel detachment process generate stronger compression and weaker expansion regime.
- Majority of the electrons is produced from OH^-_{aq} ions during the pre-rise and the decay phase.
- The OH^-_{aq} in the system gets quickly depleted in regions where the detachment occurs and becomes a rate limiting condition.
- A strong and coupled recycling of OH^-_{aq} will allow both tunneling processes to remain active throughout the initiation process of the discharge.
- Tunneling from OH^-_{aq} does not decrease the density to the extent that is representative of nanovoids. The field ionization, which creates higher number of charged species can contribute to and enhance the cavitation of the liquid medium via the electrostatic force and augment nanovoid regions in the fluid

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Thank you!